

PUBLIC HEALTH DEPARTMENT[641]

Adopted and Filed

Pursuant to the authority of Iowa Code section 135.156B, the Department of Public Health hereby adopts new Chapter 206, "Iowa Health Information Network," Iowa Administrative Code.

This new chapter accomplishes the following:

- Identifies the procedure by which the Iowa Health Information Network (IHIN) policies and procedures are developed and approved with the input from workgroup members and oversight of the Executive Committee and approval of the State Board of Health.
- Provides the policies and procedures for monitoring participant usage and the enforcement of compliance standards.
- Provides the means and the process by which patients may choose to opt out of participation in the IHIN as well as the process by which patients may choose to opt back in.
- Provides procedures for patients to receive notice of violation of confidentiality.
- Provides procedures for patients to request and receive an audit report.

Notice of Intended Action was published in the February 5, 2014, Iowa Administrative Bulletin as **ARC 1315C**. No comments were received. The adopted rules are identical to those published under Notice.

The State Board of Health adopted these rules on March 12, 2014.

After analysis and review of this rule making, no impact on jobs has been found.

These rules are intended to implement Iowa Code sections 135.156E(2) and 135.156E(9).

These rules will become effective on May 7, 2014.

The following amendment is adopted.

Adopt the following new 641—Chapter 206:

CHAPTER 206

IOWA HEALTH INFORMATION NETWORK

641—206.1(135) Scope and applicability. This chapter describes how the department will address issues regarding the Iowa health information network, including: the development of policies and procedures for auditing participants' usage of the Iowa health information network and enforcing compliance with applicable standards, requirements, rules and procedures; the process by which individuals can decline to have their health information shared through the Iowa health information network; and the process by which the department will notify individuals if there has been unauthorized access to or disclosure of their protected health information through the Iowa health information network.

641—206.2(135) Definitions. For the purposes of this chapter, the following definitions shall apply:

"*Advisory council*" means the electronic health information advisory council established in Iowa Code section 135.156(2) "*a.*"

"*Board*" means the state board of health established in Iowa Code chapter 136.

"*Breach*" means breach as such term is defined in the HIPAA Privacy Rule.

"*Department*" means the Iowa department of public health.

"*Executive committee*" means the executive committee of the electronic health information advisory council established in Iowa Code section 135.156(2) "*b.*"

“HIPAA” means the administrative simplification provisions of the Health Insurance Portability and Accountability Act of 1996, as amended by the HITECH Act, and the regulations promulgated thereunder, including the Privacy Rule, the Security Rule and the Omnibus Final Rule.

“Individual” means a patient or client of a participant.

“Iowa health information network” means the health information exchange operated by the department pursuant to Iowa Code section 135.155.

“Opt out” means to decline to have one’s health information exchanged through the Iowa health information network.

“Participant” means an authorized organization or individual that has voluntarily agreed to enter into a participation agreement to access or use the Iowa health information network.

“Participation agreement” means the agreement that is entered into between the department and a participant and prescribes the terms and conditions for access and use of the Iowa health information network.

“Privacy policies and security policies” means the department’s rules, regulations, policies and procedures for access to and use of the Iowa health information network, as approved and amended by the executive committee and advisory council and the board, that are posted electronically on the Iowa health information network Web site or otherwise furnished to participants.

“Protected health information” means protected health information as defined in HIPAA that is created, transmitted or received by an authorized participant.

“Provider” means a person or organization that is a health care provider under HIPAA and is licensed or otherwise permitted to provide health care items and services under applicable state law.

“Security incident” means the attempted or successful unauthorized access, use, disclosure, modification, or destruction of information available through the Iowa health information network or interference with Iowa health information network operations, including attempted and successful privacy breaches.

641—206.3(135) Policy development and governance. The department is required to develop and implement the privacy policies and security policies to protect protected health information exchanged through the Iowa health information network. The policies must be reviewed by the executive committee and advisory council and approved by the board prior to implementation. Any changes to the policies must go through the same review and approval process.

641—206.4(135) Monitoring and audit. The department shall monitor and audit participant usage of the Iowa health information network in a manner consistent with the privacy policies and security policies.

206.4(1) The department shall enforce the privacy policies and security policies, which shall include audit and monitoring requirements for participants.

206.4(2) Participant monitoring and audit activity requirements shall be included in the participation agreement. Participants unwilling or unable to abide by the requirements and participants that violate the policies or terms of the participation agreement may face sanctions up to and including suspension or termination of the participation agreement.

641—206.5(135) Consumer participation in the Iowa health information network. Pursuant to Iowa Code section 135.156E(2), consumers have the opportunity to opt out of participation in the Iowa health information network.

206.5(1) The department shall explain the process and provide forms for patients to complete in order to opt out of participation in the Iowa health information network. The information shall be made available in places including, but not limited to, provider offices and on the Iowa health information network Web site.

206.5(2) Patients who decline to have their health information shared through the Iowa health information network may later choose to have their information shared by providing verification of identity.

641—206.6(135) Security incidents, breaches.

206.6(1) The department and all participants shall promptly investigate, respond to and report privacy breaches related to the Iowa health information network in compliance with applicable federal and state law.

206.6(2) The department shall include in the privacy policies and security policies more detailed requirements regarding security incidents and shall develop a security incident response plan identifying the responsible parties and action steps to be taken in the event of a security incident.

641—206.7(135) Health information audit. The department shall make available upon request and on the Iowa health information network Web site a form for individuals to request an audit report showing who or what system has accessed their health information through the Iowa health information network.

206.7(1) Individuals may request an audit report by contacting the department by:

Mail: Office of Health IT

Iowa Department of Public Health

Lucas State Office Building

321 E. 12th Street

Des Moines, Iowa 50319

Fax: (515)281-4958

E-mail: ehealth@idph.state.ia.us

The department may require proof of legal authority to view health information records.

206.7(2) The department shall process the health information audit request and provide a response to the individual within 30 days of receipt of the request.

These rules are intended to implement Iowa Code sections 135.156E(2) and 135.156E(9).

[Filed 3/12/14, effective 5/7/14]

[Published 4/2/14]

EDITOR'S NOTE: For replacement pages for IAC, see IAC Supplement 4/2/14.