2.31(17A)

RACING AND GAMING COMMISSION [491]

[Prior to 11/19/86, Chs 1 to 10, see Racing Commission [693]; Renamed Racing and Gaming Division [195] under the "umbrella" of Commerce, Department of [181], 11/19/86]

[Prior to 12/17/86, Chs 20 to 25, see Revenue Department [730] Chs 91 to 96]

[Transferred from Commerce Department[181] to the Department of Inspections and Appeals "umbrella" [481] pursuant to 1987 Iowa Acts, chapter 234, section 421]

[Renamed Racing and Gaming Commission [491], 8/23/89; See 1989 Iowa Acts, ch 67 \$1(2), and ch 231 \$30(1), 31]

CHAPTER 1 ORGANIZATION AND OPERATION

	ORGANIZATION AND OPERATION
1.1(99D,99F)	Function
1.2(99D,99F)	Organization, meetings, and procedure
1.3(99D,99F)	Administration of the commission
1.4(17A,22,99D,99	F) Open records
1.5(17A,99D,99F)	Forms
1.6	Reserved
1.7(99D,99F)	Criteria for granting licenses, renewing licenses, and determining race dates
1.8(17A,99D,99F)	Granting of a waiver
	CHAPTER 2
	RULE MAKING AND DECLARATORY ORDERS
2.1(17A)	Applicability
2.2(17A)	Advice on possible rules before notice of proposed rule adoption
2.3(17A)	Public rule-making docket
2.4(17A)	Notice of proposed rule making
2.5(17A)	Public participation
2.6(17A)	Regulatory analysis
2.7(17A,25B)	Fiscal impact statement
2.8(17A)	Time and manner of rule adoption
2.9(17A)	Variance between adopted rule and published notice of proposed rule adoption
2.10(17Å)	Exemption from public rule-making procedures
2.11(17A)	Concise statement of reasons
2.12(17A)	Contents, style, and form of rule
2.13(17A)	Agency rule-making record
2.14(17A)	Filing of rules
2.15(17A)	Effectiveness of rules prior to publication
2.16(17A)	General statements of policy
2.17(17A)	Review by commission of rules
2.18(17A)	Petition for rule making
2.19(17A)	General
2.20(17A)	Petition for declaratory order
2.21(17A)	Notice of petition
2.22(17A,99D,99F)	Intervention
2.23(17A)	Briefs
2.24(17A)	Inquiries
2.25(17A)	Service and filing of petitions and other papers
2.26(17A)	Consideration
2.27(17A)	Action on petition
2.28(17A)	Refusal to issue order
2.29(17A)	Contents of declaratory order—effective date
2.30(17A)	Copies of orders
0.01/17/	Ti 00

Effect of a declaratory order

CHAPTER 3 FAIR INFORMATION PRACTICES (Uniform Rules)

	(Uniform Rules)
3.1(17A,22)	Definitions
3.3(17A,22)	Requests for access to records
3.6(17A,22)	Procedure by which additions, dissents, or objections may be entered into certain
	records
3.9(17A,22)	Disclosures without the consent of the subject
3.10(17A,22)	Routine use
3.11(17A,22)	Consensual disclosure of confidential records
3.12(17A,22)	Release to subject
3.13(17A,22)	Availability of records
3.14(17A,22)	Personally identifiable information
(- , ,	·
	CHAPTER 4
	CONTESTED CASES AND OTHER PROCEEDINGS
4.1(17A)	Scope and applicability
4.2(17A)	Definitions
4.3(17A)	Time requirements
	DIVISION I
	GAMING REPRESENTATIVE, GAMING BOARD,
4.4(0.07-0.07)	AND BOARD OF STEWARDS
4.4(99D,99F)	Gaming representatives—licensing and regulatory duties
4.5(99D,99F)	Gaming board—duties
4.6(99D,99F)	Stewards—licensing and regulatory duties
4.7(99D,99F)	Penalties (gaming board and board of stewards)
4.8(99D,99F)	Effect of another jurisdiction's order
4.9(99D,99F)	Service of administrative actions
4.10(99D,99F)	Appeals of administrative actions
4.11 to 4.19	Reserved
	DIVISION II
	CONTESTED CASES
4.20(17A)	Requests for contested case proceedings not covered in Division I
4.21(17A)	Notice of hearing
4.22(17A)	Presiding officer
4.23(17A)	Waiver of procedures
4.24(17A)	Telephone proceedings
4.25(17A)	Disqualification
4.26(17A)	Consolidation—severance
4.27(17A)	Pleadings
4.28(17A)	Service and filing of pleadings and other papers
4.29(17A)	Discovery
4.30(17A)	Subpoenas
4.31(17A)	Motions
4.32(17A)	Prehearing conference
4.33(17A)	Continuances
4.34(17A)	Withdrawals
4.35(17A)	Intervention
4.36(17A)	Hearing procedures
4.37(17A)	Evidence
4.38(17A)	Default
4.39(17A)	Ex parte communication
4.40(17A)	Recording costs
10(1/11)	resortaing costs

4.41(17.4)	Tutada autama augusta	
4.41(17A)	Interlocutory appeals	
4.42(17A)	Final decision	
4.43(17A)	Appeals and review	
4.44(17A)	Applications for rehearing	
4.45(17A)	Stays of commission actions	
4.46(17A)	No factual dispute contested cases	
4.47(17A)	Emergency adjudicative proceedings	
4.48(17A)	Contested case hearings before the commission	
	CHAPTER 5	
TRACK, GAMBLING STRUCTURE, AND EXCURSION GAMBLING BOAT		
5.1(99D,99F)	LICENSEES' RESPONSIBILITIES In general	
	Annual reports	
5.2(99D,99F)	Information	
5.3(99D,99F)		
5.4(99D,99F)	Uniform requirements	
5.5(99D)	Pari-mutuel uniform requirements	
5.6(99F)	Excursion gambling boat uniform requirements	
	CHAPTER 6	
	OCCUPATIONAL AND VENDOR LICENSING	
6.1(99D,99F)	Definitions	
6.2(99D,99F,252J)	Occupational licensing	
6.3(99D,99F)	Waiver of privilege	
6.4(99D,99F)	License acceptance	
6.5(99D,99F)	Grounds for denial, suspension, or revocation of a license or issuance of a fine	
6.6(99D,99F)	Applications for license after denial, revocation, or suspension	
6.7(99D,99F)	Probationary period placed on a license	
6.8(99D,99F)	Duration of license	
6.9(99D,99F)	Licensed employees moving from one location to another	
6.10(99D,99F)	Required report of discharge of licensed employee	
6.11(99D,99F,252J		
6.12(99D,99F,261)	Receipt of a certificate of noncompliance from the college student aid commission	
6.13(99D,99F,272I	Receipt of certificate of noncompliance from the centralized collection unit of	
	the department of revenue	
6.14(99D,99F)	Vendor's license	
6.15(99D,99F)	Applicability of rules—exceptions	
6.16(99D)	Disclosure of ownership of racing animals	
6.17(99D)	Owners of racing animals	
6.18(99D)	Kennel/stable name	
6.19(99D)	Leases (horse racing only)	
6.20(99D)	Partnerships owning racing animals	
6.21(99D)	Corporations owning racing animals	
6.22(99D)	Authorized agents for owner entities of racing animals	
6.23(99D)	Trainers and assistant trainers of racing animals	
6.24(99D)	Jockeys and apprentice jockeys	
6.25(99D)	Jockey agent	
6.26(99D)	Driver	
6.27(99D)	Practicing veterinarians	
6.28(99D,99F)	Alcohol and drug testing	
6.29(99D)	Time by which owner and trainer must be licensed	

CHAPTER 7 GREYHOUND RACING

	GREYHOUND RACING	
7.1(99D)	Terms defined	
7.2(99D)	Facility's responsibilities	
7.3(99D)	Racing officials—duties	
7.4(99D)	Lead-outs	
7.5(99D)	Trainers and assistant trainers	
7.6(99D)	Registration	
7.7(99D)	Entries	
7.8(99D)	Withdrawals and scratches	
7.9(99D)	Weights and weighing	
7.10(99D)	Qualifying time	
7.11(99D)	Schooling	
7.12(99D)	Running of the race	
7.13(99D)	Race reckless/interfered/ruled off	
7.14(99D)	Medication and administration, sample collection, chemists, and practicing veterinarians	
	CHAPTER 8	
	WAGERING AND SIMULCASTING	
8.1(99D)	Definitions	
8.2(99D)	General	
8.3(99D)	Calculation of payoffs and distribution of pools	
8.4(99D)	Simulcast wagering	
8.5(99D)	Interstate common-pool wagering	
	CHAPTER 9	
	HARNESS RACING	
9.1(99D)	Terms defined	
9.2(99D)	Facilities' responsibilities	
9.3(99D)	Facility policies	
9.4(99D)	Racing officials	
9.5(99D)	Trainer and driver responsibilities	
9.6(99D)	Conduct of races	
9.7(99D)	Medication and administration, sample collection, chemists, and practicing	
	veterinarian	
	CHAPTER 10	
10.1(007)	THOROUGHBRED AND QUARTER HORSE RACING	
10.1(99D)	Terms defined	
10.2(99D)	Facilities' responsibilities	
10.3(99D)	Facility policies	
10.4(99D)	Racing officials	
10.5(99D)	Trainer, jockey, and jockey agent responsibilities	
10.6(99D)	Conduct of races	
10.7(99D)	Medication and administration, sample collection, chemists, and practicing veterinarian	
CHAPTER 11		
	GAMBLING GAMES	
11.1(99F)	Definitions	
11.2(99F)	Conduct of all gambling games	
11.3(99F)	Gambling games approved by the commission	

11.4(99F)	Approval for distribution, operation, or movement of gambling games and implements of gambling	
11.5(99F)	Gambling games authorized	
11.6(99F)	Gambling game-based tournaments	
11.7(99F)	Table game requirements	
11.8(99F)	Keno	
11.9(99F)	Slot machine requirements	
11.10(99F)	Slot machine hardware and software specifications	
11.11	Reserved	
11.12(99F)	Progressive slot machines	
11.13(99F)	Licensing of manufacturers and distributors of gambling games or implements of gambling	
CHAPTER 12		
	ACCOUNTING AND CASH CONTROL	
12.1(99F)	Definitions	
12.2(99F)	Accounting records	
12.3(99F)	Facility internal controls	
12.4(99F)	Accounting controls within the cashier's cage	
12.5(99F)	Gaming table container	
12.6(99F)	Accepting currency at gaming tables	
12.7(99F)	Procedures for the movement of gaming chips to and from gaming tables	
12.8(99F)	Dropping or opening a gaming table	
12.9(99F)	Slot machine container and key	
12.10(99F)	Procedures for hopper fills and attendant payouts	
12.11(99F)	Attendant and ticket payout accounting	
12.12(99F)	Computer recording requirements and monitoring of slot machines	
12.13(99F)	Transportation of containers	
12.14(99F)	Count room—characteristics	
12.15(99F)	Opening, counting, and recording contents of containers in the count room	