RACING AND GAMING COMMISSION [491]

[Prior to 11/19/86, Chs 1 to 10, see Racing Commission [693]; Renamed Racing and Gaming Division [195] under the "umbrella" of Commerce, Department of [181], 11/19/86]

[Prior to 12/17/86, Chs 20 to 25, see Revenue Department [730] Chs 91 to 96]

[Transferred from Commerce Department[181] to the Department of Inspections and Appeals "umbrella" [481] pursuant to 1987 Iowa Acts, chapter 234, section 421]

[Renamed Racing and Gaming Commission [491], 8/23/89; See 1989 Iowa Acts, ch 67 \$1(2), and ch 231 \$30(1), 31]

CHAPTER 1 ORGANIZATION AND OPERATION

ORGANIZATION AND OPERATION		
1.1(99D,99F)	Function	
1.2(99D,99F)	Organization, meetings, and procedure	
1.3(99D,99F)	Administration of the commission	
1.4(17A,22,99D,99	F) Open records	
1.5(17A,99D,99F)	Forms	
1.6	Reserved	
1.7(99D,99F)	Criteria for granting licenses, renewing licenses, and determining race dates	
1.8(17A,99D,99F)	Granting of a waiver	
	CHAPTER 2	
	RULE MAKING AND DECLARATORY ORDERS	
2.1(17A)	Applicability	
2.2(17A)	Advice on possible rules before notice of proposed rule adoption	
2.3(17A)	Public rule-making docket	
2.4(17A)	Notice of proposed rule making	
2.5(17A)	Public participation	
2.6(17A)	Regulatory analysis	
2.7(17A,25B)	Fiscal impact statement	
2.8(17A)	Time and manner of rule adoption	
2.9(17A)	Variance between adopted rule and published notice of proposed rule adoption	
2.10(17A)	Exemption from public rule-making procedures	
2.11(17A)	Concise statement of reasons	
2.12(17A)	Contents, style, and form of rule	
2.13(17A)	Agency rule-making record	
2.14(17A)	Filing of rules	
2.15(17A)	Effectiveness of rules prior to publication	
2.16(17A)	General statements of policy	
2.17(17A)	Review by commission of rules	
2.18(17A)	Petition for rule making	
2.19(17A)	General	
2.20(17A)	Petition for declaratory order	
2.21(17A)	Notice of petition	
2.22(17A,99D,99F)	Intervention Intervention	
2.23(17A)	Briefs	
2.24(17A)	Inquiries	
2.25(17A)	Service and filing of petitions and other papers	
2.26(17A)	Consideration	
2.27(17A)	Action on petition	
2.28(17A)	Refusal to issue order	
2.29(17A)	Contents of declaratory order—effective date	
2.30(17A)	Copies of orders	
2.31(17A)	Effect of a declaratory order	

CHAPTER 3 FAIR INFORMATION PRACTICES (Uniform Rules)

	(Uniform Rules)
3.1(17A,22)	Definitions
3.3(17A,22)	Requests for access to records
3.6(17A,22)	Procedure by which additions, dissents, or objections may be entered into certain
3.0(1711,22)	records
3.9(17A,22)	Disclosures without the consent of the subject
	Routine use
3.10(17A,22)	Consensual disclosure of confidential records
3.11(17A,22)	
3.12(17A,22)	Release to subject
3.13(17A,22)	Availability of records
3.14(17A,22)	Personally identifiable information
	CHAPTER 4
	CONTESTED CASES AND OTHER PROCEEDINGS
4.1(17A)	Scope and applicability
4.2(17A)	Definitions
4.3(17A)	Time requirements
4.5(1/A)	Time requirements
	DIVISION I
	GAMING REPRESENTATIVE, GAMING BOARD, AND BOARD OF STEWARDS
4.4(99D,99F)	Gaming representatives—licensing and regulatory duties
4.5(99D,99F)	Gaming board—duties
4.6(99D,99F)	Stewards—licensing and regulatory duties
4.7(99D,99F)	Penalties (gaming board and board of stewards)
4.8(99D,99F)	Effect of another jurisdiction's order
4.9(99D,99F)	Service of administrative actions
4.10(99D,99F)	Appeals of administrative actions Reserved
4.11 to 4.19	Reserved
	DIVISION II
	CONTESTED CASES
4.20(17A)	Requests for contested case proceedings not covered in Division I
4.21(17A)	Notice of hearing
4.22(17A)	Presiding officer
4.23(17A)	Waiver of procedures
4.24(17A)	Telephone proceedings
4.25(17A)	Disqualification
4.26(17A)	Consolidation—severance
4.27(17A)	Pleadings
4.28(17A)	Service and filing of pleadings and other papers
4.29(17A)	Discovery
4.30(17A)	Subpoenas
4.31(17A)	Motions
4.32(17A)	Prehearing conference
4.33(17A)	Continuances
4.34(17A)	Withdrawals
4.35(17A)	Intervention
4.36(17A)	Hearing procedures
4.37(17A)	Evidence
4.38(17A)	Default
4.39(17A) 4.39(17A)	
` '	Ex parte communication
4.40(17A)	Recording costs

4.41(17.4)	Interila conterra como calla	
4.41(17A)	Interlocutory appeals	
4.42(17A)	Final decision	
4.43(17A)	Appeals and review	
4.44(17A)	Applications for rehearing	
4.45(17A)	Stays of commission actions	
4.46(17A)	No factual dispute contested cases	
4.47(17A)	Emergency adjudicative proceedings	
4.48(17A)	Contested case hearings before the commission	
	CHAPTER 5	
TRACK, GAMBLING STRUCTURE, AND EXCURSION GAMBLING BOAT LICENSEES' RESPONSIBILITIES		
5.1(99D,99F)	In general	
5.2(99D,99F)	Annual reports	
5.3(99D,99F)	Information	
5.4(99D,99F)	Uniform requirements	
5.5(99D)	Pari-mutuel uniform requirements	
, ,	Excursion gambling boat uniform requirements	
5.6(99F)	Excursion gamoning boat uniform requirements	
	CHAPTER 6	
	OCCUPATIONAL AND VENDOR LICENSING	
6.1(99D,99F)	Definitions	
6.2(99D,99F,252J)	Occupational licensing	
6.3(99D,99F)	Waiver of privilege	
6.4(99D,99F)	License acceptance	
6.5(99D,99F)	Grounds for denial, suspension, or revocation of a license or issuance of a fine	
6.6(99D,99F)	Applications for license after denial, revocation, or suspension	
6.7(99D,99F)	Probationary period placed on a license	
6.8(99D,99F)	Duration of license	
6.9(99D,99F)	Licensed employees moving from one location to another	
6.10(99D,99F)	Required report of discharge of licensed employee	
6.11(99D,99F,252J	Receipt of certificate of noncompliance from the child support recovery unit	
6.12(99D,99F,261) Receipt of a certificate of noncompliance from the college student aid commission		
6.13(99D,99F,272I	, .	
	the department of revenue	
6.14(99D,99F)	Vendor's license	
6.15(99D,99F)	Applicability of rules—exceptions	
6.16(99D)	Disclosure of ownership of racing animals	
6.17(99D)	Owners of racing animals	
6.18(99D)	Kennel/stable name	
6.19(99D)	Leases (horse racing only)	
6.20(99D)	Partnerships owning racing animals	
6.21(99D)	Corporations owning racing animals	
6.22(99D)	Authorized agents for owner entities of racing animals	
6.23(99D)	Trainers and assistant trainers of racing animals	
6.24(99D)	Jockeys and apprentice jockeys	
6.25(99D)	Jockey agent	
6.26(99D)	Driver	
6.27(99D)	Practicing veterinarians	
6.28(99D,99F)	Alcohol and drug testing	
6.29(99D)	Time by which owner, jockey and trainer must be licensed	

CHAPTER 7 GREYHOUND RACING

	GREYHOUND RACING
7.1(99D)	Terms defined
7.2(99D)	Facility's responsibilities
7.3(99D)	Racing officials—duties
7.4(99D)	Lead-outs
7.5(99D)	Trainers and assistant trainers
7.6(99D)	Registration
7.7(99D)	Entries
7.8(99D)	Withdrawals and scratches
7.9(99D)	Weights and weighing
7.10(99D)	Qualifying time
7.11(99D)	Schooling
7.12(99D)	Running of the race
7.13(99D)	Race reckless/interfered/ruled off
7.14(99D)	Medication and administration, sample collection, chemists, and practicing veterinarians
7.15(99D)	Iowa greyhound pari-mutuel racing fund
,s(33 2)	10 wa groj nouna pari mataor raong rana
	CHAPTER 8
	WAGERING, SIMULCASTING AND ADVANCE DEPOSIT WAGERING
8.1(99D)	Definitions
8.2(99D)	General
8.3(99D)	Approval of pari-mutuel wagers
8.4(99D)	Simulcast wagering
8.5(99D)	Interstate common-pool wagering
8.6(99D)	Advance deposit wagering
	CHADTED O
	CHAPTER 9
	CHAPTER 9 Reserved
	Reserved CHAPTER 10
10.1(99D)	Reserved CHAPTER 10 THOROUGHBRED AND QUARTER HORSE RACING
10.1(99D) 10.2(99D)	Reserved CHAPTER 10 THOROUGHBRED AND QUARTER HORSE RACING Terms defined
10.2(99D)	Reserved CHAPTER 10 THOROUGHBRED AND QUARTER HORSE RACING Terms defined Facilities' responsibilities
10.2(99D) 10.3(99D)	Reserved CHAPTER 10 THOROUGHBRED AND QUARTER HORSE RACING Terms defined Facilities' responsibilities Facility policies
10.2(99D) 10.3(99D) 10.4(99D)	CHAPTER 10 THOROUGHBRED AND QUARTER HORSE RACING Terms defined Facilities' responsibilities Facility policies Racing officials
10.2(99D) 10.3(99D) 10.4(99D) 10.5(99D)	Reserved CHAPTER 10 THOROUGHBRED AND QUARTER HORSE RACING Terms defined Facilities' responsibilities Facility policies Racing officials Trainer, jockey, and jockey agent responsibilities
10.2(99D) 10.3(99D) 10.4(99D) 10.5(99D) 10.6(99D)	CHAPTER 10 THOROUGHBRED AND QUARTER HORSE RACING Terms defined Facilities' responsibilities Facility policies Racing officials Trainer, jockey, and jockey agent responsibilities Conduct of races
10.2(99D) 10.3(99D) 10.4(99D) 10.5(99D)	CHAPTER 10 THOROUGHBRED AND QUARTER HORSE RACING Terms defined Facilities' responsibilities Facility policies Racing officials Trainer, jockey, and jockey agent responsibilities Conduct of races Medication and administration, sample collection, chemists, and practicing
10.2(99D) 10.3(99D) 10.4(99D) 10.5(99D) 10.6(99D)	CHAPTER 10 THOROUGHBRED AND QUARTER HORSE RACING Terms defined Facilities' responsibilities Facility policies Racing officials Trainer, jockey, and jockey agent responsibilities Conduct of races
10.2(99D) 10.3(99D) 10.4(99D) 10.5(99D) 10.6(99D)	CHAPTER 10 THOROUGHBRED AND QUARTER HORSE RACING Terms defined Facilities' responsibilities Facility policies Racing officials Trainer, jockey, and jockey agent responsibilities Conduct of races Medication and administration, sample collection, chemists, and practicing
10.2(99D) 10.3(99D) 10.4(99D) 10.5(99D) 10.6(99D)	CHAPTER 10 THOROUGHBRED AND QUARTER HORSE RACING Terms defined Facilities' responsibilities Facility policies Racing officials Trainer, jockey, and jockey agent responsibilities Conduct of races Medication and administration, sample collection, chemists, and practicing veterinarian CHAPTER 11 GAMBLING GAMES
10.2(99D) 10.3(99D) 10.4(99D) 10.5(99D) 10.6(99D)	CHAPTER 10 THOROUGHBRED AND QUARTER HORSE RACING Terms defined Facilities' responsibilities Facility policies Racing officials Trainer, jockey, and jockey agent responsibilities Conduct of races Medication and administration, sample collection, chemists, and practicing veterinarian CHAPTER 11 GAMBLING GAMES Definitions
10.2(99D) 10.3(99D) 10.4(99D) 10.5(99D) 10.6(99D) 10.7(99D)	CHAPTER 10 THOROUGHBRED AND QUARTER HORSE RACING Terms defined Facilities' responsibilities Facility policies Racing officials Trainer, jockey, and jockey agent responsibilities Conduct of races Medication and administration, sample collection, chemists, and practicing veterinarian CHAPTER 11 GAMBLING GAMES Definitions Conduct of all gambling games
10.2(99D) 10.3(99D) 10.4(99D) 10.5(99D) 10.6(99D) 10.7(99D)	CHAPTER 10 THOROUGHBRED AND QUARTER HORSE RACING Terms defined Facilities' responsibilities Facility policies Racing officials Trainer, jockey, and jockey agent responsibilities Conduct of races Medication and administration, sample collection, chemists, and practicing veterinarian CHAPTER 11 GAMBLING GAMES Definitions Conduct of all gambling games Gambling games approved by the commission
10.2(99D) 10.3(99D) 10.4(99D) 10.5(99D) 10.6(99D) 10.7(99D) 11.1(99F) 11.2(99F)	CHAPTER 10 THOROUGHBRED AND QUARTER HORSE RACING Terms defined Facilities' responsibilities Facility policies Racing officials Trainer, jockey, and jockey agent responsibilities Conduct of races Medication and administration, sample collection, chemists, and practicing veterinarian CHAPTER 11 GAMBLING GAMES Definitions Conduct of all gambling games Gambling games approved by the commission Approval for distribution, operation, or movement of gambling games and
10.2(99D) 10.3(99D) 10.4(99D) 10.5(99D) 10.6(99D) 10.7(99D) 11.1(99F) 11.2(99F) 11.3(99F) 11.4(99F)	CHAPTER 10 THOROUGHBRED AND QUARTER HORSE RACING Terms defined Facilities' responsibilities Facility policies Racing officials Trainer, jockey, and jockey agent responsibilities Conduct of races Medication and administration, sample collection, chemists, and practicing veterinarian CHAPTER 11 GAMBLING GAMES Definitions Conduct of all gambling games Gambling games approved by the commission Approval for distribution, operation, or movement of gambling games and implements of gambling
10.2(99D) 10.3(99D) 10.4(99D) 10.5(99D) 10.6(99D) 10.7(99D) 11.1(99F) 11.2(99F) 11.3(99F)	CHAPTER 10 THOROUGHBRED AND QUARTER HORSE RACING Terms defined Facilities' responsibilities Facility policies Racing officials Trainer, jockey, and jockey agent responsibilities Conduct of races Medication and administration, sample collection, chemists, and practicing veterinarian CHAPTER 11 GAMBLING GAMES Definitions Conduct of all gambling games Gambling games approved by the commission Approval for distribution, operation, or movement of gambling games and implements of gambling Gambling games authorized
10.2(99D) 10.3(99D) 10.4(99D) 10.5(99D) 10.6(99D) 10.7(99D) 11.1(99F) 11.2(99F) 11.3(99F) 11.4(99F)	CHAPTER 10 THOROUGHBRED AND QUARTER HORSE RACING Terms defined Facilities' responsibilities Facility policies Racing officials Trainer, jockey, and jockey agent responsibilities Conduct of races Medication and administration, sample collection, chemists, and practicing veterinarian CHAPTER 11 GAMBLING GAMES Definitions Conduct of all gambling games Gambling games approved by the commission Approval for distribution, operation, or movement of gambling games and implements of gambling
10.2(99D) 10.3(99D) 10.4(99D) 10.5(99D) 10.6(99D) 10.7(99D) 11.1(99F) 11.2(99F) 11.3(99F) 11.4(99F) 11.5(99F)	CHAPTER 10 THOROUGHBRED AND QUARTER HORSE RACING Terms defined Facilities' responsibilities Facility policies Racing officials Trainer, jockey, and jockey agent responsibilities Conduct of races Medication and administration, sample collection, chemists, and practicing veterinarian CHAPTER 11 GAMBLING GAMES Definitions Conduct of all gambling games Gambling games approved by the commission Approval for distribution, operation, or movement of gambling games and implements of gambling Gambling games authorized
10.2(99D) 10.3(99D) 10.4(99D) 10.5(99D) 10.6(99D) 10.7(99D) 11.1(99F) 11.2(99F) 11.3(99F) 11.4(99F) 11.5(99F) 11.6(99F)	CHAPTER 10 THOROUGHBRED AND QUARTER HORSE RACING Terms defined Facilities' responsibilities Facility policies Racing officials Trainer, jockey, and jockey agent responsibilities Conduct of races Medication and administration, sample collection, chemists, and practicing veterinarian CHAPTER 11 GAMBLING GAMES Definitions Conduct of all gambling games Gambling games approved by the commission Approval for distribution, operation, or movement of gambling games and implements of gambling Gambling games authorized Gambling game-based tournaments

11.9(99F)	Slot machine requirements
11.10(99F)	Slot machine hardware and software specifications
11.11	Reserved
11.12(99F)	Progressive slot machines
11.13(99F)	Licensing of manufacturers and distributors of gambling games or implements of gambling
	CHAPTER 12
	ACCOUNTING AND CASH CONTROL
12.1(99F)	Definitions
12.2(99F)	Accounting records
12.3(99F)	Facility internal controls
12.4(99F)	Accounting controls within the cashier's cage
12.5(99F)	Gaming table container
12.6(99F)	Accepting currency at gaming tables
12.7(99F)	Procedures for the movement of gaming chips to and from gaming tables
12.8(99F)	Dropping or opening a gaming table
12.9(99F)	Slot machine container and key
12.10(99F)	Procedures for hopper fills and attendant payouts
12.11(99F)	Attendant and ticket payout accounting
12.12(99F)	Computer recording requirements and monitoring of slot machines
12.13(99F)	Transportation of containers
12.14(99F)	Count room—characteristics
12.15(99F)	Opening, counting, and recording contents of containers in the count room