

**502.103 References to federal statutes.**

“*Securities Act of 1933*”, 15 U.S.C. §77a et seq.; “*Securities Exchange Act of 1934*”, 15 U.S.C. §78a et seq.; “*Public Utility Holding Company Act of 1935*”, 15 U.S.C. §79 et seq.; “*Investment Company Act of 1940*”, 15 U.S.C. §80a-1 et seq.; “*Investment Advisers Act of 1940*”, 15 U.S.C. §80b-1 et seq.; “*Employee Retirement Income Security Act of 1974*”, 29 U.S.C. §1001 et seq.; “*National Housing Act*”, 12 U.S.C. §1701; “*Commodity Exchange Act*”, 7 U.S.C. §1 et seq.; “*Internal Revenue Code*”, 26 U.S.C. §1 et seq.; “*Securities Investor Protection Act of 1970*”, 15 U.S.C. §78aaa et seq.; “*Securities Litigation Uniform Standards Act of 1998*”, 112 Stat. 3227; “*Small Business Investment Act of 1958*”, 15 U.S.C. §661 et seq.; “*Electronic Signatures in Global and National Commerce Act*”, 15 U.S.C. §7001 et seq.; and “*Dodd-Frank Wall Street Reform and Consumer Protection Act*”, Pub. L. No. 111-203 mean those federal statutes and the rules and regulations adopted under those federal statutes, as in effect on January 1, 2015.

[2004 Acts, ch 1161, §2, 68](#); [2015 Acts, ch 128, §2, 50, 51](#)