UTILITIES DIVISION [199]

Former Commerce Commission [250] renamed Utilities Division [199] under the "umbrella" of Commerce Department [181] by 1986 Iowa Acts, Senate File 2175, section 740.

### CHAPTER 1 ORGANIZATION AND OPERATION

	ORGANIZATION AND OPERATION	
1.1(17A,474)	Purpose	
1.2(17A,474)	Scope of rules	
1.3(17A,474,476)	Waivers	
1.4(17A,474)	Duties of the board	
1.5(17A,474)	Organization	
1.6(68B)	Consent for the sale or lease of goods and services	
1.7	Reserved	
1.8(17A,474)	Matters applicable to all proceedings	
1.9(22)	Public information and inspection of records	
	CIAL PETER A	
	CHAPTER 2	
	FORMS	
2.1(17A,474)	Forms—general	
2.2(17A,474)	Specific forms	
2.3	Reserved	
2.4(17A,474)	Forms	
	CHAPTER 3	
	RULE MAKING	
3.1(17A,474)	Purpose and scope	
3.2(17A,474)	Initial stakeholder input	
3.3(17A,474)	Petition for adoption of rules	
3.4(17A,474)	Commencement of proceedings	
3.5 and 3.6	Reserved	
3.7(17A,474)	Requests for oral presentation	
3.8(17A,474)	Rule-making oral presentation	
3.9(17A,474)	Rule-making decisions	
3.10(17A,474)	Regulatory analysis	
3.11(17A,474)	Review of rules	
3.11(1771,171)	review of fules	
	CHAPTER 4	
	DECLARATORY ORDERS	
4.1(17A)	Petition for declaratory order	
4.2(17A)	Notice of petition	
4.3(17A)	Intervention	
4.4(17A)	Briefs	
4.5(17A)	Inquiries	
4.6(17A)	Service and filing of petitions and other papers	
4.7(17A)	Agency consideration	
4.8(17A)	Action on petition	
4.9(17A)	Refusal to issue order	
4.10(17A)	Contents of declaratory order—effective date	
4.11(17A)	Copies of orders	
4.12(17A)	Effect of a declaratory order	

#### **CHAPTER 5** PROCEDURE FOR DETERMINING THE COMPETITIVENESS OF A COMMUNICATIONS SERVICE OR FACILITY 5.1(476) Purpose 5.2(476) Petition 5.3(476) Docketing 5.4(476) Statement of position Oral presentation 5.5(476) Decision 5.6(476) 5.7(476) Extent of deregulation Hearing and order 5.8(476) **CHAPTER 6** COMPLAINT PROCEDURES 6.1(476) Inquiry Complaint 6.2(476) 6.3(476) Processing the complaint Proposed resolution 6.4(476)Initiating formal complaint proceedings 6.5(476) Applicable procedures 6.6(476) Record 6.7(476) 6.8(476) Special procedures for complaints alleging unauthorized changes in telecommunications services CHAPTER 7 PRACTICE AND PROCEDURE Scope and applicability 7.1(17A,474,476) **Definitions** 7.2(17A,476) Presiding officers 7.3(17A,476) General information 7.4(17A,474,476) 7.5(17A,476) Time requirements 7.6(17A,476) Telephone proceedings Electronic information 7.7(17A,476) Delivery of notice of hearing 7.8(17A,476) Pleadings and answers 7.9(17A,476) Prefiled testimony and exhibits 7.10(17A,476) Documentary evidence in books and materials 7.11(17A,476) Motions 7.12(17A,476) 7.13(17A,476) Intervention Consolidation and severance 7.14(17A,476) 7.15(17A,476) Discovery Subpoenas 7.16(17A,476) Prehearing conference 7.17(17A,476) 7.18(17A,476) Settlements 7.19(17A,476) Stipulations 7.20(17A,476) Investigations Withdrawals 7.21(17A,476) 7.22(17A,476) Ex parte communication Hearings 7.23(17A,476) 7.24(17A,476) Reopening record 7.25(17A,476) Interlocutory appeals

Appeals to board from a proposed decision of a presiding officer

7.26(17A,476)

7.27(17A,476)	Rehearing and reconsideration	
7.28(17A,476)	Stay of agency decision	
7.29(17A,476)	Emergency adjudicative proceedings	
	CHAPTED 0	
	CHAPTER 8	
0.1(456)	CIVIL PENALTIES	
8.1(476)	Civil penalty for willful violation	
8.2(476)	Procedure	
8.3(476)	Penalties assessed	
8.4(476)	Payment of penalty	
8.5(476)	Rate-regulated utilities	
	CHAPTER 9	
	RESTORATION OF AGRICULTURAL LANDS	
	DURING AND AFTER PIPELINE CONSTRUCTION	
9.1(479,479B)	General information	
9.2(479,479B)	Filing of land restoration plans	
9.3(479,479B)	Procedure for review of plan	
9.4(479,479B)	Restoration of agricultural lands	
9.5(479,479B)	Designation of a pipeline company point of contact for landowner inquiries or	
).5( <del>+1),+1)</del> <b>b</b> )	claims	
9.6(479,479B)	Separate agreements	
9.7(479,479B)	Enforcement	
	CHAPTER 10	
10.1(470)	INTRASTATE GAS AND UNDERGROUND GAS STORAGE	
10.1(479)	General information	
10.2(479)	Petition for permit	
10.3(479)	Informational meetings	
10.4(479)	Notice of hearing	
10.5(479)	Objections	
10.6(479)	Hearing	
10.7(479)	Pipeline permit	
10.8(479)	Renewal permits	
10.9(479)	Amendment of permits	
10.10(479)	Fees and expenses	
10.11(479)	Inspections	
10.12(479)	Standards for construction, operation and maintenance	
10.13	Reserved	
10.14(479)	Crossings of highways, railroads, and rivers	
10.15	Reserved	
10.16(479)	When a permit is required	
10.17(479)	Reports to federal agencies	
10.18(479)	Reportable changes to pipelines under permit	
10.19(479)	Sale or transfer of permit	
	CHAPTER 11	
	ELECTRIC LINES	
11.1(478)	General information	
11.2(478)	Forms of petition for franchise, extension, or amendment of franchise	
11.3(478)	Additional filing instructions	
11.4(478)	Informational meetings	
11.5(478)	Notices	
•		

11.6(478)	Common and joint use	
11.7(478)	Termination of franchise petition proceedings	
11.8(478)	Fees and expenses	
	GVV I DEEDE AA	
CHAPTER 12		
	INTERSTATE NATURAL GAS PIPELINES	
1. 1/1-0.13	AND UNDERGROUND STORAGE	
12.1(479A)	Authority	
12.2(479A)	Inspections	
12.3 to 12.5	Reserved	
12.6(479A)	Incident reporting	
	CHAPTER 13	
нл7	ARDOUS LIQUID PIPELINES AND UNDERGROUND STORAGE	
13.1(479B)	General information	
13.2(479B)	Petition for permit	
13.3(479B)	Informational meetings	
13.4(479B)	Notice of hearing	
13.5(479B)	Objections	
, ,		
13.6(479B)	Hearing Pingling permit	
13.7(479B)	Pipeline permit	
13.8(479B)	Renewal permits	
13.9(479B)	Amendment of permits	
13.10(479B)	Fees and expenses	
13.11	Reserved	
13.12(479B)	Land restoration	
13.13	Reserved	
13.14(479B)	Crossings of highways, railroads, and rivers	
13.15 to 13.17	Reserved	
13.18(479B)	Reportable changes to pipelines under permit	
13.19(479B)	Sale or transfer of permit	
	CHAPTER 14	
	ELECTRONIC FILING	
14.1(17A,476)	Purpose	
14.2(17A,476)	Scope and applicability of electronic filing requirement	
14.3(17A,476)	Definitions	
14.4(17A,476)		
14.5(17A,476)	Electronic filing procedures and required formats	
14.6(17A,476)	Registration	
14.7(17A,476)	Electronic file	
14.8(17A,476)		
14.9(17A,476)	Paper copies required When electronic filings can be made; official filing date	
14.10(17A,476)	Notice of system unavailability	
14.11(17A,476)	Technical difficulties	
14.11(17A,476) 14.12(17A,476)	Documents containing confidential material	
14.12(17A,476) 14.13(17A,476)	Signatures	
14.13(17A,476) 14.14(17A,476)	<u> </u>	
	Original documents Transcripts	
14.15(17A,476) 14.16(17A,476)	Electronic service	
17.10(1/A,4/0)	Diceronic Scrvice	

### UTILITIES AND TRANSPORTATION DIVISIONS

### CHAPTER 15

	COGENERATION AND SMALL POWER PRODUCTION	
15.1(476)	Definitions	
15.2(476)	Scope	
15.3(476)	Information to board	
15.4(476)	Rate-regulated electric utility obligations under this chapter regarding qualifying	
facilities facilities regulated electric utility obligations under this chapter regarding quantying		
15.5(476)	Rates for purchases from qualifying facilities by rate-regulated electric utilities	
15.6(476)	Rates for sales to qualifying facilities and AEP facilities by rate-regulated utilities	
15.7(476)	Additional services to be provided to qualifying facilities and AEP facilities by rate-regulated electric utilities	
15.8(476)	Interconnection costs	
15.9(476)	System emergencies	
15.10(476)	Standards for interconnection, safety, and operating reliability	
15.11(476)	Additional rate-regulated utility obligations regarding AEP facilities	
15.12 to 15.16	Reserved	
15.17(476)	Alternate energy purchase programs	
15.18(476B)	Certification of eligibility for wind energy tax credits under Iowa Code chapter 476B	
15.19(476C)	9(476C) Certification of eligibility for wind energy and renewable energy tax credits under Iowa Code chapter 476C	
15.20(476B)	Applications for wind energy tax credits under Iowa Code chapter 476B	
15.21(476C)	Applications for renewable energy tax credits under Iowa Code chapter 476C	
15.22(476)	Small wind innovation zones	
	CHAPTER 16	
	ACCOUNTING	
16.1(476)	Accounting—general information	
16.2(476)	Uniform systems of accounts—electric	
16.3(476)	Uniform systems of accounts—gas	
16.4(476)	Uniform systems of accounts—water	
16.5(476)	Uniform systems of accounts—telephone	
16.6	Reserved	
16.7(476)	Filing of promotional practices	
16.8(476)	Compiling advertisements and expenses	
16.9(476)	Postemployment benefits other than pensions	
CHAPTER 17		
	ASSESSMENTS	
17.1(475A,476,546		
17.2(475A,476)	Definitions	
17.3(476)	Expenses to be included in direct assessments	
17.4(476)	Direct assessments under Iowa Code Supplement section 476.10	
17.5(476)	Reporting of operating revenues	
17.6(475A,476)	Compilation and billing of assessment	
17.7(476)	Funding of Iowa energy center and global warming center	
17.8(476)	Assessments under Iowa Code section 476.101(10)	
	A,479B) Assessments under Iowa Code chapters 478, 479, 479A, and 479B	
(,,,,,,,		

### CHAPTER 18 UTILITY RECORDS

	UTILITY RECORDS		
18.1(476)	Definitions		
18.2(476)	Location of records		
18.3(476)	Availability of records		
18.4(476)	Electric utilities other than rural electric cooperatives		
18.5(476)	Rural electric cooperatives		
18.6(476)	Gas utilities		
18.7(476)	Water utilities		
18.8(476)	Telephone utilities		
	CHAPTER 19		
	SERVICE SUPPLIED BY GAS UTILITIES		
19.1(476)	General information		
19.2(476)	Records, reports, and tariffs		
19.3(476)	General service requirements		
19.4(476)	Customer relations		
19.5(476)	Engineering practice		
19.6(476)	Metering		
19.7(476)	Standards of quality of service		
19.8(476)	Safety		
19.9	Reserved		
19.10(476)	Purchased gas adjustment (PGA)		
19.11(476)	Periodic review of gas procurement practices		
19.12(476)	Flexible rates		
19.13(476)	Transportation service		
19.14(476)	Certification of competitive natural gas providers and aggregators		
19.15(476)	Customer contribution fund		
19.16(476)	Reserve margin		
19.17(476)	Incident notification and reports		
19.18(476)	Capital infrastructure investment automatic adjustment mechanism		
	CHAPTER 20		
	SERVICE SUPPLIED BY ELECTRIC UTILITIES		
20.1(476)	General information		
20.2(476)	Records, reports, and tariffs		
20.3(476)	General service requirements		
20.4(476)	Customer relations		
20.5(476)	Engineering practice		
20.6(476)	Metering		
20.7(476)	Standards of quality of service		
20.8(476)	Safety		
20.9(476)	Electric energy sliding scale or automatic adjustment		
20.10(476)	Ratemaking standards		
20.11(476)	Customer notification of peaks in electric energy demand		
20.12	Reserved		
20.13(476)	Periodic electric energy supply and cost review [476.6(16)]		
20.14(476)	Flexible rates		
20.15(476)	Customer contribution fund		
20.16	Reserved		
20.17(476)	Ratemaking treatment of emission allowances		

20.18(476,478)	Service reliability requirements for electric utilities	
20.19(476,478)	Notification of outages	
	CHADTED 21	
	CHAPTER 21 SERVICE SUPPLIED BY WATER UTILITIES	
21.1(476)	Application of rules	
21.2(476)	Records and reports	
21.3(476)	General service requirements	
21.4(476)	Customer relations	
21.5(476)	Engineering practice	
21.6(476)	Meter testing	
21.7(476)	Standards of quality of service	
21.8(476)	Applications for water costs for fire protection services	
21.9(476)	Incident reports	
	CHAPTER 22	
	SERVICE SUPPLIED BY TELEPHONE UTILITIES	
22.1(476)	General information	
22.2(476)	Records and reports	
22.3(476)	General service requirements	
22.4(476)	Customer relations	
22.5	Reserved	
22.6(476)	Standards of quality of service	
22.7(476) 22.8 to 22.11	Protective measures	
22.12(476)	Reserved Content of wholesale tariff filings proposing rate changes	
22.13	Reserved	
22.14(476)	Intrastate access charge application, tariff procedures, and rates	
22.15(476)	Interexchange utility service and access	
22.16(476)	Discontinuance of service	
22.17(476)	Resale of service	
22.18	Reserved	
22.19(476)	Alternative operator services	
22.20(476)	Service territories	
22.21 and 22.22		
22.23(476)	Unauthorized changes in telephone service	
22.24(476)	Applications for numbering resources	
	CHAPTER 23	
	ANNUAL REPORT	
23.1(476)	General information	
23.2(476)	Annual report requirements—rate-regulated utilities	
23.3(476)	Annual report requirements—non-rate-regulated utilities	
	CHAPTER 24	
	LOCATION AND CONSTRUCTION OF ELECTRIC POWER	
24.1(476.4)	GENERATING FACILITIES	
24.1(476A) 24.2(476A)	Authority, purpose, and policy Definitions	
24.2(476A) 24.3(476A)	Form of application, place of filing	
24.4(476A)	Application for a certificate—contents	
24.5(476A)	Initial board review: Application acceptance	
24.6(476A)	Procedural schedule	

24.7(476A)	Informational meeting	
24.8(476A)	Hearing procedure	
24.9(476A)	Separate hearings on separate issues	
24.10(476A)	Certification decision	
24.11(476A)	Site preparation	
24.12(476A)	Issuance of a certificate	
24.13(476A)	Exemptions from certification application; application for amendment for	
, , ,	certificate: Contents	
24.14(476A)	Assessment of costs	
24.15(476A)	Waiver	
,		
	CHAPTER 25	
05.1/156.156.15	IOWA ELECTRICAL SAFETY CODE	
25.1(476,476A,47		
25.2(476,476A,47		
25.3(476,478)	Inspection and maintenance plans	
25.4(476,478)	Correction of problems found during inspections and pole attachment procedures	
25.5(476,478)	Accident reports	
	CHAPTER 26	
	RATE CASES, TARIFFS, AND	
R	RATE REGULATION ELECTION PRACTICE AND PROCEDURE	
26.1(17A,476)	Scope and applicability	
26.2(17A,476)	Defective filings	
26.3(17A,476)	Proposal of settlements	
26.4(476)	Rate case expense	
26.5(476)	Applications and petitions	
* *	Answers	
26.6(476)		
26.7(476)	Rate investigation	
26.8(476)	Procedural schedule in Iowa Code sections 476.3 and 476.6 proceedings	
26.9(476)	Consumer comment hearing in docketed rate case of an investor-owned utility	
2( 10(476)	company	
26.10(476)	Appeal from administrative law judge's decision	
26.11(476)	Consideration of current information in rate regulatory proceedings	
26.12(476)	Rate regulation electric cooperative corporations and associations	
	CHAPTERS 27 and 28	
	Reserved	
	CHAPTER 20	
	CHAPTER 29	
20.1(476)	MANAGEMENT EFFICIENCY STANDARDS	
29.1(476)	Policy and purpose	
29.2(476)	Efficiency considered in rate case	
29.3(476)	Management efficiency standards	
29.4(476)	Rewards and penalties	
	CHAPTER 30	
	RENEWABLE ENERGY PERCENTAGE VERIFICATION	
30.1(476)	General information	
30.2(476)	Process for verification	
30.3(476)	Reasonableness and prudence of REC retirement	
30.4(476)	Renewable energy claims	
JU.T(T/U)	ixenewable energy ciainis	

### CHAPTER 31

# ACCESS TO AFFILIATE RECORDS, REQUIREMENTS FOR ANNUAL FILINGS, AND ASSET AND SERVICE TRANSFERS

31.1(476)	Applicability and definition of terms
31.2(476)	Availability of records
31.3(476)	Annual filing
31.4	Reserved
31.5(476)	Verified copies and confidential treatment
31.6(476)	Comparable information
31.7(476)	Standards for costing service transfers between regulated operations and nonregulated affiliates
31.8(476)	Standards for costing asset transfers between regulated operations and non-regulated affiliates valued at less than \$2 million
31.9(476)	Waivers
	CHAPTER 32
	REORGANIZATION
32.1(476)	Applicability and definition of terms
32.2(476)	Substantial part of a public utility's assets
32.3(476)	Declaratory orders
32.4(476)	Proposal for reorganization—filing requirements
32.5(476)	Effective date
32.6(476)	Insufficient filing
32.7(476)	Additional information authorized
32.8(476)	Waivers
32.9(476)	Procedural matters
	CHAPTER 33
	NONUTILITY ACTIVITIES—RECORD KEEPING
	AND COST ALLOCATIONS
33.1(476)	Applicability
33.2(476)	Definitions
33.3(476)	Availability of records
33.4(476)	Costing methodology
33.5(476)	Cost allocation manuals
33.6(476)	Standards for costing service transfers within a regulated subsidiary or utility
33.7(476)	Standards for costing asset transfers within a regulated subsidiary or utility
	CHAPTER 34
	NONUTILITY SERVICE
34.1(476)	Statement of purpose
34.2(476)	Definition—nonutility service
34.3(476)	Definition—systematic marketing effort
34.4(476)	Engaged primarily in providing the same competitive nonutility services in the area—defined
34.5(476)	Charges permitted
34.6(476)	Procedures for utilization of billing and collection system
34.7(476)	Complaints
	CHAPTER 35
	ENERGY EFFICIENCY PLANNING AND COST REVIEW
35.1(476)	Policy and purpose
35.2(476)	Definitions

35.3(476)	Applicability
35.4(476)	Schedule of filings
35.5	Reserved
35.6(476)	Procedures
35.7(476)	Waivers
35.8(476)	Assessment of potential and energy efficiency plan requirements
35.9(476)	Additional requirements for electric utilities
35.10(476)	Additional requirements for gas utilities
35.11(476)	Additional filing requirements
35.12(476)	Energy efficiency cost recovery
35.13(476)	Prudence review
35.14(476)	New structure energy conservation standards
35.15(476)	Exterior flood lighting
33.13(170)	Exterior flood lighting
	CHAPTER 36
	ENERGY EFFICIENCY PLANNING AND REPORTING
FOR NATURAL O	GAS AND ELECTRIC UTILITIES NOT REQUIRED TO BE RATE-REGULATED
36.1(476)	Utilities not required to be rate-regulated
36.2(476)	Definitions
36.3(476)	Initial energy efficiency plan filing
36.4	Reserved
36.5(476)	Energy efficiency report and plan update requirements
36.6(476)	Joint filing of initial energy efficiency plans or energy efficiency reports
36.7(476)	New Structure energy conservation standards
, ,	••
36.8(476)	Exterior flood lighting
	CHAPTER 37
	EQUIPMENT DISTRIBUTION PROGRAM
37.1(477C)	Policy and purpose
37.2(477C)	Program structure
37.3(477C)	Eligibility
37.4(477C)	Equipment
37.5(477C)	Complaints
37.3(4770)	Complaints
	CHAPTER 38
	LOCAL EXCHANGE COMPETITION
38.1(476)	General information
38.2(476)	Number portability
38.3(476)	Interconnection requirements
38.4(476)	Unbundled facilities, services, features, functions, and capabilities
38.5(476)	Cost standards
38.6(476)	Compensation for termination of telecommunications services
38.7(476)	Mediation and arbitration
30.7(470)	rectiation and arottation
	CHAPTER 39
	UNIVERSAL SERVICE
39.1(476)	Authority and purpose
39.2(476)	Definition of terms
39.3(476)	Applying for designation as an eligible telecommunications carrier
39.4(476)	Lifeline-only applicants
39.5(476)	Service area
39.6(476)	Universal service support for low-income consumers (Lifeline program and Tribal
37.0(370)	Link Up program)
	Dink op program)

39.7(476)	Schedule of filings		
39.8(476)	Relinquishment of ETC designation		
	CHAPTED 40		
	CHAPTER 40		
10.1(1=6)	COMPETITIVE BIDDING PROCESS		
40.1(476)	General information		
40.2(476)	Competitive resource acquisition procedure		
40.3(476)	Utility-build or lease cost estimates		
40.4(476)	Utility affiliate bids		
40.5(476)	Request for proposals (RFP)		
40.6(476)	Complaints		
	CHAPTED 41		
	CHAPTER 41		
	Reserved		
	CHAPTER 42		
	CROSSING OF RAILROAD RIGHTS-OF-WAY		
42.1(476)	Definitions		
42.2(476)	Applicability and purpose		
42.3(476)	General notice and specification exhibit requirements and payment of fee		
42.4(476)			
42.5(476)			
42.6(476)			
· · ·			
42.7(476) Engineering standards for pipelines			
, ,	42.8(476) Liability		
42.9(476) Insurance 42.10(476) Removal of equipment			
42.10(476) Removal of equipment			
42.11(476) Assignment  Assignment Prohibition against machanic's lions			
, ,	42.12(476) Prohibition against mechanic's liens		
42.13(476) Taxes  42.14(476) Protection of signal systems			
42.14(476) Protection of signal systems			
, ,	42.15(476) Safety regulations		
42.16(476)	Recording		
42.17(17A,476)	Complaints and petitions for relief—general information		
42.18(17A,476) Filing of complaint or petition			
42.19(17A,476)	Presiding officer		
42.20(17A,476) Answer			
42.21(17A,476)	Parties and appearances		
	42.22(17A,476) Procedural order and notice of hearing		
42.23(17A,476)	Discovery		
42.24(17A,476)	Hearing procedures		
42.25(17A,476)	Decision		
	CHAPTER 43		
	Reserved		
	CHAPTER 44		
	CERTIFICATES OF FRANCHISE AUTHORITY FOR		
	CABLE AND VIDEO SERVICE		
44.1(17A,476,477			
44.2(17A,476,477)			
44.3(17A,476,477)	· · · · · · · · · · · · · · · · · · ·		
44.4(17A,476,477A) Notice to municipality and incumbent cable provider			

44.5(17A,476,477A)	Conversion of municipal franchise by incumbent cable provider
44.6(17A,476,477A) Revocation of certificates, termination of service, reinstatement of pr	
	terminated municipal franchises
44.7(17A,476,477A)	Renewal of certificate of franchise authority
44.8(17A,476,477A)	Assessment of board costs

### CHAPTER 45

## ELECTRIC INTERCONNECTION OF DISTRIBUTED GENERATION FACILITIES 76) Definitions

45.1(476)	Definitions
45.2(476)	Scope
45.3(476)	Technical standards
45.4(476)	Interconnection requests
45.5(476)	General requirements
45.6(476)	Lab-certified equipment
45.7(476)	Determining the review level
45.8(476)	Level 1 expedited review
45.9(476)	Level 2 expedited review
45.10(476)	Level 3 expedited review
45.11(476)	Level 4 review
45.12(476)	Disputes
45.13(476)	Records and reports