UTILITIES DIVISION [199]

Former Commerce Commission [250] renamed Utilities Division [199] under the "umbrella" of Commerce Department [181] by 1986 Iowa Acts, Senate File 2175, section 740.

CHAPTER 1 ORGANIZATION AND OPERATION

	ORGANIZATION AND OPERATION
1.1(17A,474)	Purpose
1.2(17A,474)	Scope of rules
1.3(17A,474,476,7	8GA,HF2206) Waivers
1.4(17A,474)	Duties of the board
1.5(17A,474)	Organization
1.6(68B)	Consent for the sale or lease of goods and services
1.7	Reserved
1.8(17A,474)	Matters applicable to all proceedings
1.9(22)	Public information and inspection of records
	CHAPTED 2
	CHAPTER 2
2 1/17 4 474)	FORMS
2.1(17A,474)	Forms—general
2.2(17A,474) 2.3	Specific forms Reserved
2.4(17A,474)	Forms
	CHAPTER 3
	RULE MAKING
3.1(17A,474)	Purpose and scope
3.2(17A,474)	Notice of inquiry
3.3(17A,474)	Petition for adoption of rules
3.4(17A,474)	Commencement of proceedings
3.5(17A,474)	Written statements of position
3.6(17A,474)	Counterstatements of position
3.7(17A,474)	Requests for oral presentation
3.8(17A,474)	Rule-making oral presentation
3.9(17A,474)	Rule-making decisions
3.10(17A,474)	Regulatory analysis
3.11(17A,474)	Review of rules
	CHAPTER 4
	DECLARATORY ORDERS
4.1(17A)	Petition for declaratory order
4.2(17A)	Notice of petition
4.3(17A)	Intervention
4.4(17A)	Briefs
4.5(17A)	Inquiries
4.6(17A)	Service and filing of petitions and other papers
4.7(17A)	Agency consideration
4.8(17A)	Action on petition
4.9(17A)	Refusal to issue order
4.10(17Å)	Contents of declaratory order—effective date
4.11(17A)	Copies of orders
4.12(17A)	Effect of a declaratory order
` '	•

CHAPTER 5 PROCEDURE FOR DETERMINING THE COMPETITIVENESS OF A COMMUNICATIONS SERVICE OR FACILITY 5.1(476) Purpose 5.2(476) Petition 5.3(476) Docketing 5.4(476) Statement of position Oral presentation 5.5(476) Decision 5.6(476) 5.7(476) Extent of deregulation Hearing and order 5.8(476) **CHAPTER 6** COMPLAINT PROCEDURES 6.1(476) Inquiry Complaint 6.2(476) 6.3(476) Processing the complaint Proposed resolution 6.4(476)Initiating formal complaint proceedings 6.5(476) Applicable procedures 6.6(476) Record 6.7(476) 6.8(476) Special procedures for complaints alleging unauthorized changes in telecommunications services CHAPTER 7 PRACTICE AND PROCEDURE Scope and applicability 7.1(17A,474,476) **Definitions** 7.2(17A,476) Presiding officers 7.3(17A,476) General information 7.4(17A,474,476) 7.5(17A,476) Time requirements 7.6(17A,476) Telephone proceedings Electronic information 7.7(17A,476) Delivery of notice of hearing 7.8(17A,476) Pleadings and answers 7.9(17A,476) Prefiled testimony and exhibits 7.10(17A,476) Documentary evidence in books and materials 7.11(17A,476) Motions 7.12(17A,476) 7.13(17A,476) Intervention Consolidation and severance 7.14(17A,476) 7.15(17A,476) Discovery Subpoenas 7.16(17A,476) Prehearing conference 7.17(17A,476) 7.18(17A,476) Settlements 7.19(17A,476) Stipulations 7.20(17A,476) Investigations Withdrawals 7.21(17A,476) 7.22(17A,476) Ex parte communication Hearings 7.23(17A,476) 7.24(17A,476) Reopening record 7.25(17A,476) Interlocutory appeals

Appeals to board from a proposed decision of a presiding officer

7.26(17A,476)

7.27(17A,476)	Rehearing and reconsideration
7.28(17A,476)	Stay of agency decision
7.29(17A,476)	Emergency adjudicative proceedings
	CHAPTED 0
	CHAPTER 8
0.1(456)	CIVIL PENALTIES
8.1(476)	Civil penalty for willful violation
8.2(476)	Procedure
8.3(476)	Penalties assessed
8.4(476)	Payment of penalty
8.5(476)	Rate-regulated utilities
	CHAPTER 9
	RESTORATION OF AGRICULTURAL LANDS
	DURING AND AFTER PIPELINE CONSTRUCTION
9.1(479,479B)	General information
9.2(479,479B)	Filing of land restoration plans
9.3(479,479B)	Procedure for review of plan
9.4(479,479B)	Restoration of agricultural lands
9.5(479,479B)	Designation of a pipeline company point of contact for landowner inquiries or
).5(4 7), 4 7) D)	claims
9.6(479,479B)	Separate agreements
9.7(479,479B)	Enforcement
	CHAPTER 10
10.1(470)	INTRASTATE GAS AND UNDERGROUND GAS STORAGE
10.1(479)	General information
10.2(479)	Petition for permit
10.3(479)	Informational meetings
10.4(479)	Notice of hearing
10.5(479)	Objections
10.6(479)	Hearing
10.7(479)	Pipeline permit
10.8(479)	Renewal permits
10.9(479)	Amendment of permits
10.10(479)	Fees and expenses
10.11(479)	Inspections
10.12(479)	Standards for construction, operation and maintenance
10.13	Reserved
10.14(479)	Crossings of highways, railroads, and rivers
10.15	Reserved
10.16(479)	When a permit is required
10.17(479)	Accidents and incidents
10.18(479)	Reportable changes to pipelines under permit
10.19(479)	Sale or transfer of permit
	CHAPTER 11
	ELECTRIC LINES
11.1(478)	General information
11.2(478)	Forms of petition for franchise, extension, or amendment of franchise
11.3(478)	Additional filing instructions
11.4(478)	Informational meetings
11.5(478)	Notices

11.6(478)	Common and joint use	
11.7(478)	Termination of franchise petition proceedings	
11.8(478)	Fees and expenses	
,	•	
	CHAPTER 12	
	INTERSTATE NATURAL GAS PIPELINES	
	AND UNDERGROUND STORAGE	
12.1(479A)	Authority	
12.2(479A)	Inspections	
12.3 to 12.5	Reserved	
12.6(479A)	Incident reporting	
, ,	The state of the s	
	CHAPTER 13	
HAZA	ARDOUS LIQUID PIPELINES AND UNDERGROUND STORAGE	
13.1(479B)	General information	
13.2(479B)	Petition for permit	
13.3(479B)	Informational meetings	
13.4(479B)	Notice of hearing	
13.5(479B)	Objections	
13.6(479B)	Hearing	
13.7(479B)	Pipeline permit	
13.8(479B)	Renewal permits	
13.9(479B)	Amendment of permits	
* *		
13.10(479B)	Fees and expenses Reserved	
13.11		
13.12(479B)	Land restoration	
13.13	Reserved	
13.14(479B)	Crossings of highways, railroads, and rivers	
13.15 to 13.17	Reserved	
13.18(479B)	Reportable changes to pipelines under permit	
13.19(479B)	Sale or transfer of permit	
	CHAPTED 14	
	CHAPTER 14	
1.4.1(1.7.4.4.76)	ELECTRONIC FILING	
14.1(17A,476)	Purpose	
14.2(17A,476)	Scope and applicability of electronic filing requirement	
14.3(17A,476)	Definitions	
14.4(17A,476)	Exceptions; number of paper copies required	
14.5(17A,476)	Electronic filing procedures and required formats	
14.6(17A,476)	Registration	
14.7(17A,476)	Electronic file	
14.8(17A,476)	Paper copies required	
14.9(17A,476)	When electronic filings can be made; official filing date	
14.10(17A,476)	Notice of system unavailability	
14.11(17A,476)	Technical difficulties	
14.12(17A,476)	Documents containing confidential material	
14.13(17A,476)	Signatures	
14.14(17A,476)	Original documents	
14.15(17A,476)	Transcripts	
14.16(17A,476)	Electronic service	
110(1/11,770)	Electronic bei vice	

UTILITIES AND TRANSPORTATION DIVISIONS

CHAPTER 15

	CHAPTER 15
	COGENERATION AND SMALL POWER PRODUCTION
15.1(476)	Definitions
15.2(476)	Scope
15.3(476)	Information to board
15.4(476)	Rate-regulated electric utility obligations under this chapter regarding qualifying
, ,	facilities
15.5(476)	Rates for purchases from qualifying facilities by rate-regulated electric utilities
15.6(476)	Rates for sales to qualifying facilities and AEP facilities by rate-regulated utilities
15.7(476)	Additional services to be provided to qualifying facilities and AEP facilities by rate-regulated electric utilities
15.8(476)	Interconnection costs
15.9(476)	System emergencies
15.10(476)	Standards for interconnection, safety, and operating reliability
15.11(476)	Additional rate-regulated utility obligations regarding AEP facilities
15.12 to 15.16	Reserved
15.17(476)	Alternate energy purchase programs
15.18(476B)	Certification of eligibility for wind energy tax credits under Iowa Code chapter 476B
15.19(476C)	Certification of eligibility for wind energy and renewable energy tax credits under Iowa Code chapter 476C
15.20(476B)	Applications for wind energy tax credits under Iowa Code chapter 476B
15.21(476C)	Applications for renewable energy tax credits under Iowa Code chapter 476C
	CHAPTER 16
	ACCOUNTING
16.1(476)	Accounting—general information
16.2(476)	Uniform systems of accounts—electric
16.3(476)	Uniform systems of accounts—gas
16.4(476)	Uniform systems of accounts—water
16.5(476)	Uniform systems of accounts—telephone
16.6(476)	Uniform systems of accounts—telegraph
16.7(476)	Filing of present promotional practices
16.8(476)	Compiling advertisements and expenses
16.9(476)	Postemployment benefits other than pensions
	CHAPTER 17
	ASSESSMENTS
17.1(475A,476,546	
17.2(475A,476)	Definitions
17.3(476)	Expenses to be included in direct assessments
17.4(476)	Direct assessments under Iowa Code Supplement section 476.10
17.5(476)	Reporting of operating revenues
17.6(475A,476)	Compilation and billing of assessment
17.0(475A,470) 17.7(476)	Funding of Iowa energy center and global warming center
17.7(476)	Assessments under Iowa Code section 476.101(10)
, ,	A,479B) Assessments under Iowa Code chapters 478, 479, 479A, and 479B
11.7(710,717,717/	1,172) 160000monto under form Code enapiero 770, 777, 7771, and 7770

CHAPTER 18 UTILITY RECORDS **Definitions** 18.1(476) 18.2(476) Location of records 18.3(476) Availability of records Electric utilities other than rural electric cooperatives 18.4(476) 18.5(476) Rural electric cooperatives Gas utilities 18.6(476) 18.7(476) Water utilities 18.8(476) Telephone utilities CHAPTER 19 SERVICE SUPPLIED BY GAS UTILITIES 19.1(476) General information 19.2(476) Records, reports, and tariffs General service requirements 19.3(476) 19.4(476) Customer relations 19.5(476) Engineering practice 19.6(476) Metering 19.7(476) Standards of quality of service 19.8(476) Safety 19.9 Reserved 19.10(476) Purchased gas adjustment (PGA) Periodic review of gas procurement practices [476.6(15)] 19.11(476) 19.12(476) Flexible rates 19.13(476) Transportation service Certification of competitive natural gas providers and aggregators 19.14(476) Customer contribution fund 19.15(476) 19.16(476) Reserve margin Incident notification and reports 19.17(476) CHAPTER 20 SERVICE SUPPLIED BY ELECTRIC UTILITIES 20.1(476) General information 20.2(476) Records, reports, and tariffs 20.3(476) General service requirements Customer relations 20.4(476) Engineering practice 20.5(476) 20.6(476) Metering Standards of quality of service 20.7(476) 20.8(476) Safety 20.9(476) Electric energy sliding scale or automatic adjustment Ratemaking standards 20.10(476) 20.11(476) Customer notification of peaks in electric energy demand

20.12	Reserved
20.13(476)	Periodic electric energy supply and cost review [476.6(16)]
20.14(476)	Flexible rates
20.15(476)	Customer contribution fund
20.16	Reserved
20.17(476)	Ratemaking treatment of emission allowances
20.18(476,478)	Service reliability requirements for electric utilities
20.19(476,478)	Notification and reporting of outages

CHAPTER 21 SERVICE SUPPLIED BY WATER UTILITIES 21.1(476) Application of rules 21.2(476) Records and reports General service requirements 21.3(476) Customer relations 21.4(476) 21.5(476) Engineering practice 21.6(476) Meter testing 21.7(476) Standards of quality of service 21.8(476) Applications for water costs for fire protection services 21.9(476) Incident reports **CHAPTER 22** RATES CHARGED AND SERVICE SUPPLIED BY TELEPHONE UTILITIES 22.1(476) General information 22.2(476) Records and reports 22.3(476) General service requirements 22.4(476) Customer relations 22.5(476) Telephone utility service standards 22.6(476) Standards of quality of service 22.7(476) Safety Nontoll interexchange trunking service (EAS) survey procedure 22.8(476) Terminal equipment 22.9(476) Unfair practices 22.10(476) 22.11(476) Inside station wiring standards 22.12(476) Contents of tariff filings proposing rates Methodology for determining costs to serve 22.13(476) Intrastate access charge application, tariff procedures, and rates 22.14(476) Interexchange utility service and access 22.15(476) Discontinuance of service 22.16(476) Resale of service 22.17(476) 22.18 Reserved 22.19(476) Alternative operator services 22.20(476) Service territories 22.21(476) Toll dialing patterns 22.22 Reserved 22.23(476) Unauthorized changes in telephone service Applications for numbering resources 22.24(476) CHAPTER 23 ANNUAL REPORT 23.1(476) General information 23.2(476) Annual report requirements—rate-regulated utilities Annual report requirements—non-rate-regulated utilities 23.3(476) 23.4(476,476A) **CHAPTER 24** LOCATION AND CONSTRUCTION OF ELECTRIC POWER **GENERATING FACILITIES** Authority, purpose, and policy 24.1(476A) 24.2(476A) **Definitions** Form of application, place of filing 24.3(476A)

Application for a certificate—contents

24.4(476A)

24.5(476A) 24.6(476A) 24.7(476A) 24.8(476A) 24.9(476A)	Initial board review: Application acceptance Procedural schedule Informational meeting Hearing procedure Separate hearings on separate issues	
24.10(476A)	Certification decision	
24.11(476A)	Site preparation	
24.12(476A)	Issuance of a certificate	
24.13(476A)	Exemptions from certification application; application for amendment for certificate: Contents	
24.14(476A)	Assessment of costs	
24.15(476A)	Waiver	
,	CHAPTER 25	
	CHAPTER 25	
25 1/476 476 4 479	IOWA ELECTRICAL SAFETY CODE 8) General information	
25.1(476,476A,478 25.2(476,476A,478	,	
25.2(476,476A,476 25.3(476,478)	Inspection and maintenance plans	
25.4(476,478)	Correction of problems found during inspections	
25.5(476,478)	Accident reports	
20.0(170,170)	•	
	CHAPTER 26	
D	RATE CASES, TARIFFS, AND	
26.1(17A,476)	ATE REGULATION ELECTION PRACTICE AND PROCEDURE Scope and applicability	
26.1(17A,476) 26.2(17A,476)	Defective filings	
26.3(17A,476) 26.3(17A,476)	Proposal of settlements	
26.4(476)	Rate case expense	
26.5(476)	Applications and petitions	
26.6(476)	Answers	
26.7(476)	Rate investigation	
26.8(476)	Procedural schedule in Iowa Code sections 476.3 and 476.6 proceedings	
26.9(476)	Consumer comment hearing in docketed rate case of an investor-owned utility company	
26.10(476)	Appeal from administrative law judge's decision	
26.11(476)	Consideration of current information in rate regulatory proceedings	
26.12(476)	Rate regulation electron—electric cooperative corporations and associations	
	CHAPTERS 27 and 28 Reserved	
	CHAPTER 29	
-0.4(4-6)	MANAGEMENT EFFICIENCY STANDARDS	
29.1(476)	Policy and purpose	
29.2(476)	Efficiency considered in rate case	
29.3(476)	Management efficiency standards	
29.4(476)	Rewards and penalties	
	CHAPTER 30	
	TAX REFORM REVENUE ADJUSTMENT	
30.1(476)	Applicability	
30.2(476)	General filing requirement	
30.3(476)	Revenue adjustment	

30.4(476)	Revised revenue requirement
30.5(476)	Rate filing
30.6(476)	Board approval
	CHAPTER 31
ACCEC	
ACCES	S TO AFFILIATE RECORDS, REQUIREMENTS FOR ANNUAL FILINGS, AND ASSET AND SERVICE TRANSFERS
21.1(476)	
31.1(476)	Applicability and definition of terms
31.2(476)	Availability of records
31.3(476)	Annual filing
31.4(476)	Additional filing requirements for affiliated telecommunications service providers
31.5(476)	Verified copies and confidential treatment
31.6(476)	Comparable information
31.7(476)	Standards for costing service transfers between regulated operations and nonregulated affiliates
31.8(476)	Standards for costing asset transfers between regulated operations and non-regulated affiliates valued at less than \$2 million
31.9(476)	Waivers
31.5(170)	11411015
	CHAPTER 32
	REORGANIZATION
32.1(476)	Applicability and definition of terms
32.2(476)	Substantial part of a public utility's assets
32.3(476)	Declaratory rulings
32.4(476)	Proposal for reorganization—filing requirements
32.5(476)	Effective date
32.6(476)	Insufficient filing
32.7(476)	Additional information authorized
32.8(476)	Waivers
32.9(476)	Procedural matters
	CHAPTER 33
	NONUTILITY ACTIVITIES—RECORD KEEPING
	AND COST ALLOCATIONS
22 1(476)	And Cost Allocations Applicability
33.1(476) 33.2(476)	Definitions
33.3(476)	Availability of records
33.4(476)	Costing methodology
33.5(476)	Cost allocation manuals
33.6(476)	Standards for costing service transfers within a regulated subsidiary or utility
33.7(476)	Standards for costing service transfers within a regulated subsidiary or utility
33.7(470)	Standards for costing asset transfers within a regulated substdiary of diffity
	CHAPTER 34
	NONUTILITY SERVICE
34.1(476)	Statement of purpose
34.2(476)	Definition—nonutility service
34.3(476)	Definition—systematic marketing effort
34.4(476)	Engaged primarily in providing the same competitive nonutility services in the
24 5(476)	area—defined
34.5(476)	Charges permitted
34.6(476)	Procedures for utilization of billing and collection system
34.7(476)	Complaints

	CHAPTER 35
	ENERGY EFFICIENCY PLANNING AND COST REVIEW
35.1(476)	Policy and purpose
35.2(476)	Definitions
35.3(476)	Applicability
35.4(476)	Schedule of filings
35.5	Reserved
35.6(476)	Procedures
35.7(476)	Waivers
35.8(476)	Assessment of potential and energy efficiency plan requirements
35.9(476)	Additional requirements for electric utilities
35.10(476)	Additional requirements for gas utilities
35.11(476)	Additional filing requirements
35.12(476)	Energy efficiency cost recovery
35.13(476)	Prudence review
35.14(476)	New structure energy conservation standards
35.15(476)	Exterior flood lighting
33.13(170)	Exterior nood righting
	CHAPTER 36
	ENERGY EFFICIENCY PLANNING AND REPORTING
	FOR NON-RATE-REGULATED GAS AND ELECTRIC UTILITIES
36.1(476)	Non-rate-regulated utilities
36.2(476)	Definitions
36.3(476)	Schedule of filings
36.4(476)	Joint filing of plans
36.5(476)	Energy efficiency plan requirements
36.6(476)	Program selection criteria
36.7(476)	New Structure energy conservation standards
36.8(476)	Exterior flood lighting
	CHAPTER 37
	EQUIPMENT DISTRIBUTION PROGRAM
37.1(477C)	Policy and purpose
37.2(477C)	Program structure
37.3(477C)	Eligibility
37.4(477C)	Equipment
37.5(477C)	Complaints
27.0(1770)	comp.w.mo
	CHAPTER 38
	LOCAL EXCHANGE COMPETITION
38.1(476)	General information
38.2(476)	Number portability
38.3(476)	Interconnection requirements
38.4(476)	Unbundled facilities, services, features, functions, and capabilities
38.5(476)	Cost standards
38.6(476)	Compensation for termination of telecommunications services
38.7(476)	Mediation and arbitration
	CHAPTER 39
	UNIVERSAL SERVICE
39.1(476)	Definition of terms
39.2(476)	Eligible carrier requirements
` /	

39.3(476)	Low-income connection assistance program (Link-Up) and low-income Lifeline
20 4(476)	assistance Universal service support for schools and libraries
39.4(476)	
39.5(476)	Quality of service reporting by eligible telecommunications carriers
39.6(476)	Universal service certification
	CHAPTER 40
	COMPETITIVE BIDDING PROCESS
40.1(476)	General information
40.2(476)	Competitive resource acquisition procedure
40.3(476)	Utility-build or lease cost estimates
40.4(476)	Utility affiliate bids
40.5(476)	Request for proposals (RFP)
40.6(476)	Complaints
	CHAPTER 41
	Reserved
	CHAPTER 42
	CROSSING OF RAILROAD RIGHTS-OF-WAY
42.1(476)	Definitions
42.2(476)	Applicability and purpose
42.3(476)	General notice and specification exhibit requirements and payment of fee
42.4(476)	Emergency notice and repairs
42.5(476)	Relocation of public utility facilities
42.6(476)	Engineering standards for electric and communications lines
42.7(476)	Engineering standards for pipelines
42.8(476)	Liability
42.9(476)	Insurance
42.10(476)	Removal of equipment
42.11(476)	Assignment
42.12(476)	Prohibition against mechanic's liens
42.13(476)	Taxes
42.14(476)	Protection of signal systems
42.15(476)	Safety regulations
42.16(476)	Recording
42.17(17A,476)	Complaints and petitions for relief—general information
42.18(17A,476)	Filing of complaint or petition
42.19(17A,476)	Presiding officer
42.20(17A,476)	Answer
42.21(17A,476)	Parties and appearances
42.22(17A,476)	Procedural order and notice of hearing
42.23(17A,476)	Discovery
42.24(17A,476)	Hearing procedures
42.25(17A,476)	Decision
	CHAPTER 43
	IOWA BROADBAND INITIATIVE
43.1(476)	Authority and purpose
43.2(476)	Definitions
43.3(476)	Applicability
43.4(476)	Procedures
43.5(476)	Broadband initiative plan requirements
(· · · · · · · · · · · · · · · · · · ·

43.6(476)	Upon completion of approved plan
43.7(17A)	Confidentiality
43.8(476)	Project reports

CHAPTER 44 CERTIFICATES OF FRANCHISE AUTHORITY FOR CABLE AND VIDEO SERVICE

44.1(17A,476,82GA,SF554) Authority and purpose
44.2(17A,476,477A,82GA,SF2248) Definitions
44.3(17A,476,477A,82GA,SF2248) Certificate of franchise authority
44.4(17A,476,82GA,SF554) Notice to municipality and incumbent cable provider
44.5(17A,476,82GA,SF554) Conversion of municipal franchise by incumbent cable provider
44.6(17A,476,82GA,SF554) Filing fees