UTILITIES DIVISION [199]

Former Commerce Commission [250] renamed Utilities Division [199] under the "umbrella" of Commerce Department [181] by 1986 Iowa Acts, Senate File 2175, section 740.

### CHAPTER 1 ORGANIZATION AND OPERATION

	ORGANIZATION AND OPERATION
1.1(17A,474)	Purpose
1.2(17A,474)	Scope of rules
1.3(17A,474,476,7	8GA,HF2206) Waivers
1.4(17A,474)	Duties of the board
1.5(17A,474)	Organization
1.6(68B)	Consent for the sale or lease of goods and services
1.7	Reserved
1.8(17A,474)	Matters applicable to all proceedings
1.9(22)	Public information and inspection of records
	CHAPTER A
	CHAPTER 2
0.1(17.4.47.4)	FORMS
2.1(17A,474)	Forms—general
2.2(17A,474)	Specific forms
2.3	Reserved
2.4(17A,474)	Forms
	CHAPTER 3
	RULE MAKING
3.1(17A,474)	Purpose and scope
3.2(17A,474)	Notice of inquiry
3.3(17A,474)	Petition for adoption of rules
3.4(17A,474)	Commencement of proceedings
3.5(17A,474)	Written statements of position
3.6(17A,474)	Counterstatements of position
3.7(17A,474)	Requests for oral presentation
3.8(17A,474)	Rule-making oral presentation
3.9(17A,474)	Rule-making decisions
3.10(17A,474)	Regulatory analysis
3.11(17A,474)	Review of rules
	CHAPTER 4
	DECLARATORY ORDERS
4.1(17A)	Petition for declaratory order
4.2(17A)	Notice of petition
4.3(17A)	Intervention
4.4(17A)	Briefs
4.5(17A)	Inquiries
4.6(17A)	Service and filing of petitions and other papers
4.7(17A)	Agency consideration
4.8(17A)	Action on petition
4.9(17A)	Refusal to issue order
4.10(17Å)	Contents of declaratory order—effective date
4.11(17A)	Copies of orders
4.12(17A)	Effect of a declaratory order
* /	•

#### **CHAPTER 5** PROCEDURE FOR DETERMINING THE COMPETITIVENESS OF A COMMUNICATIONS SERVICE OR FACILITY 5.1(476) Purpose 5.2(476) Petition 5.3(476) Docketing 5.4(476) Statement of position Oral presentation 5.5(476) Decision 5.6(476) 5.7(476) Extent of deregulation Hearing and order 5.8(476) **CHAPTER 6** COMPLAINT PROCEDURES 6.1(476) Inquiry Complaint 6.2(476) 6.3(476) Processing the complaint Proposed resolution 6.4(476) Initiating formal complaint proceedings 6.5(476) Applicable procedures 6.6(476) Record 6.7(476) 6.8(476) Special procedures for complaints alleging unauthorized changes in telecommunications services CHAPTER 7 PRACTICE AND PROCEDURE Scope and applicability 7.1(17A,474,476) **Definitions** 7.2(17A,476) Presiding officers 7.3(17A,476) General information 7.4(17A,474,476) 7.5(17A,476) Time requirements 7.6(17A,476) Telephone proceedings Electronic information 7.7(17A,476) Delivery of notice of hearing 7.8(17A,476) Pleadings and answers 7.9(17A,476) Prefiled testimony and exhibits 7.10(17A,476) Documentary evidence in books and materials 7.11(17A,476) Motions 7.12(17A,476) 7.13(17A,476) Intervention Consolidation and severance 7.14(17A,476) 7.15(17A,476) Discovery Subpoenas 7.16(17A,476) Prehearing conference 7.17(17A,476) 7.18(17A,476) Settlements 7.19(17A,476) Stipulations 7.20(17A,476) Investigations Withdrawals 7.21(17A,476) 7.22(17A,476) Ex parte communication Hearings 7.23(17A,476) Reopening record 7.24(17A,476) 7.25(17A,476) Interlocutory appeals

Appeals to board from a proposed decision of a presiding officer

7.26(17A,476)

7.27(17A,476)	Rehearing and reconsideration
7.28(17A,476)	Stay of agency decision
7.29(17A,476)	Emergency adjudicative proceedings
	CHAPTED 0
	CHAPTER 8
0.1(456)	CIVIL PENALTIES
8.1(476)	Civil penalty for willful violation
8.2(476)	Procedure
8.3(476)	Penalties assessed
8.4(476)	Payment of penalty
8.5(476)	Rate-regulated utilities
	CHAPTER 9
	RESTORATION OF AGRICULTURAL LANDS
	DURING AND AFTER PIPELINE CONSTRUCTION
9.1(479,479B)	General information
9.2(479,479B)	Filing of land restoration plans
9.3(479,479B)	Procedure for review of plan
9.4(479,479B)	Restoration of agricultural lands
9.5(479,479B)	Designation of a pipeline company point of contact for landowner inquiries or
).3( <del>4</del> 7), <del>4</del> 7) <b>D</b> )	claims
9.6(479,479B)	Separate agreements
9.7(479,479B)	Enforcement
	CHAPTER 10
10.1(470)	INTRASTATE GAS AND UNDERGROUND GAS STORAGE
10.1(479)	General information
10.2(479)	Petition for permit
10.3(479)	Informational meetings
10.4(479)	Notice of hearing
10.5(479)	Objections
10.6(479)	Hearing
10.7(479)	Pipeline permit
10.8(479)	Renewal permits
10.9(479)	Amendment of permits
10.10(479)	Fees and expenses
10.11(479)	Inspections
10.12(479)	Standards for construction, operation and maintenance
10.13	Reserved
10.14(479)	Crossings of highways, railroads, and rivers
10.15	Reserved
10.16(479)	When a permit is required
10.17(479)	Accidents and incidents
10.18(479)	Reportable changes to pipelines under permit
10.19(479)	Sale or transfer of permit
	CHAPTER 11
	ELECTRIC LINES
11.1(478)	General information
11.2(478)	Forms of petition for franchise, extension, or amendment of franchise
11.3(478)	Additional filing instructions
11.4(478)	Informational meetings
11.5(478)	Notices

11.6(478)	Common and joint use
11.7(478)	Termination of franchise petition proceedings
11.8(478)	Fees and expenses
, ,	
	CHAPTER 12
	INTERSTATE NATURAL GAS PIPELINES
	AND UNDERGROUND STORAGE
12.1(479A)	Authority
12.2(479A)	Inspections
12.3 to 12.5	Reserved
12.6(479A)	Incident reporting
,	
	CHAPTER 13
HAZ	ARDOUS LIQUID PIPELINES AND UNDERGROUND STORAGE
13.1(479B)	General information
13.2(479B)	Petition for permit
13.3(479B)	Informational meetings
13.4(479B)	Notice of hearing
13.5(479B)	Objections
13.6(479B)	Hearing
13.7(479B)	Pipeline permit
13.8(479B)	Renewal permits
13.9(479B)	Amendment of permits
13.10(479B)	Fees and expenses
13.11	Reserved
13.12(479B)	Land restoration
13.13	Reserved
13.14(479B)	Crossings of highways, railroads, and rivers
13.15 to 13.17	Reserved
13.18(479B)	Reportable changes to pipelines under permit
13.19(479B)	Sale or transfer of permit
13.19(479D)	Sale of transfer of permit
	CHAPTER 14
	ELECTRONIC FILING
14.1(17A,476)	Purpose
14.2(17A,476)	Scope and applicability of electronic filing requirement
14.3(17A,476)	Definitions
14.4(17A,476)	Exceptions; number of paper copies required
14.5(17A,476)	Electronic filing procedures and required formats
14.6(17A,476)	Registration
14.7(17A,476)	Electronic file
14.8(17A,476)	Paper copies required
14.9(17A,476)	When electronic filings can be made; official filing date
14.10(17A,476)	Notice of system unavailability
14.11(17A,476)	Technical difficulties
14.11(17A,476) 14.12(17A,476)	Documents containing confidential material
14.12(17A,476) 14.13(17A,476)	Signatures
14.13(17A,476) 14.14(17A,476)	Original documents
14.14(17A,476) 14.15(17A,476)	Transcripts
14.15(17A,476) 14.16(17A,476)	Electronic service
17.10(1/A,4/0)	LICEUTING SCI VICC

#### UTILITIES AND TRANSPORTATION DIVISIONS

## CHAPTER 15

	CHAPTER 15
	COGENERATION AND SMALL POWER PRODUCTION
15.1(476)	Definitions
15.2(476)	Scope
15.3(476)	Information to board
15.4(476)	Rate-regulated electric utility obligations under this chapter regarding qualifying facilities
15.5(476)	Rates for purchases from qualifying facilities by rate-regulated electric utilities
15.6(476) 15.7(476)	Rates for sales to qualifying facilities and AEP facilities by rate-regulated utilities Additional services to be provided to qualifying facilities and AEP facilities by rate-regulated electric utilities
15.8(476)	Interconnection costs
15.9(476)	System emergencies
15.10(476)	Standards for interconnection, safety, and operating reliability
15.11(476)	Additional rate-regulated utility obligations regarding AEP facilities
15.12 to 15.16	Reserved
15.17(476)	Alternate energy purchase programs
15.18(476B)	Certification of eligibility for wind energy tax credits under Iowa Code chapter 476B
15.19(476C)	Certification of eligibility for wind energy and renewable energy tax credits under Iowa Code chapter 476C
15.20(476B)	Applications for wind energy tax credits under Iowa Code chapter 476B
15.21(476C)	Applications for renewable energy tax credits under Iowa Code chapter 476C
15.22(476)	Small wind innovation zones
	CHAPTER 16
	ACCOUNTING
16.1(476)	Accounting—general information
16.2(476)	Uniform systems of accounts—electric
16.3(476)	Uniform systems of accounts—gas
16.4(476)	Uniform systems of accounts—gas  Uniform systems of accounts—water
16.5(476)	Uniform systems of accounts—telephone
16.6(476)	Uniform systems of accounts—telegraph
16.7(476)	Filing of present promotional practices
16.8(476)	Compiling advertisements and expenses
16.9(476)	Postemployment benefits other than pensions
10.9(4/0)	Fostemployment benefits other than pensions
	CHAPTER 17
	ASSESSMENTS
17.1(475A,476,546	
17.2(475A,476)	Definitions
17.3(476)	Expenses to be included in direct assessments
17.4(476)	Direct assessments under Iowa Code Supplement section 476.10
17.5(476)	Reporting of operating revenues
17.6(475A,476)	Compilation and billing of assessment
17.7(476)	Funding of Iowa energy center and global warming center
17.8(476)	Assessments under Iowa Code section 476.101(10)
	A,479B) Assessments under Iowa Code chapters 478, 479, 479A, and 479B
	•

# CHAPTER 18 UTILITY RECORDS

	UTILITY RECORDS
18.1(476)	Definitions
18.2(476)	Location of records
18.3(476)	Availability of records
18.4(476)	Electric utilities other than rural electric cooperatives
18.5(476)	Rural electric cooperatives
18.6(476)	Gas utilities
18.7(476)	Water utilities
18.8(476)	Telephone utilities
()	· · · · · · · · · · · · · · · · · · ·
	CHAPTER 19
	SERVICE SUPPLIED BY GAS UTILITIES
19.1(476)	General information
19.2(476)	Records, reports, and tariffs
19.3(476)	General service requirements
19.4(476)	Customer relations
19.5(476)	Engineering practice
19.6(476)	Metering
19.7(476)	Standards of quality of service
19.8(476)	Safety
19.9	Reserved
19.10(476)	Purchased gas adjustment (PGA)
19.11(476)	Periodic review of gas procurement practices [476.6(15)]
19.12(476)	Flexible rates
19.13(476)	Transportation service
19.14(476)	Certification of competitive natural gas providers and aggregators
19.15(476)	Customer contribution fund
19.16(476)	Reserve margin
19.17(476)	Incident notification and reports
19.18(476)	Capital infrastructure investment automatic adjustment mechanism
	OHARTER 40
	CHAPTER 20
20.1(476)	SERVICE SUPPLIED BY ELECTRIC UTILITIES General information
20.1(476)	
20.2(476)	Records, reports, and tariffs
20.3(476)	General service requirements
20.4(476)	Customer relations
20.5(476)	Engineering practice
20.6(476)	Metering
20.7(476)	Standards of quality of service
20.8(476)	Safety
20.9(476)	Electric energy sliding scale or automatic adjustment
20.10(476)	Ratemaking standards
20.11(476)	Customer notification of peaks in electric energy demand
20.12	Reserved
20.13(476)	Periodic electric energy supply and cost review [476.6(16)]
20.14(476)	Flexible rates
20.15(476)	Customer contribution fund
20.16	Reserved  Reterraling treatment of emission allowances
20.17(476)	Determalizing treatment of amiggion allowers

Ratemaking treatment of emission allowances

20.17(476)

20.18(476,478)	Service reliability requirements for electric utilities
20.19(476,478)	Notification of outages
	CHARTER 21
	CHAPTER 21
21.1(476)	SERVICE SUPPLIED BY WATER UTILITIES
21.1(476)	Application of rules
21.2(476)	Records and reports
21.3(476)	General service requirements
21.4(476)	Customer relations
21.5(476)	Engineering practice
21.6(476)	Meter testing
21.7(476)	Standards of quality of service
21.8(476)	Applications for water costs for fire protection services
21.9(476)	Incident reports
	CHAPTER 22
DATES	CHARGED AND SERVICE SUPPLIED BY TELEPHONE UTILITIES
22.1(476)	General information
22.2(476)	Records and reports
22.3(476)	General service requirements
22.4(476)	Customer relations
22.5(476)	Telephone utility service standards
22.6(476)	Standards of quality of service
22.7(476)	Safety
22.8(476)	Nontoll interexchange trunking service (EAS) survey procedure
22.9(476)	Terminal equipment
22.10(476)	Unfair practices
22.11(476)	Inside station wiring standards
22.12(476)	Contents of tariff filings proposing rates
22.13	Reserved
22.14(476)	Intrastate access charge application, tariff procedures, and rates
22.15(476)	Interexchange utility service and access
22.16(476)	Discontinuance of service
22.17(476)	Resale of service
22.18	Reserved
22.19(476)	Alternative operator services
22.20(476)	Service territories
22.21(476)	Toll dialing patterns
22.22	Reserved
22.23(476)	Unauthorized changes in telephone service
22.24(476)	Applications for numbering resources
	CHAPTER 23
	ANNUAL REPORT
23 1(476)	General information
23.1(476)	
23.2(476)	Annual report requirements—rate-regulated utilities

23.3(476) 23.4(476,476A)	Annual report requirements—non-rate-regulated utilities
	CHAPTER 24
	LOCATION AND CONSTRUCTION OF ELECTRIC POWER
	GENERATING FACILITIES
24.1(476A)	Authority, purpose, and policy
24.2(476A)	Definitions
24.3(476A)	Form of application, place of filing
24.4(476A)	Application for a certificate—contents
24.5(476A)	Initial board review: Application acceptance
24.6(476A)	Procedural schedule
24.7(476A)	Informational meeting
24.8(476A)	Hearing procedure
24.9(476A)	Separate hearings on separate issues
24.10(476A)	Certification decision
24.11(476A)	Site preparation
24.12(476A)	Issuance of a certificate
24.13(476A)	Exemptions from certification application; application for amendment for
	certificate: Contents
24.14(476A)	Assessment of costs
24.15(476A)	Waiver
	CHAPTER 25
	IOWA ELECTRICAL SAFETY CODE
25.1(476,476A,478	
25.2(476,476A,478	,
25.3(476,478)	Inspection and maintenance plans
25.4(476,478)	Correction of problems found during inspections
25.5(476,478)	Accident reports
23.3(170,170)	recident reports
	CHAPTER 26
	RATE CASES, TARIFFS, AND
	ATE REGULATION ELECTION PRACTICE AND PROCEDURE
26.1(17A,476)	Scope and applicability
26.2(17A,476)	Defective filings
26.3(17A,476)	Proposal of settlements
26.4(476)	Rate case expense
26.5(476)	Applications and petitions
26.6(476)	Answers
26.7(476)	Rate investigation
26.8(476)	Procedural schedule in Iowa Code sections 476.3 and 476.6 proceedings
26.9(476)	Consumer comment hearing in docketed rate case of an investor-owned utility company
26.10(476)	Appeal from administrative law judge's decision
26.11(476)	Consideration of current information in rate regulatory proceedings
26.12(476)	Rate regulation election—electric cooperative corporations and associations
	GWA PETER G AS 1 A 0

CHAPTERS 27 and 28 Reserved

	CHAPTER 29
	MANAGEMENT EFFICIENCY STANDARDS
29.1(476)	Policy and purpose
29.2(476)	Efficiency considered in rate case
29.3(476)	Management efficiency standards
29.4(476)	Rewards and penalties
	CHAPTER 30
	TAX REFORM REVENUE ADJUSTMENT
20.1(476)	Applicability
30.1(476) 30.2(476)	General filing requirement
` /	Revenue adjustment
30.3(476)	Revised revenue requirement
30.4(476)	
30.5(476)	Rate filing
30.6(476)	Board approval
	CHAPTER 31
AC	CESS TO AFFILIATE RECORDS, REQUIREMENTS FOR ANNUAL FILINGS,
	AND ASSET AND SERVICE TRANSFERS
31.1(476)	Applicability and definition of terms
31.2(476)	Availability of records
31.3(476)	Annual filing
31.4(476)	Additional filing requirements for affiliated telecommunications service providers
31.5(476)	Verified copies and confidential treatment
31.6(476)	Comparable information
31.7(476)	Standards for costing service transfers between regulated operations and
,	nonregulated affiliates
31.8(476)	Standards for costing asset transfers between regulated operations and
( )	non-regulated affiliates valued at less than \$2 million
31.9(476)	Waivers
	CHARTER 22
	CHAPTER 32
22 1(476)	REORGANIZATION
32.1(476)	Applicability and definition of terms
32.2(476)	Substantial part of a public utility's assets
32.3(476)	Declaratory rulings
32.4(476)	Proposal for reorganization—filing requirements
32.5(476)	Effective date
32.6(476)	Insufficient filing
32.7(476)	Additional information authorized
32.8(476)	Waivers
32.9(476)	Procedural matters
	CHAPTER 33
	NONUTILITY ACTIVITIES—RECORD KEEPING
	AND COST ALLOCATIONS
33.1(476)	Applicability
33.2(476)	Definitions
33.3(476)	Availability of records
33.4(476)	Costing methodology
33.5(476)	Cost allocation manuals
33.6(476)	Standards for costing service transfers within a regulated subsidiary or utility
33.7(476)	Standards for costing asset transfers within a regulated subsidiary or utility

CHAPTER 34 NONUTILITY SERVICE	
34.1(476)	Statement of purpose
34.2(476)	Definition—nonutility service
34.3(476)	Definition—systematic marketing effort
34.4(476)	Engaged primarily in providing the same competitive nonutility services in the area—defined
34.5(476)	Charges permitted
34.6(476)	Procedures for utilization of billing and collection system
34.7(476)	Complaints
	CHAPTER 35
	ENERGY EFFICIENCY PLANNING AND COST REVIEW
35.1(476)	Policy and purpose
35.2(476)	Definitions
35.3(476)	Applicability
35.4(476)	Schedule of filings
35.5	Reserved
35.6(476)	Procedures
35.7(476)	Waivers
35.8(476)	Assessment of potential and energy efficiency plan requirements
35.9(476)	Additional requirements for electric utilities
35.10(476)	Additional requirements for gas utilities
35.11(476)	Additional filing requirements
35.12(476)	Energy efficiency cost recovery
35.13(476)	Prudence review
35.14(476)	New structure energy conservation standards
35.15(476)	Exterior flood lighting
	CHAPTER 36
	ENERGY EFFICIENCY PLANNING AND REPORTING
F	OR NON-RATE-REGULATED GAS AND ELECTRIC UTILITIES
36.1(476)	Non-rate-regulated utilities
36.2(476)	Definitions
36.3(476)	Schedule of filings
36.4(476)	Joint filing of plans
36.5(476)	Energy efficiency plan requirements
36.6(476)	Program selection criteria
36.7(476)	New Structure energy conservation standards
36.8(476)	Exterior flood lighting
	CHAPTER 37
	EQUIPMENT DISTRIBUTION PROGRAM
37.1(477C)	Policy and purpose
37.2(477C)	Program structure
37.3(477C)	Eligibility
37.4(477C)	Equipment
37.5(477C)	Complaints
	CHAPTER 38
	LOCAL EXCHANGE COMPETITION
38.1(476)	General information
38.2(476)	Number portability

38.3(476)	Interconnection requirements
38.4(476)	Unbundled facilities, services, features, functions, and capabilities
38.5(476)	Cost standards
38.6(476)	Compensation for termination of telecommunications services
38.7(476)	Mediation and arbitration
36.7(470)	Nicalation and arottation
	CHAPTER 39
	UNIVERSAL SERVICE
39.1(476)	Definition of terms
39.2(476)	Eligible carrier requirements
39.3(476)	Low-income connection assistance program (Link-Up) and low-income Lifeline
37.3(470)	assistance
39.4(476)	Universal service support for schools and libraries
39.5(476)	Quality of service reporting by eligible telecommunications carriers
, ,	Universal service certification
39.6(476)	Universal service certification
	CHAPTER 40
	COMPETITIVE BIDDING PROCESS
40.1(476)	General information
40.2(476)	Competitive resource acquisition procedure
	Utility-build or lease cost estimates
40.3(476)	
40.4(476)	Utility affiliate bids
40.5(476)	Request for proposals (RFP)
40.6(476)	Complaints
	CHAPTER 41
	Reserved
	reserved
	CHAPTER 42
	CROSSING OF RAILROAD RIGHTS-OF-WAY
42.1(476)	Definitions
42.2(476)	Applicability and purpose
42.3(476)	General notice and specification exhibit requirements and payment of fee
42.4(476)	Emergency notice and repairs
42.5(476)	Relocation of public utility facilities
42.6(476)	Engineering standards for electric and communications lines
42.7(476)	Engineering standards for pipelines
42.8(476)	
	Liability Insurance
42.9(476)	
42.10(476)	Removal of equipment
42.11(476)	Assignment
42.12(476)	Prohibition against mechanic's liens
42.13(476)	Taxes
42.14(476)	Protection of signal systems
42.15(476)	Safety regulations
42.16(476)	Recording
42.17(17A,476)	Complaints and petitions for relief—general information
42.18(17A,476)	Filing of complaint or petition
42.19(17A,476)	D 11 00
	Presiding officer
42.20(17A,476)	Answer
42.20(17A,476) 42.21(17A,476)	
	Answer
42.21(17A,476)	Answer Parties and appearances

42.24(17A,476)	Hearing procedures
42.25(17A,476)	Decision
	CHAPTER 43
	IOWA BROADBAND INITIATIVE
43.1(476)	Authority and purpose
43.2(476)	Definitions
43.3(476)	Applicability
43.4(476)	Procedures
43.5(476)	Broadband initiative plan requirements
43.6(476)	Upon completion of approved plan
43.7(17A)	Confidentiality
43.8(476)	Project reports
,	•
	CHAPTER 44
	CERTIFICATES OF FRANCHISE AUTHORITY FOR
	CABLE AND VIDEO SERVICE
44.1(17A,476,477	
44.2(17A,476,477	
44.3(17A,476,477	
44.4(17A,476,477	, ,
44.5(17A,476,477	•
44.6(17A,476,477	
447(174 476 477	terminated municipal franchises
44.7(17A,476,477	A) Assessment of board costs
	CHAPTER 45
ELECTRI	C INTERCONNECTION OF DISTRIBUTED GENERATION FACILITIES
45.1(476)	Definitions
45.2(476)	Scope
45.3(476)	Technical standards
45.4(476)	Interconnection requests
45.5(476)	General requirements
45.6(476)	Lab-certified equipment
45.7(476)	Determining the review level
45.8(476)	Level 1 expedited review
45.9(476)	Level 2 expedited review
45.10(476)	Level 3 expedited review
45.11(476)	Level 4 review
45.12(476)	Disputes
45.13(476)	Records and reports
45.14(476)	Appendix A – Level 1 standard application form and distributed generation
	interconnection agreement
45.15(476)	Appendix B – Standard certificate of completion
45.16(476)	Appendix C – Levels 2 to 4: standard application form
45.17(476)	Appendix D – Levels 2 to 4: standard distributed generation interconnection
	agreement
45.18(476)	Appendix E – Standard interconnection feasibility study agreement
45.19(476)	Appendix F – Standard interconnection system impact study agreement
45.20(476)	Appendix G – Standard interconnection facilities study agreement