

502.301 Securities registration requirement.

It is unlawful for a person to offer or sell a security in this state unless one of the following applies:

1. The security is a federal covered security.
2. The security, transaction, or offer is exempted from registration under [sections 502.201 through 502.203](#).
3. The security is registered under [this chapter](#).
[SS15, §1920-u15; C24, 27, §8561, 8563; C31, 35, §8581-c11; C39, §8581.11; C46, 50, 54, 58, 62, 66, 71, 73, 75, §502.11; C77, 79, 81, §502.301]
[83 Acts, ch 169, §9; 91 Acts, ch 40, §19; 96 Acts, ch 1025, §7; 98 Acts, ch 1106, §3, 4, 24; 2004 Acts, ch 1161, §9, 68](#)

Referred to in [§502.201](#), [502.202](#), [502.203](#), [502.204](#), [502.509](#), [502.607](#), [502.608](#), [502.610](#), [502.701](#), [536A.22](#)