

CHAPTER 12 INFORMATION TECHNOLOGY OPERATIONAL STANDARDS

471—12.1(14B) Definitions. As used in this chapter:

“Information technology” means computing and electronics applications used to process and distribute information in digital and other forms and includes information technology devices and information technology services.

“Information technology device” means equipment or associated software, including programs, languages, procedures, or associated documentation, used in operating the equipment which is designed for utilizing information stored in an electronic format. “Information technology device” includes but is not limited to computer systems, computer networks, and equipment used for input, output, processing, storage, display, scanning, and printing.

“Information technology services” means services designed to do any of the following:

1. Provide functions, maintenance, and support of information technology devices.
2. Provide services including, but not limited to, any of the following:
 - Computer systems application development and maintenance.
 - Systems integration and interoperability.
 - Operating systems maintenance and design.
 - Computer systems programming.
 - Computer systems software support.
 - Planning and security relating to information technology devices.
 - Data management consultation.
 - Information technology education and consulting.
 - Information technology planning and standards.
 - Establishment of local area network and workstation management standards.

“Nonparticipating entity” means the office of the governor or the office of an elective constitutional or statutory officer.

“Operational standards” means information technology standards, including system design, system integration, and interoperability, but not including procurement standards.

“Participating agency” means all executive branch agencies except the following:

1. The state board of regents and institutions operated under the authority of the state board of regents.
2. The public broadcasting division of the department of education.
3. The state department of transportation mobile radio network.
4. The department of public safety law enforcement communications systems.
5. The Iowa telecommunications and technology commission, established in Iowa Code chapter 8D, with respect to information technology that is unique to the Iowa communications network.

471—12.2(14B) Authority and purpose.

12.2(1) The information technology department is required to develop and implement information technology and standards through a process as set forth in this chapter. It is the intent of the general assembly that information technology standards be established for the purpose of guiding development of technology.

12.2(2) The goal of the department is to ensure compatibility and interoperability of state government information technology systems, while at the same time promoting effective technology alignment with enterprise strategies and programs.

471—12.3(14B) Application of standards to participating agencies. Operational standards established by the department, unless waived pursuant to rule 12.6(14B), shall apply to all information technology participating agencies.

471—12.4(14B) Application of standards to nonparticipating entities.

12.4(1) Nonparticipating entities are required to consult with the information technology department prior to procuring information technology.

12.4(2) Nonparticipating entities are also required to consider the operational standards recommended to agencies by the department.

12.4(3) Upon the decision by a nonparticipating entity regarding acquisition of information technology, the entity shall provide a written report to the information technology department.

471—12.5(14B) Development of operational standards.

12.5(1) *Recommendation of operational standards.* The director of the information technology department is charged with recommending standards.

12.5(2) *Implementation of operational standards.* The department shall implement information technology standards which are applicable to information technology operations by participating agencies, including but not limited to system design and systems integration and interoperability for participating agencies, pursuant to Iowa Code section 14B.102(2) “d.”

12.5(3) *Effective date of operational standards.* Operational standards are effective upon 24 hours of final posting unless otherwise specified.

471—12.6(14B) Waivers of operational standards. Participating agencies may apply directly to the information technology department for a waiver of a current or proposed standard. The director of the information technology department, upon the written request of a participating agency and for good cause shown, may grant a waiver from a requirement otherwise applicable to a participating agency relating to an information technology standard established by the information technology department.

471—12.7(14B) Review of operational standards by the public and period of public comment.

12.7(1) Interested members of the public may participate in the process of establishing standards by providing written comments to Director, Information Technology Department, Hoover State Office Building, Level B, Des Moines, Iowa 50319. Comments will be accepted for a period of ten days after the initial posting of the standard by the department on the department’s Web site at <http://www.state.ia.us/government/its/Standards%20&%20Policies/ITStandards/index.htm>.

12.7(2) Interested members of the public may inquire about standards currently being considered for recommendation by the director by telephoning the information technology department, administrator of policy and planning, at (515)281-5503; in writing to Information Technology Department, Hoover State Office Building, Level B, Des Moines, Iowa 50319; or by accessing the department’s Web site at <http://www.state.ia.us/government/its/Standards%20&%20Policies/ITStandards/index.htm>.

471—12.8(17A) Petition to initiate review of operational standards. Any interested member of the public may petition the information technology department for review of an existing or recommended standard by filing a written or electronic request with the department. The director may grant the petition if the director determines that the petition has merit. If the petitioner does not receive a response within 30 days of receipt of petition by the department, the petitioner may deem the petition denied.

These rules are intended to implement Iowa Code chapter 14B.

[Filed 8/21/01, Notice 5/30/01—published 9/19/01, effective 10/24/01]