

533C.202 Confidentiality.

1. Except as otherwise provided in [subsection 2](#), financial statements, balance sheets, authorized delegate information, all information and reports obtained by the superintendent from an applicant, licensee, or authorized delegate, and all information contained in or related to an examination, investigation, operating report, or condition report prepared by, on behalf of, or for the use of the superintendent are confidential and are not subject to disclosure under [chapter 22](#).

2. The superintendent may disclose information not otherwise subject to disclosure under [subsection 1](#) where:

a. Representatives of state or federal agencies certify in a record that they shall maintain the confidentiality of the information.

b. The superintendent finds that the release is reasonably necessary for the protection and interest of the public in accordance with [chapter 22](#).

3. [This section](#) does not prohibit the superintendent from disclosing to the public a list of all licensees or the aggregated financial or transactional data concerning those licensees.

4. The division of banking or superintendent's records containing nonconfidential information may be made available to the public on the division's website, upon receipt by the division of a written request, or via the NMLS. The release of information shall include, where applicable, all of the following:

a. The name, business address, telephone number, and unique identifier of a licensee.

b. The business address of a licensee's registered agent for service.

c. The name, business address, and telephone number of all authorized delegates.

d. The terms, or a copy, of any bond filed by a licensee, provided that confidential information, including but not limited to prices and fees for such bond, is redacted.

e. Copies of any nonconfidential final orders of the superintendent relating to any violation of [this chapter](#) or regulations implementing [this chapter](#).

f. Imposition of an administrative fine or penalty under [this chapter](#).

[2003 Acts, ch 96, §5, 42; 2004 Acts, ch 1086, §88; 2013 Acts, ch 5, §7, 8; 2023 Acts, ch 83, §6](#)

Referred to in [§533C.201, 533C.204](#)