

UTILITIES COMMISSION[199]

Former Commerce Commission[250] renamed Utilities Division[199]
under the “umbrella” of Commerce Department[181] by 1986 Iowa Acts, Senate File 2175, section 740.

CHAPTER 1 ORGANIZATION AND OPERATION

1.1(17A,474)	Purpose
1.2(17A,474)	Scope of rules
1.3(17A,474,476)	Waivers
1.4(17A,474)	Duties of the commission
1.5(17A,474)	Organization
1.6(17A,474)	Matters applicable to all proceedings
1.7(22)	Public information and inspection of records

CHAPTER 2 Reserved

CHAPTER 3 RULEMAKING

3.1(17A,474,476)	Purpose and scope
3.2(17A,474,476)	Initial stakeholder input
3.3(17A,474,476)	Petition for adoption of rules
3.4(17A,474,476)	Commencement of proceedings
3.5(17A,474,476)	Rulemaking oral presentation
3.6(17A,474)	Review of rules

CHAPTER 4 DECLARATORY ORDERS

4.1(17A)	Petition for declaratory order
4.2(17A)	Intervention
4.3(17A)	Briefs
4.4(17A)	Service and filing of petitions
4.5(17A)	Informal meeting
4.6(17A)	Refusal to issue order
4.7(17A)	Effect of a declaratory order

CHAPTER 5 Reserved

CHAPTER 6 COMPLAINT PROCEDURES

6.1(476)	General inquiries
6.2(476)	Informal complaint procedures
6.3(476)	Processing the informal complaint
6.4(476)	Proposed resolution of an informal complaint
6.5(476)	Initiating formal complaint proceedings
6.6(476)	Applicable procedures
6.7(476)	Record
6.8(476)	Special procedures for complaints alleging unauthorized changes in telecommunications services

CHAPTER 7 PRACTICE AND PROCEDURE

7.1(17A,474,476)	Scope and applicability
7.2(17A,476)	Definitions
7.3(17A,476)	Presiding officers
7.4(17A,474,476)	General information
7.5(17A,476)	Time requirements
7.6(17A,476)	Electronic proceedings
7.7(17A,476)	Electronic information

7.8(17A,476)	Delivery of notice of hearing
7.9(17A,476)	Pleadings and answers
7.10(17A,476)	Prefiled testimony and exhibits
7.11(17A,476)	Documentary evidence in books and materials
7.12(17A,476)	Motions
7.13(17A,476)	Intervention
7.14(17A,476)	Consolidation and severance
7.15(17A,476)	Discovery
7.16(17A,476)	Subpoenas
7.17(17A,476)	Prehearing or scheduling conference
7.18(17A,476)	Settlements
7.19(17A,476)	Stipulations
7.20(17A,476)	Investigations
7.21(17A,476)	Withdrawals
7.22(17A,476)	Ex parte communication
7.23(17A,476)	Hearings
7.24(17A,476)	Reopening record
7.25(17A,476)	Interlocutory appeals
7.26(17A,476)	Appeals to commission from a proposed decision of a presiding officer
7.27(17A,476)	Rehearing and reconsideration
7.28(17A,476)	Stay of agency decision
7.29(17A,476)	Emergency adjudicative proceedings

CHAPTER 8

Reserved

CHAPTER 9

RESTORATION OF AGRICULTURAL LANDS DURING AND AFTER PIPELINE CONSTRUCTION

9.1(479,479B)	General information
9.2(479,479B)	Filing of land restoration plans
9.3(479,479B)	Procedure for review of plan
9.4(479,479B)	Staking and clearing of agricultural land
9.5(479,479B)	Restoration of agricultural lands
9.6(479,479B)	Designation of a pipeline company point of contact for landowner inquiries or claims
9.7(479,479B)	Separate agreements
9.8(479,479B)	Notice of violation and halting construction
9.9(479,479B)	Enforcement
9.10(479,479B)	Project completion
9.11(479,479B)	Document submittal

CHAPTER 10

INTRASTATE GAS PIPELINES AND UNDERGROUND GAS STORAGE

10.1(479)	General information
10.2(479)	Informational meetings
10.3(479)	Petition for permit
10.4(479)	Notice of hearing
10.5(479)	Hearing
10.6(479)	Pipeline permit
10.7(479)	Renewal of permit
10.8(479)	Amendment of permit
10.9(479)	Inspections
10.10(479)	Standards for construction, operation, and maintenance
10.11(479)	Crossings of highways, railroads, and rivers
10.12(479)	Transmission line factors
10.13(479)	Reports to federal agencies
10.14(479)	Reportable changes to pipelines under permit
10.15(479)	Sale or transfer of permit
10.16(479)	Termination of petition for pipeline permit proceedings

10.17(479) Gathering line filing requirements

CHAPTER 11 ELECTRIC LINES

11.1(478) General information
 11.2(478) Definitions
 11.3(478) Route selection
 11.4(478) Informational meetings
 11.5(478) Petition for a new franchise
 11.6(478) Petition for an amendment to a franchise
 11.7(478) Petition for the abbreviated franchise process
 11.8(478) Petition for extension of franchise
 11.9(478) Additional requirements
 11.10(478) Notices
 11.11(478) Common and joint use
 11.12(478) Termination of franchise petition proceedings
 11.13(478) Fees and expenses

CHAPTER 12 Reserved

CHAPTER 13 HAZARDOUS LIQUID PIPELINES AND UNDERGROUND STORAGE

13.1(479,479B) General information
 13.2(479,479B) Informational meetings
 13.3(479,479B) Petition for permit
 13.4(479,479B) Notice of hearing
 13.5(479,479B) Objections
 13.6(479,479B) Hearing
 13.7(479,479B) Pipeline permit
 13.8(479,479B) Renewal permits
 13.9(479,479B) Amendment of permits
 13.10(479,479B) Fees and expenses
 13.11(479,479B) Land restoration
 13.12(479,479B) Crossings of highways, railroads, and rivers
 13.13(479,479B) Reportable changes to pipelines under permit
 13.14(479,479B) Sale or transfer of permit
 13.15(479,479B) Reports to federal agencies
 13.16(479,479B) Termination of petition for pipeline permit proceedings

CHAPTER 14 ELECTRONIC FILING

14.1(17A,476) Purpose
 14.2(17A,476) Scope and applicability of electronic filing requirement
 14.3(17A,476) Definitions
 14.4(17A,476) Exceptions
 14.5(17A,476) Electronic filing procedures and formats
 14.6(17A,476) Registration
 14.7(17A,476) Electronic record
 14.8(17A,476) Maps, plan and profile drawings, and other oversized documents
 14.9(17A,476) When electronic filings can be made; official filing date
 14.10(17A,476) EFS unavailability; technical difficulties
 14.11(17A,476) Documents containing confidential material
 14.12(17A,476) Signatures
 14.13(17A,476) Original documents
 14.14(17A,476) Electronic service

CHAPTER 15
COGENERATION AND SMALL POWER PRODUCTION

- 15.1(476) Definitions
- 15.2(476) Scope
- 15.3(476) Information to commission
- 15.4(476) Rate-regulated electric utility obligations under this chapter regarding qualifying facilities
- 15.5(476) Rates for purchases from qualifying facilities by rate-regulated electric utilities
- 15.6(476) Rates for sales to qualifying facilities and AEP facilities by rate-regulated electric utilities
- 15.7(476) Additional services to be provided to qualifying facilities and AEP facilities by rate-regulated electric utilities
- 15.8(476) System emergencies
- 15.9(476) Standards for interconnection, safety, and operating reliability
- 15.10(476) Additional rate-regulated electric utility obligations regarding AEP facilities
- 15.11(476) Alternate energy purchase programs
- 15.12(476C) Certification of eligibility for wind energy and renewable energy tax credits under Iowa Code chapter 476C
- 15.13(476C) Applications for renewable energy tax credits under Iowa Code chapter 476C
- 15.14(476) Small wind innovation zones

CHAPTER 16
ACCOUNTING

- 16.1(476) Accounting—general information
- 16.2(476) Uniform systems of accounts—electric
- 16.3(476) Uniform systems of accounts—gas
- 16.4(476) Uniform systems of accounts—water
- 16.5(476) Uniform systems of accounts—wastewater and stormwater drainage
- 16.6(476) Filing of promotional practices
- 16.7(476) Compiling advertisements and expenses

CHAPTER 17
ASSESSMENTS

- 17.1(475A,476,546) Purpose
- 17.2(475A,476) Definitions
- 17.3(476) Expenses to be included in direct assessments
- 17.4(476) Direct assessments under Iowa Code section 476.10
- 17.5(476) Reporting of operating revenues
- 17.6(475A,476) Compilation and billing of assessment
- 17.7(476) Assessments under Iowa Code section 476.95B
- 17.8(477C) Assessments of expenses for dual party relay service program and equipment distribution program
- 17.9(475A,476) Objection procedures
- 17.10(476,477C) Refunds

CHAPTER 18
UTILITY RECORDS

- 18.1(476) Definitions
- 18.2(476) Location of records
- 18.3(476) Availability of records
- 18.4(476) Electric utilities other than rural electric cooperatives
- 18.5(476) Rural electric cooperatives
- 18.6(476) Gas utilities
- 18.7(476) Water, sanitary sewage, and storm water drainage utilities

CHAPTER 19
SERVICE SUPPLIED BY RATE-REGULATED GAS UTILITIES

- 19.1(476) General information
- 19.2(476) Records, reports, and tariffs
- 19.3(476) General service requirements

19.4(476)	Customer relations
19.5(476)	Engineering practice
19.6(476)	Metering
19.7(476)	Standards of quality of service
19.8(476)	Safety
19.9(476)	Purchased gas adjustment (PGA)
19.10(476)	Periodic review of gas procurement practices
19.11(476)	Flexible rates
19.12(476)	Transportation service
19.13(476)	Certification of competitive natural gas providers and aggregators
19.14(476)	Customer contribution fund
19.15(476)	Reserve margin
19.16(476)	Incident notification and reports
19.17(476)	Capital infrastructure investment automatic adjustment mechanism

CHAPTER 20

SERVICE SUPPLIED BY RATE-REGULATED ELECTRIC UTILITIES

20.1(476)	General information
20.2(476)	Records, reports, and tariffs
20.3(476)	General service requirements
20.4(476)	Customer relations
20.5(476)	Engineering practice
20.6(476)	Metering
20.7(476)	Standards of quality of service
20.8(476)	Safety
20.9(476)	Electric energy automatic adjustment
20.10(476)	Ratemaking standards
20.11(476)	Customer notification of peaks in electric energy demand
20.12(476)	Periodic electric energy supply and cost review [476.6(12)]
20.13(476)	Flexible rates
20.14(476)	Customer contribution fund
20.15(476)	Exterior flood lighting
20.16(476)	Ratemaking treatment of emission allowances
20.17(476,478)	Service reliability requirements for electric utilities
20.18(476,478)	Notification of outages
20.19(476)	Transmission cost adjustment (TCA)
20.20(476)	Electric vehicle charging service

CHAPTER 21

SERVICE SUPPLIED BY WATER, SANITARY SEWAGE, AND STORM WATER DRAINAGE UTILITIES

DIVISION I GENERAL PROVISIONS

21.1(476)	Application of rules
21.2(476)	Records and reports for water, sanitary sewage, and storm water drainage utilities

DIVISION II WATER UTILITIES

21.3(476)	General water service requirements
21.4(476)	Customer relations for water service
21.5(476)	Engineering practice for water service
21.6(476)	Meter testing for water service
21.7(476)	Standards of quality of water service
21.8(476)	Applications for water costs for fire protection services
21.9(476)	Incident reports regarding water service
21.10(476)	Separate books for acquired water service assets

DIVISION III

SANITARY SEWAGE UTILITIES

- 21.11(476) General sanitary sewage disposal service requirements
- 21.12(476) Customer relations for sanitary sewage disposal service
- 21.13(476) Engineering practice for sanitary sewage disposal service
- 21.14(476) Meter testing for sanitary sewage disposal service
- 21.15(476) Standards of quality of sanitary sewage disposal service
- 21.16(476) Incident reports regarding sanitary sewage disposal service
- 21.17(476) Separate books for acquired sanitary sewage disposal service assets

DIVISION IV

STORM WATER DRAINAGE UTILITIES

- 21.18(476) Standards of quality of storm water drainage service
- 21.19(476) Customer relations for storm water drainage service
- 21.20(476) Incident reports regarding storm water drainage service
- 21.21(476) Separate books for acquired storm water drainage service assets

CHAPTER 22

REGULATION OF TELECOMMUNICATIONS SERVICE

- 22.1(476) General information
- 22.2(476) Tariffs
- 22.3(476) Customer complaints
- 22.4(476) Intrastate access charge application, tariff procedures, and rates
- 22.5(476) Interexchange service and access
- 22.6(476) Alternative operator services
- 22.7(476) Local exchanges
- 22.8(476) Registration of telecommunications service providers
- 22.9(476) Unauthorized changes in telecommunications service

CHAPTER 23

ANNUAL REPORT

- 23.1(476) General information
- 23.2(476) Annual report requirements

CHAPTER 24

LOCATION AND CONSTRUCTION OF ELECTRIC POWER GENERATING FACILITIES

- 24.1(476A) Authority and purpose
- 24.2(476A) Definitions
- 24.3(476A) Form of application; place of filing
- 24.4(476A) Application for a certificate—contents
- 24.5(476A) Initial commission review—application acceptance
- 24.6(476A) Procedural schedule
- 24.7(476A) Informational meeting
- 24.8(476A) Hearing procedure
- 24.9(476A) Separate hearings on separate issues
- 24.10(476A) Certification decision
- 24.11(476A) Site preparation
- 24.12(476A) Issuance of a certificate
- 24.13(476A) Exemptions from certification application; application for amendment for certificate: Contents
- 24.14(476A) Waiver

CHAPTER 25

IOWA ELECTRICAL SAFETY CODE

- 25.1(476,476A,478) General information
- 25.2(476,476A,478) Iowa electrical safety code defined
- 25.3(476,478) Inspection and maintenance plans
- 25.4(476,478) Correction of problems found during inspections and pole attachment procedures
- 25.5(476,478) Accident reports

CHAPTER 26

RATE CASES, TARIFFS, AND RATE REGULATION ELECTION PRACTICE AND PROCEDURE

26.1(17A,476)	Scope
26.2(17A,476)	Definitions
26.3(17A,476)	Tariffs required
26.4(17A,476)	General rate increase applications filed pursuant to Iowa Code section 476.6
26.5(17A,476)	Compliance filings and tariffs
26.6(17A,476)	Subsequent proceeding in rate case proceedings based upon a future test year
26.7(476)	Rate case expense
26.8(476)	Procedural schedule in Iowa Code section 476.6 proceedings
26.9(17A,476)	Consumer comment meetings in Iowa Code section 476.6 general rate case proceedings
26.10(476)	Switching from a future test year to a historic test year
26.11(476)	Rate proceedings for small utilities
26.12(17A,476)	Applications pursuant to Iowa Code section 476.6 that are not general rate increase applications
26.13(17A,476)	Rate investigation pursuant to Iowa Code section 476.3
26.14(17A,476)	Applications pursuant to Iowa Code section 476.7
26.15(17A,476)	Proposal of settlements
26.16(476)	Rate regulation election—electric cooperative corporations and associations

CHAPTER 27

REGULATION OF ELECTRIC COOPERATIVES AND MUNICIPAL ELECTRIC UTILITIES UNDER IOWA CODE CHAPTER 476

27.1(476)	General information
27.2(476)	Assigned area of service and maps
27.3(476)	Customer relations
27.4(476)	Disconnection of service
27.5(476)	Engineering standards
27.6(476)	Safety
27.7(476)	Customer contribution fund
27.8(476,478)	Service reliability requirements for electric utilities
27.9(476,478)	Notification of outages
27.10(476)	Electric vehicle charging service
27.11(476)	Exterior flood lighting

CHAPTER 28

Reserved

CHAPTER 29

MANAGEMENT EFFICIENCY EVALUATION

29.1(476)	Policy and purpose
29.2(476)	Efficiency considered in a complaint or rate case proceeding
29.3(476)	Management efficiency evaluation
29.4(476)	Rewards and penalties

CHAPTER 30

Reserved

CHAPTER 31

ACCESS TO AFFILIATE RECORDS, REQUIREMENTS FOR ANNUAL FILINGS, AND SERVICE AND ASSET TRANSFER COSTING STANDARDS

31.1(476)	Applicability and definition of terms
31.2(476)	Availability of records
31.3(476)	Annual filing
31.4(476)	Verified copies
31.5(476)	Comparable information
31.6(476)	Standards for costing services between regulated operations and nonregulated affiliates
31.7(476)	Standards for costing asset transfers between regulated operations and nonregulated affiliates valued at less than \$2 million

CHAPTER 32

REORGANIZATION

- 32.1(476) Applicability and definition of terms
- 32.2(476) Substantial part of a public utility's assets
- 32.3(476) Proposal for reorganization—filing requirements
- 32.4(476) Insufficient filing
- 32.5(476) Procedural matters
- 32.6(388) Approval of appraiser for municipal utilities

CHAPTER 33
NONUTILITY SERVICES—RECORDKEEPING
AND COST ALLOCATIONS

- 33.1(476) Applicability
- 33.2(476) Definitions
- 33.3(476) Availability of records
- 33.4(476) Costing methodology
- 33.5(476) Cost allocation manuals
- 33.6(476) Standards for costing service transfers within a regulated subsidiary or utility
- 33.7(476) Standards for costing asset transfers within a regulated subsidiary or utility

CHAPTER 34
NONUTILITY SERVICE

- 34.1(476) Statement of purpose
- 34.2(476) Definitions
- 34.3(476) Charges permitted
- 34.4(476) Procedures for utilization of billing and collection system

CHAPTER 35
ENERGY EFFICIENCY AND DEMAND RESPONSE PLANNING AND REPORTING FOR
RATE-REGULATED NATURAL GAS AND ELECTRIC UTILITIES

- 35.1(476) Authority and purpose
- 35.2(476) Definitions
- 35.3(476) Energy efficiency and demand response plan filing
- 35.4(476) Assessment of potential and collaboration
- 35.5(476) Energy efficiency and demand response plan requirements
- 35.6(476) Contested case proceeding
- 35.7(476) Exemptions from participation
- 35.8(476) Annual reporting requirements
- 35.9(476) Energy efficiency and demand response cost recovery
- 35.10(476) Modification of an approved plan
- 35.11(476) Prudence review
- 35.12(476) New structure energy conservation standards

CHAPTER 36
Reserved

CHAPTER 37
EQUIPMENT DISTRIBUTION PROGRAM

- 37.1(477C) Purpose
- 37.2(477C) Program structure
- 37.3(477C) Equipment
- 37.4(477C) Application process and eligibility
- 37.5(477C) Voucher system
- 37.6(477C) Complaints

CHAPTER 38
LOCAL EXCHANGE COMPETITION

- 38.1(476) General information
- 38.2(476) Interconnection requirements
- 38.3(476) Unbundled facilities, services, features, functions, and capabilities
- 38.4(476) Terminating access charge complaints

38.5(476) Mediation and arbitration

CHAPTER 39
UNIVERSAL SERVICE

39.1(476) Authority and purpose
 39.2(476) Definitions
 39.3(476) Applying for designation as an eligible telecommunications carrier
 39.4(476) Lifeline-only applicants
 39.5(476) Service area
 39.6(476) Universal service support for low-income consumers (Lifeline program and Tribal Link Up program)
 39.7(476) Annual reporting requirements
 39.8(476) Relinquishment of ETC designation

CHAPTER 40
Reserved

CHAPTER 41
RATEMAKING PRINCIPLES PROCEEDING

41.1(476) Definitions
 41.2(476) Applicability and purpose
 41.3(476) Application for predetermined ratemaking principles; contents
 41.4(476) Coincident filing
 41.5(476) Acquisition of a water, sanitary sewage, or storm water utility

CHAPTER 42
CROSSING OF RAILROAD RIGHTS-OF-WAY

42.1(476) Definitions
 42.2(476) Applicability and purpose
 42.3(476) General notice and specification exhibit requirements and payment of fee
 42.4(476) Emergency notice and repairs
 42.5(476) Relocation of public utility facilities
 42.6(476) Engineering standards for electric and communications lines
 42.7(476) Engineering standards for pipelines
 42.8(476) Liability
 42.9(476) Insurance
 42.10(476) Removal of equipment
 42.11(476) Assignment
 42.12(476) Prohibition against mechanic's liens
 42.13(476) Taxes
 42.14(476) Protection of signal systems
 42.15(476) Safety regulations
 42.16(476) Recording
 42.17(17A,476) Complaints and petitions for relief—general information
 42.18(17A,476) Complaints and petitions for relief

CHAPTER 43
Reserved

CHAPTER 44
CERTIFICATES OF FRANCHISE AUTHORITY FOR
CABLE AND VIDEO SERVICE

44.1(17A,476,477A) Authority and purpose
 44.2(17A,476,477A) Definitions
 44.3(17A,476,477A) Certificate of franchise authority
 44.4(17A,476,477A) Notice to municipality and incumbent cable provider
 44.5(17A,476,477A) Conversion of municipal franchise by incumbent cable provider
 44.6(17A,476,477A) Renewal of certificate of franchise authority

CHAPTER 45

ELECTRIC INTERCONNECTION OF DISTRIBUTED GENERATION FACILITIES

45.1(476)	Definitions
45.2(476)	Scope
45.3(476)	Technical standards
45.4(476)	Interconnection requests
45.5(476)	General requirements
45.6(476)	Lab-certified equipment
45.7(476)	Determining the review level
45.8(476)	Level 1 expedited review
45.9(476)	Level 2 expedited review
45.10(476)	Level 3 expedited review
45.11(476)	Level 4 review
45.12(476)	Disputes
45.13(476)	Records and reports