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HOUSE OF REPRESENTATIVES

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PERSONAL FINANCIAL DISCLOSURE FORM

The following form shall be used for disclosure of economic interests under House Ethics rules and section 68B.35 of the Iowa Code.

STATEMENT OF ECONOMIC INTERESTS.

Name: SWAIM (Last) ROBERT (First) KURT (Middle Initial)

Address: 504 North Davis Street
(Street Address, Apartment Number or P.O. Box)

Bloomfield (City) Iowa (State) 52537 (Zip)

Phone: (Home) 641 / 664 - 2455 (Business) 641 / 664 - 1983

This form is due on or before February 6, 2009. The reporting period is the most recently completed calendar year. File with the Chief Clerk of the Iowa House of Representatives.

In completing this form, if sufficient space is not provided for your answer, you may include additional information/answers by attaching them to this form, using a full size sheet of paper.

Division I. Business, Occupation, Profession.

List each business, occupation, or profession in which you are engaged, the nature of the business if not evident, and your position or job title. No income threshold or time requirement applies.

Examples:
If you are employed by an individual, state the name of the individual employer, the nature of the business, and your position.
If you are self-employed and are not incorporated or are not doing business under a particular business name, state that you are self-employed, the nature of the business, and your position.
If you own your own corporation, are employed by a corporation, or are doing business under a particular business name, state the name and nature of the business or corporation and your position.

1. Kurt Swaim/Attorney at Law/Partner in "Swaim Law Firm" Partnership
2.
3.
4.
5.
6.

Division II. Commissions from Sales of Goods or Services to Political Subdivisions.

This part is to be completed only by Legislators. If you received income in the form of a commission from the sale of goods or services to a political subdivision, state the name of the purchasing political subdivision. The amount of commission earned is not required to be listed.

1. I occasionally accept court appointments for indigent criminal defendants or juvenile cases for which I receive payment from the State of Iowa.
2. I occasionally accept court appointments to represent persons who are alleged to be mentally impaired for which I am paid by Davis County or the county of residence.
3. I serve as city attorney for the City of Drakesville, Iowa for which I charge an hourly rate for legal services rendered.
4. I am also the attorney for the Mutchler Community Center Commission whose members are the City of Bloomfield and Davis County, Iowa for which I charge an hourly rate for legal serivces rendered.
5. I occasionally perform legal services for the City of Centerville if its usual legal counsel has a conflict of interest. Same for the City of Moulton.
6. My partner and son, Justin Swaim has served as attorney for City of Moulton if its usual City Attorney has a conflict of interest, and he was City Attorney for City of Bloomfield, Iowa in 2008, and he and my other son and law partner, Joshua Swaim, accepts some court appointments as I do and as referred to in Paragraphs 1 & 2 above.

Division III. Sources of Gross Income.

In each one of the following categories list each source which produces more than $1,000 in annual gross income, if the revenue produced by the source was subject to federal or state income taxes last year. List the nature or type of each company, business, financial institution, corporation, partnership, or other entity which produces more than $1,000 of annual gross income. Neither the amount of income produced nor value of the holding is required to be listed in any of the items. **Do not report income received by your spouse or other family members.**

In completing Division III of this form, if your percentage of ownership of an asset is less than 100 percent, multiply your percentage of ownership by the total revenue produced to determine if you have reached the $1,000 threshold.

A. Securities: State the nature of the business of any company in which you hold stock, bonds, or other pecuniary interests that generate more than $1,000 in annual gross income. Income generated by multiple holdings in a single company are deemed received from a single source.

RBC Dain Rauscher*

Banc of America Investment Serivces, Inc.* (a/k/a National Financial Services, L.L.C.)*

American Express (n/k/a Ameriprise Financial)*

IBM

British Petroleum (BP P.L.C.)

American Century Investments*

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* These are investment accounts holding publically traded stocks, bonds, mutual funds and other similar publically traded financial interests and holdings of other companies. The net capital gains on some of these trades of individual stocks, bonds, mutual funds, and other similar publically traded companies sometimes exceed $1,000 in an individual year, but are not separately reported herein. In the Dain Rauscher **

B. Instruments of Financial Institutions: State the types of institutions in which you hold financial instruments, such as certificates of deposit, savings accounts, etc., that produce annual gross income in excess of $1,000, e.g., banks, savings and loans, or credit unions.

None

** investment account, the stock broker is authorized and often does buy and sell without my advance knowledge.

*** I have not prepared my tax returns for 2008 nor received all of my tax information for 2008. After doing so, I will amend this form if I determine additional disclosures are required.

C. Trusts: State the nature or type of any trust from which you receive more than $1,000 of gross income annually.

None, other than any publically traded trusts which may be included in my investment accounts specified in Paragraph A above.

D. Real Estate: State the general nature of real estate interests that generate more than $1,000 of gross income annually, e.g., residential leasehold interest or farm leasehold interest. The size or location of the property interest is not required to be listed.

None*

* I own the building in which I operate my law practice with my sons, but it does not generate any income other than the revenues from the practice of law.

E. Retirement Systems: State the name of each pension plan or other corporation or company that pays you more than $1,000 annually in retirement benefits.

I have pension and retirement funds, but none that pay out any benefits to me currently. Therefore, I understand this Subsection does not apply to me.

F. Other Income Categories Specified in State and Federal Income Tax Regulations.

R. Kent Swaim
(Signature of Filer)

1/26/2009
..... (Date)

Mail to: Chief Clerk, IA House of Representatives, State House, Des Moines, IA 50319