

502.606 Administrative files and opinions.

1. *Public register of filings.* The administrator shall maintain, or designate a person to maintain, a register of applications for registration of securities; registration statements; notice filings; applications for registration of broker-dealers, agents, investment advisers, and investment adviser representatives; notice filings by federal covered investment advisers that are or have been effective under this chapter or the predecessor chapter; notices of claims of exemption from registration or notice filing requirements contained in a record; orders issued under this chapter or the predecessor chapter; and interpretative opinions or no action determinations issued under this chapter.

2. *Public availability.* The administrator shall make all rules, forms, interpretative opinions, and orders available to the public.

3. *Copies of public records.* The administrator shall furnish a copy of a record that is a public record or a certification that the public record does not exist to a person that so requests. A rule adopted under this chapter may establish a reasonable charge for furnishing the record or certification. A copy of the record certified or a certificate by the administrator of a record's nonexistence is prima facie evidence of a record or its nonexistence.

[SS15, § 1920-u17; C24, 27, § 8575; C31, 35, § 8581-c19; C39, § **8581.24**; C46, 50, 54, 58, 62, 66, 71, 73, 75, § 502.24; C77, 79, 81, § 502.606]

2004 Acts, ch 1161, §56, 68