

UTILITIES DIVISION[199]

Former Commerce Commission[250] renamed Utilities Division[199]
under the “umbrella” of Commerce Department[181] by 1986 Iowa Acts, Senate File 2175, section 740.

CHAPTER 1

ORGANIZATION AND OPERATION

1.1(17A,474)	Purpose
1.2(17A,474)	Scope of rules
1.3(17A,474,476)	Waivers
1.4(17A,474)	Duties of the board
1.5(17A,474)	Organization
1.6(68B)	Consent for the sale or lease of goods and services
1.7	Reserved
1.8(17A,474)	Matters applicable to all proceedings
1.9(22)	Public information and inspection of records

CHAPTER 2

FORMS

2.1(17A,474)	Documents filed with the utilities board
2.2(17A,474)	Forms

CHAPTER 3

RULE MAKING

3.1(17A,474)	Purpose and scope
3.2(17A,474)	Initial stakeholder input
3.3(17A,474)	Petition for adoption of rules
3.4(17A,474)	Commencement of proceedings
3.5 and 3.6	Reserved
3.7(17A,474)	Requests for oral presentation
3.8(17A,474)	Rule-making oral presentation
3.9(17A,474)	Rule-making decisions
3.10(17A,474)	Regulatory analysis
3.11(17A,474)	Review of rules

CHAPTER 4

DECLARATORY ORDERS

4.1(17A)	Petition for declaratory order
4.2	Reserved
4.3(17A)	Intervention
4.4(17A)	Briefs
4.5	Reserved
4.6(17A)	Service and filing of petitions and other documents
4.7(17A)	Informal meeting
4.8	Reserved
4.9(17A)	Refusal to issue order
4.10 and 4.11	Reserved
4.12(17A)	Effect of a declaratory order

CHAPTER 5

PROCEDURE FOR DETERMINING THE COMPETITIVENESS OF A COMMUNICATIONS SERVICE OR FACILITY

5.1(476)	Purpose
5.2(476)	Petition

- 5.3(476) Docketing
- 5.4(476) Comments
- 5.5(476) Formal proceeding
- 5.6(476) Decision
- 5.7(476) Extent of deregulation

CHAPTER 6
COMPLAINT PROCEDURES

- 6.1(476) General inquiries
- 6.2(476) Informal complaint procedures
- 6.3(476) Processing the informal complaint
- 6.4(476) Proposed resolution of an informal complaint
- 6.5(476) Initiating formal complaint proceedings
- 6.6(476) Applicable procedures
- 6.7(476) Record
- 6.8(476) Special procedures for complaints alleging unauthorized changes in telecommunications services

CHAPTER 7
PRACTICE AND PROCEDURE

- 7.1(17A,474,476) Scope and applicability
- 7.2(17A,476) Definitions
- 7.3(17A,476) Presiding officers
- 7.4(17A,474,476) General information
- 7.5(17A,476) Time requirements
- 7.6(17A,476) Electronic proceedings
- 7.7(17A,476) Electronic information
- 7.8(17A,476) Delivery of notice of hearing
- 7.9(17A,476) Pleadings and answers
- 7.10(17A,476) Prefiled testimony and exhibits
- 7.11(17A,476) Documentary evidence in books and materials
- 7.12(17A,476) Motions
- 7.13(17A,476) Intervention
- 7.14(17A,476) Consolidation and severance
- 7.15(17A,476) Discovery
- 7.16(17A,476) Subpoenas
- 7.17(17A,476) Prehearing or scheduling conference
- 7.18(17A,476) Settlements
- 7.19(17A,476) Stipulations
- 7.20(17A,476) Investigations
- 7.21(17A,476) Withdrawals
- 7.22(17A,476) Ex parte communication
- 7.23(17A,476) Hearings
- 7.24(17A,476) Reopening record
- 7.25(17A,476) Interlocutory appeals
- 7.26(17A,476) Appeals to board from a proposed decision of a presiding officer
- 7.27(17A,476) Rehearing and reconsideration
- 7.28(17A,476) Stay of agency decision
- 7.29(17A,476) Emergency adjudicative proceedings

CHAPTER 8
CIVIL PENALTIES

- 8.1(476,476A,478,479,479B) Civil penalty
- 8.2(476,476A,478,479,479B) Procedure
- 8.3(476,476A,478,479,479B) Penalties assessed
- 8.4(476,476A,478,479,479B) Payment of penalty
- 8.5(476,476A,478,479,479B) Rate-regulated utilities

CHAPTER 9
RESTORATION OF AGRICULTURAL LANDS
DURING AND AFTER PIPELINE CONSTRUCTION

- 9.1(479,479B) General information
- 9.2(479,479B) Filing of land restoration plans
- 9.3(479,479B) Procedure for review of plan
- 9.4(479,479B) Restoration of agricultural lands
- 9.5(479,479B) Designation of a pipeline company point of contact for landowner inquiries or claims
- 9.6(479,479B) Separate agreements
- 9.7(479,479B) Enforcement

CHAPTER 10
INTRASTATE GAS AND UNDERGROUND GAS STORAGE

- 10.1(479) General information
- 10.2(479) Petition for permit
- 10.3(479) Informational meetings
- 10.4(479) Notice of hearing
- 10.5(479) Objections
- 10.6(479) Hearing
- 10.7(479) Pipeline permit
- 10.8(479) Renewal permits
- 10.9(479) Amendment of permits
- 10.10(479) Fees and expenses
- 10.11(479) Inspections
- 10.12(479) Standards for construction, operation and maintenance
- 10.13 Reserved
- 10.14(479) Crossings of highways, railroads, and rivers
- 10.15 Reserved
- 10.16(479) When a permit is required
- 10.17(479) Reports to federal agencies
- 10.18(479) Reportable changes to pipelines under permit
- 10.19(479) Sale or transfer of permit

CHAPTER 11
ELECTRIC LINES

- 11.1(478) General information
- 11.2(478) Forms of petition for franchise, extension, or amendment of franchise
- 11.3(478) Additional filing instructions
- 11.4(478) Informational meetings
- 11.5(478) Notices
- 11.6(478) Common and joint use
- 11.7(478) Termination of franchise petition proceedings
- 11.8(478) Fees and expenses

CHAPTER 12
INTERSTATE NATURAL GAS PIPELINES
AND UNDERGROUND STORAGE

12.1(479A)	Authority
12.2(479A)	Inspections
12.3 to 12.5	Reserved
12.6(479A)	Incident reporting

CHAPTER 13
HAZARDOUS LIQUID PIPELINES AND UNDERGROUND STORAGE

13.1(479B)	General information
13.2(479B)	Petition for permit
13.3(479B)	Informational meetings
13.4(479B)	Notice of hearing
13.5(479B)	Objections
13.6(479B)	Hearing
13.7(479B)	Pipeline permit
13.8(479B)	Renewal permits
13.9(479B)	Amendment of permits
13.10(479B)	Fees and expenses
13.11	Reserved
13.12(479B)	Land restoration
13.13	Reserved
13.14(479B)	Crossings of highways, railroads, and rivers
13.15 to 13.17	Reserved
13.18(479B)	Reportable changes to pipelines under permit
13.19(479B)	Sale or transfer of permit

CHAPTER 14
ELECTRONIC FILING

14.1(17A,476)	Purpose
14.2(17A,476)	Scope and applicability of electronic filing requirement
14.3(17A,476)	Definitions
14.4(17A,476)	Exceptions; number of paper copies required
14.5(17A,476)	Electronic filing procedures and required formats
14.6(17A,476)	Registration
14.7(17A,476)	Electronic file
14.8(17A,476)	Paper copies required
14.9(17A,476)	When electronic filings can be made; official filing date
14.10(17A,476)	Notice of system unavailability
14.11(17A,476)	Technical difficulties
14.12(17A,476)	Documents containing confidential material
14.13(17A,476)	Signatures
14.14(17A,476)	Original documents
14.15(17A,476)	Transcripts
14.16(17A,476)	Electronic service

*UTILITIES AND
TRANSPORTATION DIVISIONS*

CHAPTER 15
COGENERATION AND SMALL POWER PRODUCTION

15.1(476)	Definitions
15.2(476)	Scope

15.3(476)	Information to board
15.4(476)	Rate-regulated electric utility obligations under this chapter regarding qualifying facilities
15.5(476)	Rates for purchases from qualifying facilities by rate-regulated electric utilities
15.6(476)	Rates for sales to qualifying facilities and AEP facilities by rate-regulated utilities
15.7(476)	Additional services to be provided to qualifying facilities and AEP facilities by rate-regulated electric utilities
15.8(476)	Interconnection costs
15.9(476)	System emergencies
15.10(476)	Standards for interconnection, safety, and operating reliability
15.11(476)	Additional rate-regulated utility obligations regarding AEP facilities
15.12 to 15.16	Reserved
15.17(476)	Alternate energy purchase programs
15.18(476B)	Certification of eligibility for wind energy tax credits under Iowa Code chapter 476B
15.19(476C)	Certification of eligibility for wind energy and renewable energy tax credits under Iowa Code chapter 476C
15.20(476B)	Applications for wind energy tax credits under Iowa Code chapter 476B
15.21(476C)	Applications for renewable energy tax credits under Iowa Code chapter 476C
15.22(476)	Small wind innovation zones

CHAPTER 16 ACCOUNTING

16.1(476)	Accounting—general information
16.2(476)	Uniform systems of accounts—electric
16.3(476)	Uniform systems of accounts—gas
16.4(476)	Uniform systems of accounts—water
16.5(476)	Uniform systems of accounts—telephone
16.6	Reserved
16.7(476)	Filing of promotional practices
16.8(476)	Compiling advertisements and expenses
16.9(476)	Postemployment benefits other than pensions

CHAPTER 17 ASSESSMENTS

17.1(475A,476,546)	Purpose
17.2(475A,476)	Definitions
17.3(476)	Expenses to be included in direct assessments
17.4(476)	Direct assessments under Iowa Code section 476.10
17.5(476)	Reporting of operating revenues
17.6(475A,476)	Compilation and billing of assessment
17.7(476)	Funding of Iowa energy center and center for global and regional environmental research
17.8(476)	Assessments under Iowa Code section 476.95B
17.9(477C)	Assessments of expenses for dual party relay service program and equipment distribution program
17.10(475A,476)	Objection procedures
17.11(476,477C)	Refunds

CHAPTER 18 UTILITY RECORDS

18.1(476)	Definitions
18.2(476)	Location of records

18.3(476)	Availability of records
18.4(476)	Electric utilities other than rural electric cooperatives
18.5(476)	Rural electric cooperatives
18.6(476)	Gas utilities
18.7(476)	Water utilities

CHAPTER 19

SERVICE SUPPLIED BY GAS UTILITIES

19.1(476)	General information
19.2(476)	Records, reports, and tariffs
19.3(476)	General service requirements
19.4(476)	Customer relations
19.5(476)	Engineering practice
19.6(476)	Metering
19.7(476)	Standards of quality of service
19.8(476)	Safety
19.9	Reserved
19.10(476)	Purchased gas adjustment (PGA)
19.11(476)	Periodic review of gas procurement practices
19.12(476)	Flexible rates
19.13(476)	Transportation service
19.14(476)	Certification of competitive natural gas providers and aggregators
19.15(476)	Customer contribution fund
19.16(476)	Reserve margin
19.17(476)	Incident notification and reports
19.18(476)	Capital infrastructure investment automatic adjustment mechanism

CHAPTER 20

SERVICE SUPPLIED BY ELECTRIC UTILITIES

20.1(476)	General information
20.2(476)	Records, reports, and tariffs
20.3(476)	General service requirements
20.4(476)	Customer relations
20.5(476)	Engineering practice
20.6(476)	Metering
20.7(476)	Standards of quality of service
20.8(476)	Safety
20.9(476)	Electric energy sliding scale or automatic adjustment
20.10(476)	Ratemaking standards
20.11(476)	Customer notification of peaks in electric energy demand
20.12	Reserved
20.13(476)	Periodic electric energy supply and cost review [476.6(16)]
20.14(476)	Flexible rates
20.15(476)	Customer contribution fund
20.16	Reserved
20.17(476)	Ratemaking treatment of emission allowances
20.18(476,478)	Service reliability requirements for electric utilities
20.19(476,478)	Notification of outages
20.20(476)	Electric vehicle charging service

CHAPTER 21
SERVICE SUPPLIED BY WATER, SANITARY SEWAGE, AND STORM WATER DRAINAGE
UTILITIES

DIVISION I
GENERAL PROVISIONS

- 21.1(476) Application of rules
21.2(476) Records and reports for water, sanitary sewage, and storm water drainage utilities

DIVISION II
WATER UTILITIES

- 21.3(476) General water service requirements
21.4(476) Customer relations for water service
21.5(476) Engineering practice for water service
21.6(476) Meter testing for water service
21.7(476) Standards of quality of water service
21.8(476) Applications for water costs for fire protection services
21.9(476) Incident reports regarding water service
21.10(476) Separate books for acquired water service assets

DIVISION III
SANITARY SEWAGE UTILITIES

- 21.11(476) General sanitary sewage disposal service requirements
21.12(476) Customer relations for sanitary sewage disposal service
21.13(476) Engineering practice for sanitary sewage disposal service
21.14(476) Meter testing for sanitary sewage disposal service
21.15(476) Standards of quality of sanitary sewage disposal service
21.16(476) Incident reports regarding sanitary sewage disposal service
21.17(476) Separate books for acquired sanitary sewage disposal service assets

DIVISION IV
STORM WATER DRAINAGE UTILITIES

- 21.18(476) Standards of quality of storm water drainage service
21.19(476) Customer relations for storm water drainage service
21.20(476) Incident reports regarding storm water drainage service
21.21(476) Separate books for acquired storm water drainage service assets

CHAPTER 22
REGULATION OF TELECOMMUNICATIONS SERVICE

- 22.1(476) General information
22.2(476) Tariffs
22.3(476) Customer complaints
22.4(476) Intrastate access charge application, tariff procedures, and rates
22.5(476) Interexchange telecommunications service provider service and access
22.6(476) Alternative operator services
22.7(476) Service territories
22.8(476) Registration of telecommunications service providers
22.9(476) Unauthorized changes in telecommunications service

CHAPTER 23
ANNUAL REPORT

- 23.1(476) General information
23.2(476) Annual report requirements

CHAPTER 24
LOCATION AND CONSTRUCTION OF ELECTRIC POWER
GENERATING FACILITIES

- 24.1(476A) Authority, purpose, and policy
- 24.2(476A) Definitions
- 24.3(476A) Form of application, place of filing
- 24.4(476A) Application for a certificate—contents
- 24.5(476A) Initial board review: Application acceptance
- 24.6(476A) Procedural schedule
- 24.7(476A) Informational meeting
- 24.8(476A) Hearing procedure
- 24.9(476A) Separate hearings on separate issues
- 24.10(476A) Certification decision
- 24.11(476A) Site preparation
- 24.12(476A) Issuance of a certificate
- 24.13(476A) Exemptions from certification application; application for amendment for certificate: Contents
- 24.14(476A) Assessment of costs
- 24.15(476A) Waiver

CHAPTER 25
IOWA ELECTRICAL SAFETY CODE

- 25.1(476,476A,478) General information
- 25.2(476,476A,478) Iowa electrical safety code defined
- 25.3(476,478) Inspection and maintenance plans
- 25.4(476,478) Correction of problems found during inspections and pole attachment procedures
- 25.5(476,478) Accident reports

CHAPTER 26
RATE CASES, TARIFFS, AND
RATE REGULATION ELECTION PRACTICE AND PROCEDURE

- 26.1(17A,476) Scope and applicability
- 26.2(17A,476) Defective filings
- 26.3(17A,476) Proposal of settlements
- 26.4(476) Rate case expense
- 26.5(476) Applications and petitions
- 26.6(476) Answers
- 26.7(476) Rate investigation
- 26.8(476) Procedural schedule in Iowa Code sections 476.3 and 476.6 proceedings
- 26.9(476) Consumer comment hearing in docketed rate case of an investor-owned utility company
- 26.10(476) Appeal from administrative law judge's decision
- 26.11(476) Consideration of current information in rate regulatory proceedings
- 26.12(476) Rate regulation election—electric cooperative corporations and associations

CHAPTERS 27 and 28
Reserved

CHAPTER 29
MANAGEMENT EFFICIENCY EVALUATION

- 29.1(476) Policy and purpose
- 29.2(476) Efficiency considered in a complaint or rate case proceeding

- 29.3(476) Management efficiency evaluation
- 29.4(476) Rewards and penalties

CHAPTER 30

RENEWABLE ENERGY PERCENTAGE VERIFICATION

- 30.1(476) General information
- 30.2(476) Process for verification
- 30.3(476) Reasonableness and prudence of REC retirement
- 30.4(476) Renewable energy claims

CHAPTER 31

ACCESS TO AFFILIATE RECORDS, REQUIREMENTS FOR ANNUAL FILINGS, AND ASSET AND SERVICE TRANSFERS

- 31.1(476) Applicability and definition of terms
- 31.2(476) Availability of records
- 31.3(476) Annual filing
- 31.4 Reserved
- 31.5(476) Verified copies and confidential treatment
- 31.6(476) Comparable information
- 31.7(476) Standards for costing service transfers between regulated operations and nonregulated affiliates
- 31.8(476) Standards for costing asset transfers between regulated operations and non-regulated affiliates valued at less than \$2 million
- 31.9(476) Waivers

CHAPTER 32

REORGANIZATION

- 32.1(476) Applicability and definition of terms
- 32.2(476) Substantial part of a public utility's assets
- 32.3(476) Declaratory orders
- 32.4(476) Proposal for reorganization—filing requirements
- 32.5(476) Effective date
- 32.6(476) Insufficient filing
- 32.7(476) Additional information authorized
- 32.8(476) Waivers
- 32.9(476) Procedural matters
- 32.10(388) Approval of appraiser for municipal utilities

CHAPTER 33

NONUTILITY ACTIVITIES—RECORD KEEPING AND COST ALLOCATIONS

- 33.1(476) Applicability
- 33.2(476) Definitions
- 33.3(476) Availability of records
- 33.4(476) Costing methodology
- 33.5(476) Cost allocation manuals
- 33.6(476) Standards for costing service transfers within a regulated subsidiary or utility
- 33.7(476) Standards for costing asset transfers within a regulated subsidiary or utility

CHAPTER 34

NONUTILITY SERVICE

- 34.1(476) Statement of purpose
- 34.2(476) Definition—nonutility service

- 34.3(476) Definition—systematic marketing effort
- 34.4(476) Engaged primarily in providing the same competitive nonutility services in the area—defined
- 34.5(476) Charges permitted
- 34.6(476) Procedures for utilization of billing and collection system
- 34.7(476) Complaints

CHAPTER 35

ENERGY EFFICIENCY AND DEMAND RESPONSE PLANNING AND REPORTING FOR NATURAL GAS AND ELECTRIC UTILITIES REQUIRED TO BE RATE-REGULATED

- 35.1(476) Authority and purpose
- 35.2(476) Definitions
- 35.3(476) Energy efficiency and demand response plan filing
- 35.4(476) Assessment of potential and collaboration
- 35.5(476) Energy efficiency and demand response plan requirements
- 35.6(476) Contested case proceeding
- 35.7(476) Exemptions from participation
- 35.8(476) Annual reporting requirements
- 35.9(476) Energy efficiency and demand response cost recovery
- 35.10(476) Modification of an approved plan
- 35.11(476) Prudence review
- 35.12(476) New structure energy conservation standards

CHAPTER 36

Reserved

CHAPTER 37

EQUIPMENT DISTRIBUTION PROGRAM

- 37.1(477C) Purpose
- 37.2(477C) Program structure
- 37.3(477C) Equipment
- 37.4(477C) Application process and eligibility
- 37.5(477C) Voucher system
- 37.6(477C) Complaints

CHAPTER 38

LOCAL EXCHANGE COMPETITION

- 38.1(476) General information
- 38.2 Reserved
- 38.3(476) Interconnection requirements
- 38.4(476) Unbundled facilities, services, features, functions, and capabilities
- 38.5(476) Cost standards
- 38.6(476) Terminating access charge complaints
- 38.7(476) Mediation and arbitration

CHAPTER 39

UNIVERSAL SERVICE

- 39.1(476) Authority and purpose
- 39.2(476) Definition of terms
- 39.3(476) Applying for designation as an eligible telecommunications carrier
- 39.4(476) Lifeline-only applicants
- 39.5(476) Service area

- 39.6(476) Universal service support for low-income consumers (Lifeline program and Tribal Link Up program)
- 39.7(476) Schedule of filings
- 39.8(476) Relinquishment of ETC designation

CHAPTER 40

COMPETITIVE BIDDING PROCESS

- 40.1(476) General information
- 40.2(476) Competitive resource acquisition procedure
- 40.3(476) Utility-build or lease cost estimates
- 40.4(476) Utility affiliate bids
- 40.5(476) Request for proposals (RFP)
- 40.6(476) Complaints

CHAPTER 41

Reserved

CHAPTER 42

CROSSING OF RAILROAD RIGHTS-OF-WAY

- 42.1(476) Definitions
- 42.2(476) Applicability and purpose
- 42.3(476) General notice and specification exhibit requirements and payment of fee
- 42.4(476) Emergency notice and repairs
- 42.5(476) Relocation of public utility facilities
- 42.6(476) Engineering standards for electric and communications lines
- 42.7(476) Engineering standards for pipelines
- 42.8(476) Liability
- 42.9(476) Insurance
- 42.10(476) Removal of equipment
- 42.11(476) Assignment
- 42.12(476) Prohibition against mechanic's liens
- 42.13(476) Taxes
- 42.14(476) Protection of signal systems
- 42.15(476) Safety regulations
- 42.16(476) Recording
- 42.17(17A,476) Complaints and petitions for relief—general information
- 42.18(17A,476) Filing of complaint or petition
- 42.19(17A,476) Presiding officer
- 42.20(17A,476) Answer
- 42.21(17A,476) Parties and appearances
- 42.22(17A,476) Procedural order and notice of hearing
- 42.23(17A,476) Discovery
- 42.24(17A,476) Hearing procedures
- 42.25(17A,476) Decision

CHAPTER 43

Reserved

CHAPTER 44

CERTIFICATES OF FRANCHISE AUTHORITY FOR
CABLE AND VIDEO SERVICE

- 44.1(17A,476,477A) Authority and purpose
- 44.2(17A,476,477A) Definitions

44.3(17A,476,477A)	Certificate of franchise authority
44.4(17A,476,477A)	Notice to municipality and incumbent cable provider
44.5(17A,476,477A)	Conversion of municipal franchise by incumbent cable provider
44.6(17A,476,477A)	Revocation of certificates, termination of service, reinstatement of previously terminated municipal franchises
44.7(17A,476,477A)	Renewal of certificate of franchise authority
44.8(17A,476,477A)	Assessment of board costs

CHAPTER 45

ELECTRIC INTERCONNECTION OF DISTRIBUTED GENERATION FACILITIES

45.1(476)	Definitions
45.2(476)	Scope
45.3(476)	Technical standards
45.4(476)	Interconnection requests
45.5(476)	General requirements
45.6(476)	Lab-certified equipment
45.7(476)	Determining the review level
45.8(476)	Level 1 expedited review
45.9(476)	Level 2 expedited review
45.10(476)	Level 3 expedited review
45.11(476)	Level 4 review
45.12(476)	Disputes
45.13(476)	Records and reports