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LEGISLATIVE SERVICES AGENCY
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ANALYSIS OF THE GOVERNOR'S BUDGET RECOMMENDATIONS

FY 2022 and FY 2023

ADMINISTRATION AND REGULATION APPROPRIATIONS SUBCOMMITTEE

JANUARY 2021

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Administration and Regulation Subcommittee Members

Analysis of the Governor's Budget Recommendations

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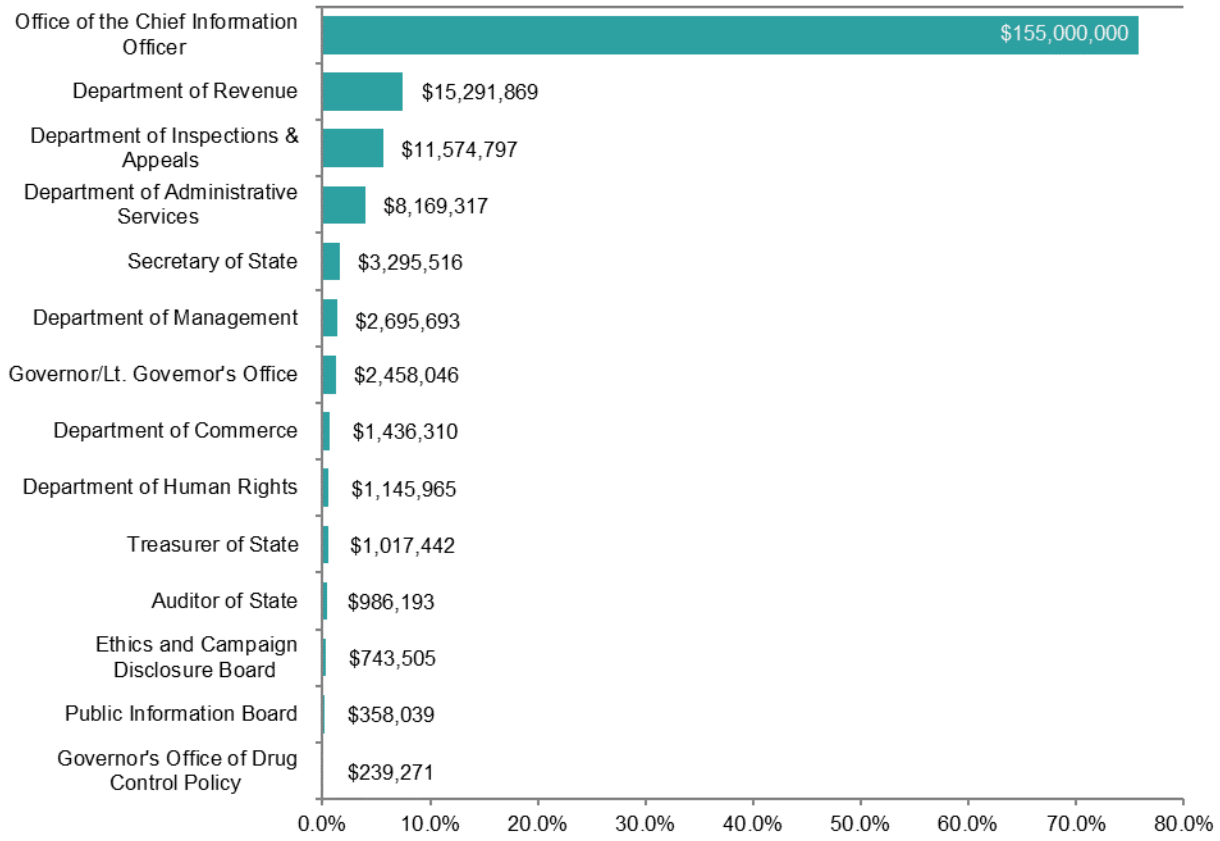
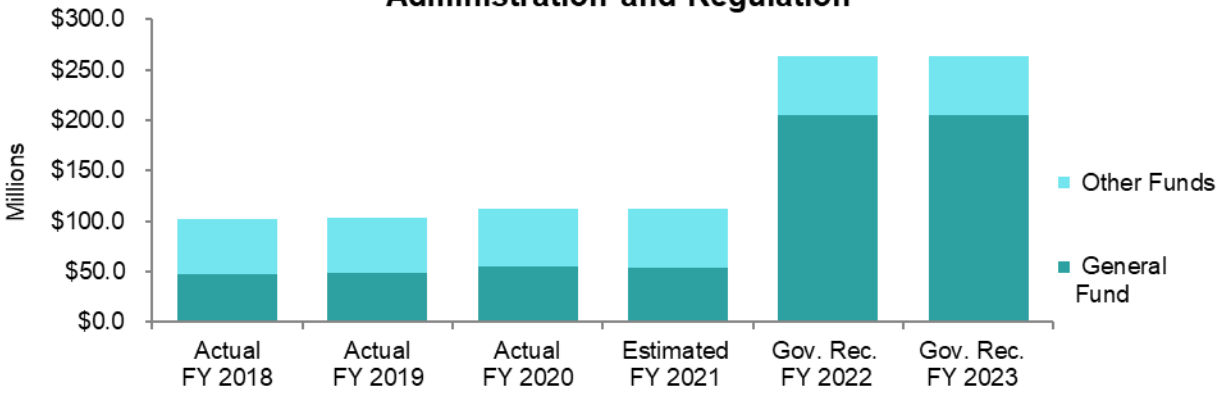
FY 2022 General Fund Governor's Recommendations
Total: $204,411,963



Funding History by Appropriations Subcommittee — Administration and Regulation

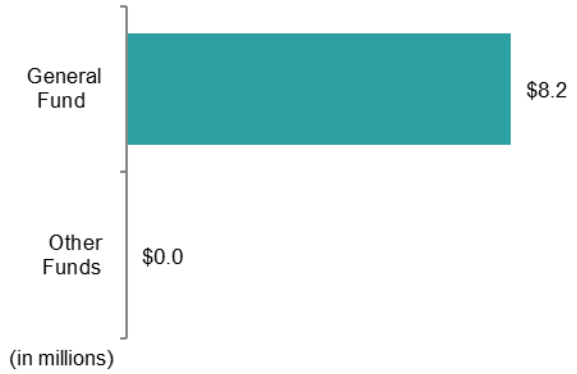


DEPARTMENT OF ADMINISTRATIVE SERVICES

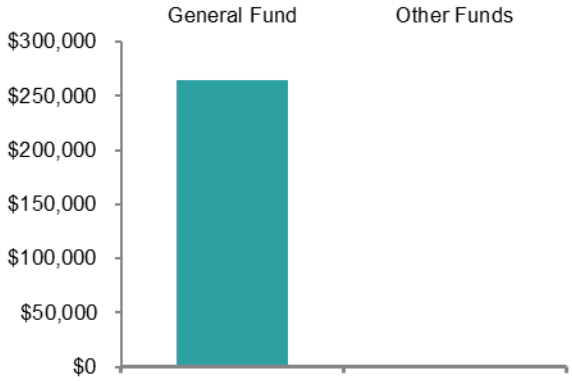
Overview and Funding History

Agency Overview: The Department of Administrative Services (DAS) was established on July 1, 2003, by combining all or a portion of four existing State agencies (General Services, Revenue and Finance, Personnel, and Information Technology). Currently, the DAS consists of four enterprises providing corporate-level facility and business services to other agencies of State government, and a Core/Finance Operations Division responsible for the internal administration of the Department. The four enterprises are the General Services Enterprise (GSE), Human Resources Enterprise (HRE), Central Procurement and Fleet Services Enterprise (CPFSE), and State Accounting Enterprise (SAE). The Information Technology Enterprise (ITE) was moved out of the DAS to create the independent Office of the Chief Information Officer (OCIO) in FY 2015.

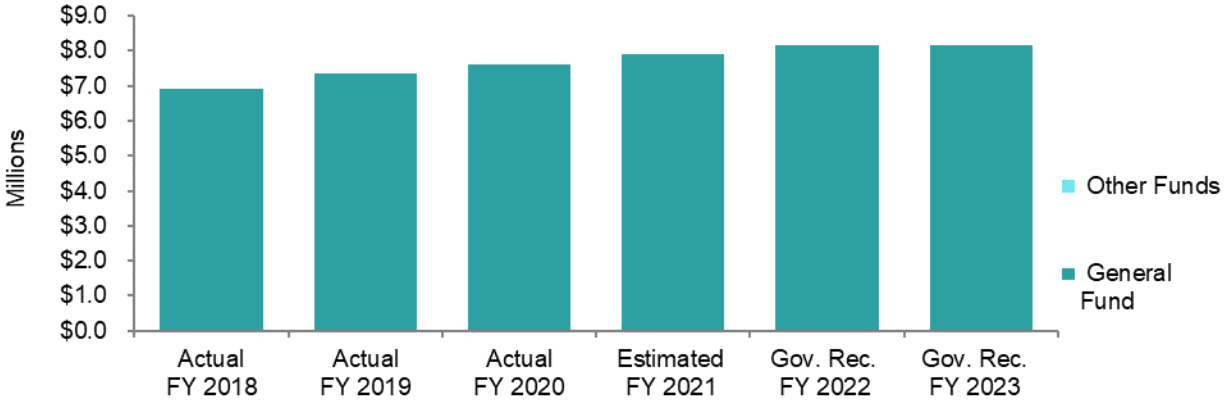
FY 2022 Governor's Recommendations
Total: $8,169,317



Governor's Recommendations Compared to FY 2021



Funding History



General Fund Recommendations

	Actual FY 2020 (1)	Estimated FY 2021 (2)	Gov Rec FY 2022 (3)	Gov Rec FY 22 vs Est FY 21 (4)
Administrative Services, Department of				
Administrative Services				
Operations	$ 3,603,404	$ 3,603,404	$ 3,603,404	$ 0
Utilities	3,524,611	3,882,948	4,104,239	221,291
Terrace Hill Operations	418,200	418,200	461,674	43,474
Real Property Listing	50,000	0	0	0
Total Administrative Services, Department of	$ 7,596,215	$ 7,904,552	$ 8,169,317	$ 264,765

Governor's Recommendations FY 2022 — Significant Changes

Utilities **$221,291**

An increase to close a budget shortfall for utility needs on the Capitol Complex.

Terrace Hill Operations **$43,474**

An increase to close a budget shortfall related to groundskeeping and maintenance of Terrace Hill.

Discussion Items

Utility Rate Costs — The DAS supplies utilities to the Capitol Complex, Terrace Hill, and the laboratory buildings in Ankeny. The DAS reported an increase in electricity and natural gas consumption in CY 2020 compared to utility consumption in CY 2019.

FY 2021 and FY 2022 DAS Service Rates — The Customer Council met on August 4, 2020, and adopted the following rate changes for FY 2021 and FY 2022.

Action taken on the previously approved FY 2021 rates:

- Increase the FY 2021 rate for the Flexible Spending charge from $40.56 per participant to $42.00 per participant to account for fewer participants in the program and third-party vendor costs.
- Introduce a new Access Badging rate for FY 2021 at $11.14 per Capitol Complex employee.

Action taken on the previously approved FY 2022 rates:

- Increase the FY 2022 rate for the Flexible Spending charge from $40.56 per participant to $42.00 per participant to account for fewer participants in the program and third-party vendor costs.
- Decrease the FY 2022 rate for the Family Medical Leave Act (FMLA) charge from $27.60 per filled position five quarter average to $24.84 per filled position five quarter average. This reflects the discontinued use of a third-party vendor.
- Introduce a new Employee Relations rate for FY 2022 at $28.20 per filled position five quarter average.

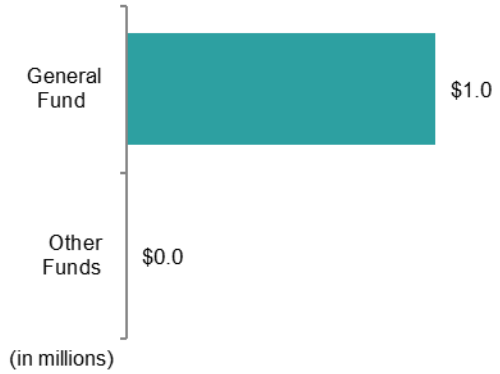
Additional Information: More information is available through the 2022 Iowa DAS Utility Services Business Plan and Report to the Customer Council. The Business Plan provides brief descriptions of all DAS utility services and methodologies that were reviewed and approved by the Customer Council. Additional information is available on the website: das.iowa.gov/das-core/das-customer-council.

AUDITOR OF STATE

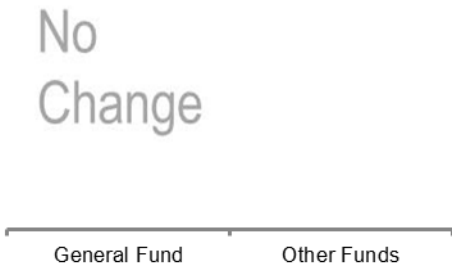
Overview and Funding History

Agency Overview: The position of Auditor of State was created in 1857 by Article IV, Section 22 of the Iowa Constitution. The Auditor is elected by popular vote and serves a four-year term. The duties of the Auditor's Office are spelled out in Iowa Code chapter 11. The mission of the Office of the Auditor of State is to serve as the taxpayers' watchdog to help ensure government officials use taxpayer dollars for the intended purposes to benefit the public. The Auditor's Office provides independent audits of the financial operations of State and local governments. The Office also reviews government activities to ensure they are conducted in an effective, efficient, and legal manner.

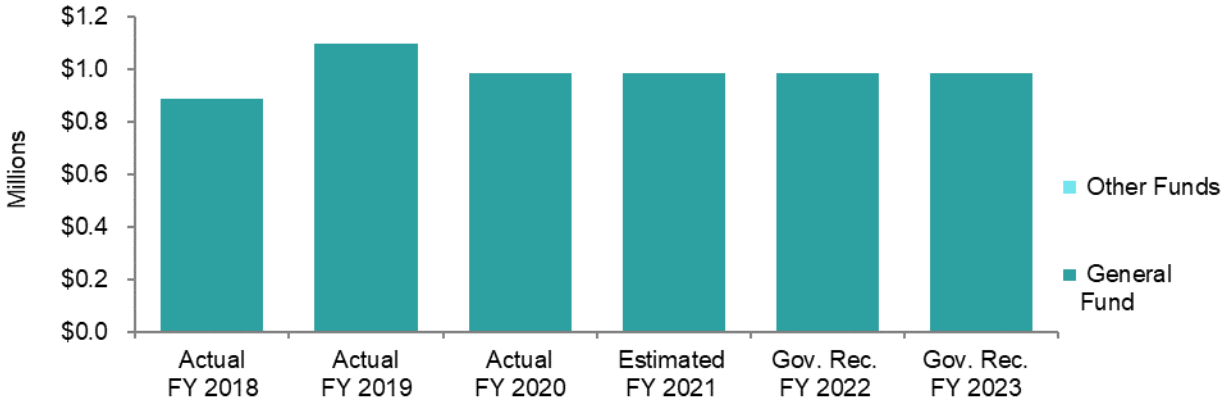
FY 2022 Governor's Recommendations
Total: $986,193



Governor's Recommendations Compared to FY 2021



Funding History



General Fund Recommendations

	Actual FY 2020 (1)	Estimated FY 2021 (2)	Gov Rec FY 2022 (3)	Gov Rec FY 22 vs Est FY 21 (4)
Auditor of State				
Auditor of State				
Auditor of State - General Office	$ 986,193	$ 986,193	$ 986,193	$ 0
Total Auditor of State	$ 986,193	$ 986,193	$ 986,193	$ 0

Discussion Items

Fee Revenue — The Office's primary source of funding comes from billing State agencies, local governments, and other entities pursuant to Iowa Code sections 11.5B, 11.6, and 11.24. The Office of the Auditor of State's annual appropriation from the General Fund funds the cost of conducting audits of State departments that are not statutorily required to reimburse the Office for annual audit costs. The Subcommittee may be interested in how the Office addresses the challenges of increased audit requests, as well as time and budgetary constraints.

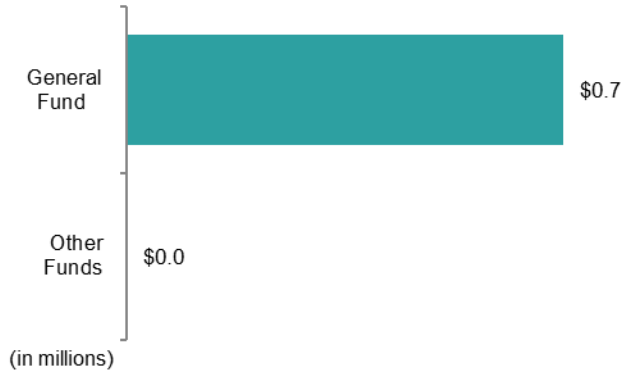
Billing Proposal — Under Iowa Code section 11.5B, the Auditor of State only bills for certain State agencies' audits. The Office has proposed billing all State agencies equally for audit work, which the Office estimates would reduce the hourly billing rate by 15.00% for State agencies that are currently being billed. However, the Subcommittee may wish to explore the hypothetical billing amounts for agencies that are currently unbilled, as the agencies will fund these billings from their General Fund appropriation or Other Fund appropriations if the General Fund is not the primary source for appropriations.

ETHICS AND CAMPAIGN DISCLOSURE BOARD

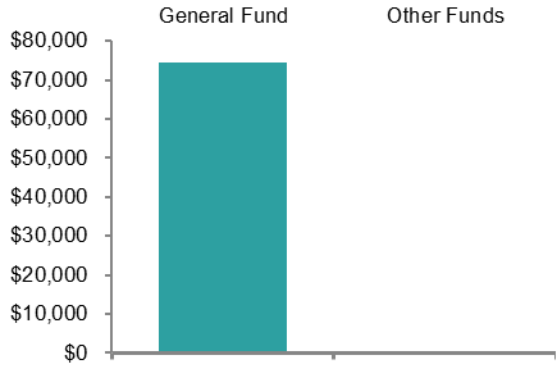
Overview and Funding History

Agency Overview: The Iowa Ethics and Campaign Disclosure Board administers and enforces the State campaign, lobbying, and ethics laws. The Board also reports on all gifts and bequests received by an Executive Branch agency other than a Regents university, as specified in Iowa Code section 8.7.

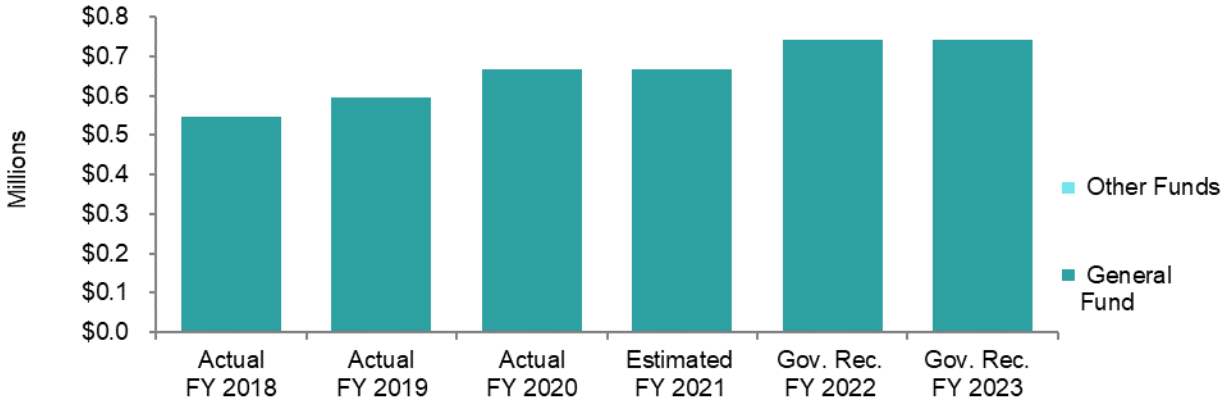
FY 2022 Governor's Recommendations
Total: $743,505



Governor's Recommendations Compared to FY 2021



Funding History



General Fund Recommendations

	Actual FY 2020 (1)	Estimated FY 2021 (2)	Gov Rec FY 2022 (3)	Gov Rec FY 22 vs Est FY 21 (4)
Ethics and Campaign Disclosure Board, Iowa				
Campaign Finance Disclosure				
Ethics & Campaign Disclosure Board	$ 668,863	$ 668,863	$ 743,505	$ 74,642
Total Ethics and Campaign Disclosure Board, Iowa	$ 668,863	$ 668,863	$ 743,505	$ 74,642

Governor's Recommendations FY 2022 — Significant Changes

General Office **$74,642**

An increase for general office operating expenses.

Discussion Items

General Office Increase — *The Governor is recommending an increase of $74,642 for the Ethics and Campaign Disclosure for general office operating expenses in FY 2022*. The Ethics and Campaign Disclosure Board reported that the increase in general office operating expenses includes:

- An increase for a corrected amount of personnel costs. In FY 2021, the Ethics and Campaign Disclosure Board's initial budget request included the incorrect funding amount for a recently hired full-time employee.
- An increase for an adjustment to the Board's Web Reporting System maintenance costs. The Ethics and Campaign Disclosure Board's current Web Reporting System is over 12 years old.
- An increase to account for uncertainties of receiving the Office of the Chief Information Officer's IOWAccess grant in FY 2022.

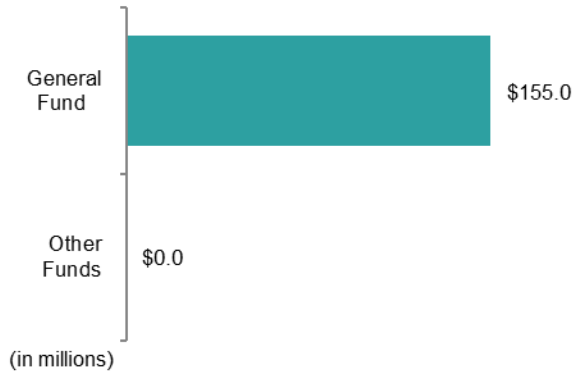
Additional Funding Recommendation — The Ethics and Campaign Disclosure Board received $500,000 from the Technology Reinvestment Fund in FY 2021 to improve the Board's Web-based electronic filing system. *The Governor is recommending $500,000 from the Technology Reinvestment Fund for upgrades to the Board's reporting systems in FY 2022 and FY 2023.*

OFFICE OF THE CHIEF INFORMATION OFFICER

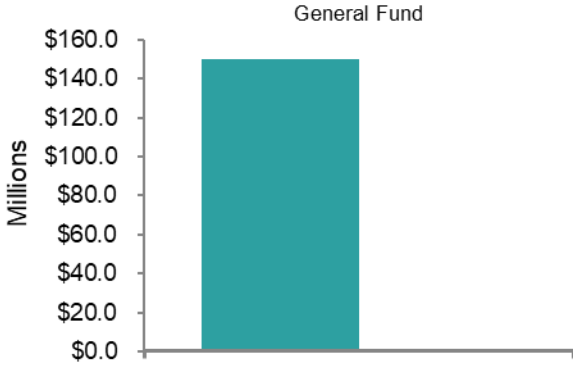
Overview and Funding History

Agency Overview: The Office of the Chief Information Officer (OCIO) was transferred from the DAS to become a separate department by SF 396 (Government Efficiency Act), enacted during the 2013 Legislative Session. The Chief Information Officer is appointed by and serves at the pleasure of the Governor and is subject to confirmation by the Senate. The OCIO was created for the purpose of leading, directing, managing, coordinating, and providing accountability for the information technology resources of State government and to provide objective, customer-focused information technology services and business solutions. Some of the Chief Information Officer's responsibilities are to prescribe and adopt information technology standards and rules, advise the Governor on issues related to information technology, consult and work with all governmental entities to achieve the information technology goals established by the OCIO, and develop systems and methodologies to review, evaluate, and prioritize information technology projects. The Office is primarily funded through fees collected from State agencies.

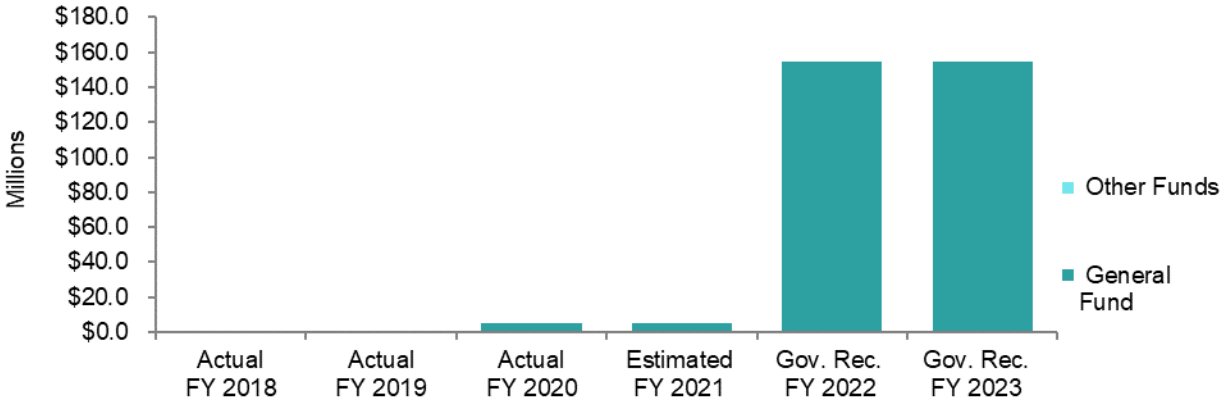
FY 2022 Governor's Recommendations
Total: $155,000,000



Governor's Recommendations Compared to FY 2021



Funding History



General Fund Recommendations

	Actual FY 2020 (1)	Estimated FY 2021 (2)	Gov Rec FY 2022 (3)	Gov Rec FY 22 vs Est FY 21 (4)
Chief Information Officer, Office of the				
Chief Information Officer, Office of the				
Three-Year Broadband Grants	$ 0	$ 0	$ 150,000,000	$ 150,000,000
Broadband Grants	5,000,000	5,000,000	5,000,000	0
Total Chief Information Officer, Office of the	$ 5,000,000	$ 5,000,000	$ 155,000,000	$ 150,000,000

Governor's Recommendations FY 2022 — Significant Change

Three-Year Broadband Grants $150,000,000

An increase of $150,000,000 from the General Fund as part of the Empowering Rural Iowa program initiative for broadband grants. *The Governor is recommending an additional $150,000,000 for FY 2023 for the same purpose.*

Discussion Items

Empower Rural Iowa Broadband Grants Program — The OCIO administers the Empower Rural Iowa Broadband Grants Program and the Broadband Property Tax Exemption Certification Program, programs designed to increase access to high-speed Internet services in underserved and unserved areas across the State of Iowa. The Broadband Grants Program received $1,300,000 in appropriations in FY 2019 from the Rebuild Iowa Infrastructure Fund (RIIF), $5,000,000 in FY 2020 from the General Fund, and $5,000,000 in FY 2021 from the General Fund. In 2020, the Broadband Grant Program also received $50,000,000 in federal Coronavirus Aid, Relief, and Economic Security (CARES) Act funds from the Coronavirus Relief Fund to expand broadband services in Iowa. Senate File 2400, passed in 2020, permits up to 1.00% of the funds appropriated to the Empower Rural Iowa Broadband Grant Program to be used for administration and operation and established that awarded moneys not paid to a communications service provider will remain available to the OCIO for the purposes of administering the award. To date, there have been 90 awards to community service providers.

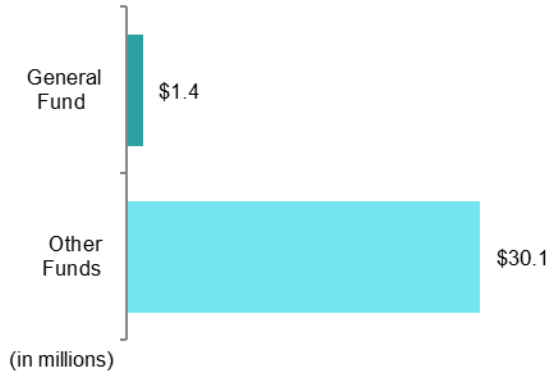
Additional Funding Recommendations *—The Governor is also recommending additional project funds for the OCIO from the Technology Reinvestment Fund. This includes an FY 2022 recommendation of $17,000,000 and an FY 2023 recommendation of $6,230,000 from the Technology Reinvestment Fund to fund a contract with Workday, Inc. for the replacement of the State's budget, accounting, and human resources systems by July 2022. Additionally, the Governor is recommending an FY 2021 General Fund supplemental appropriation of $21,000,000 to fund the contract with Workday, Inc. In July 2020, $21,000,000 was transferred from the Iowa Coronavirus Relief Fund (ICRF) for the Workday contract. In December 2020, the Governor directed the Department of Management (DOM) to return $21,000,000 to the ICRF. The U.S. Treasury Department's Office of Inspector General (OIG) concurred with an October 2020 audit noting that the replacement is not a qualifying expense for ICRF funds.*

DEPARTMENT OF COMMERCE

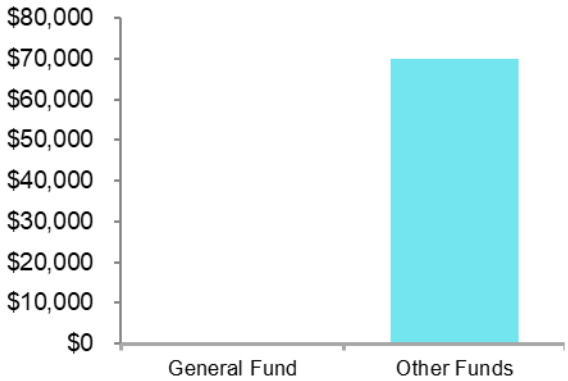
Overview and Funding History

Agency Overview: The Department of Commerce is comprised of five divisions: the Alcoholic Beverages Division (IABD), the Division of Banking (DOB), the Credit Union Division (CUD), the Insurance Division (IID), and the Utilities Board (IUB). The Professional Licensing and Regulation Bureau is attached to the Banking Division. Each division is responsible for regulation of an industry or a group of industries. Each division's budget is prepared independently and then combined with the other divisions' budgets for submission to the Governor and General Assembly for consideration.

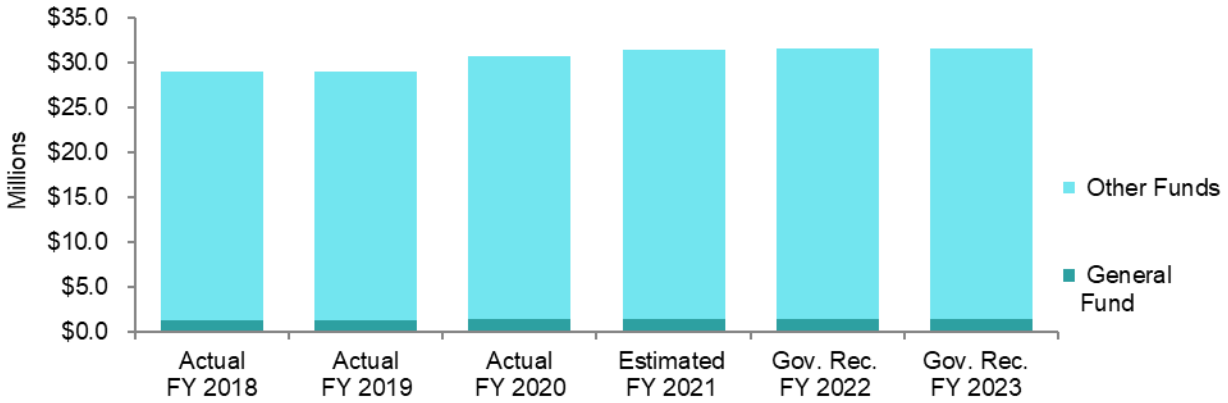
FY 2022 Governor's Recommendations
Total: $31,539,468



Governor's Recommendations Compared to FY 2021



Funding History



General Fund Recommendations

	Actual FY 2020 (1)	Estimated FY 2021 (2)	Gov Rec FY 2022 (3)	Gov Rec FY 22 vs Est FY 21 (4)
Commerce, Department of				
Alcoholic Beverages Division				
Alcoholic Beverages Operations	$ 1,075,454	$ 1,075,454	$ 1,075,454	$ 0
Professional Licensing and Reg.				
Professional Licensing Bureau	$ 360,856	$ 360,856	$ 360,856	$ 0
Total Commerce, Department of	$ 1,436,310	$ 1,436,310	$ 1,436,310	$ 0

Other Fund Recommendations

	Actual FY 2020 (1)	Estimated FY 2021 (2)	Gov Rec FY 2022 (3)	Gov Rec FY 22 vs Est FY 21 (4)
Commerce, Department of				
Banking Division				
Banking Division - CMRF	$ 11,978,695	$ 12,468,015	$ 12,468,015	$ 0
Credit Union Division				
Credit Union Division - CMRF	$ 2,407,929	$ 2,460,005	$ 2,260,005	$ -200,000
Insurance Division				
Insurance Division - CMRF	$ 5,817,851	$ 6,097,094	$ 6,367,094	$ 270,000
Utilities Division				
Utilities Division - CMRF	$ 8,945,728	$ 8,945,728	$ 8,945,727	$ -1
Professional Licensing and Reg.				
Field Auditor - Housing Trust Fund	$ 62,317	$ 62,317	$ 62,317	$ 0
Total Commerce, Department of	$ 29,212,520	$ 30,033,159	$ 30,103,158	$ 69,999

Governor's Recommendations FY 2022 — Significant Changes

Credit Union Division **-$200,000**

A decrease in Commerce Revolving Fund spending authority for the Credit Union Division due to an early completion of a technology project.

Insurance Division **$270,000**

An increase in Commerce Revolving Fund spending authority for the Insurance Division to cover costs associated with an increase in rent at the division's new office location.

Discussion Item

Insurance Division Office Improvements — The Iowa Finance Authority funded all tenant improvements for the new work space, which will be paid back by the Insurance Division over a 10-year lease.

OFFICE OF GOVERNOR AND LIEUTENANT GOVERNOR

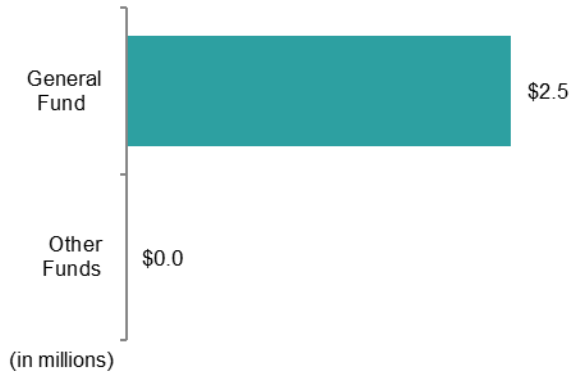
Overview and Funding History

Agency Overview: The position of State Governor was created in 1857 by Article IV, Section 1 of the Iowa Constitution. The Governor is elected by a statewide popular vote and serves a four-year term. The duties and responsibilities of the Office are specified in Iowa Code chapter 7. The Governor's Office is responsible for managing the Executive Branch and implementing policies and programs in accordance with State law.

The functions funded by the Terrace Hill Quarters appropriation consist of the following:

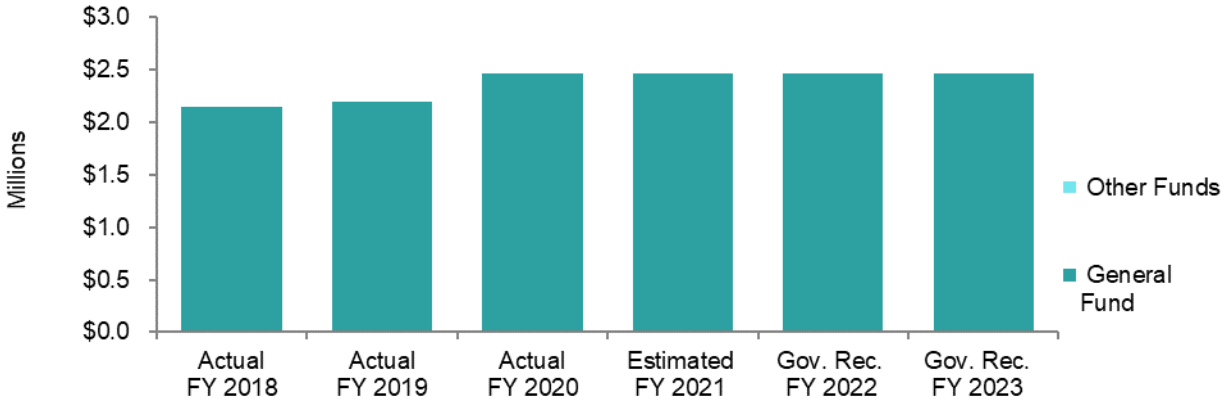
- Staffing and expenses of the overall operation of Terrace Hill National Historic Landmark and the Iowa Governor's Residence.
- Daily food preparation and housekeeping services for the Governor and the Governor's family.
- Maintenance of the grounds by the DAS.

FY 2022 Governor's Recommendations
Total: $2,458,046



Governor's Recommendations Compared to FY 2021

Funding History



General Fund Recommendations

	Actual FY 2020 (1)	Estimated FY 2021 (2)	Gov Rec FY 2022 (3)	Gov Rec FY 22 vs Est FY 21 (4)
Governor/Lt. Governor's Office				
Governor's Office				
Governor's/Lt. Governor's Office	$ 2,315,344	$ 2,315,344	$ 2,315,344	$ 0
Terrace Hill Quarters	142,702	142,702	142,702	0
Total Governor/Lt. Governor's Office	$ 2,458,046	$ 2,458,046	$ 2,458,046	$ 0

Discussion Item

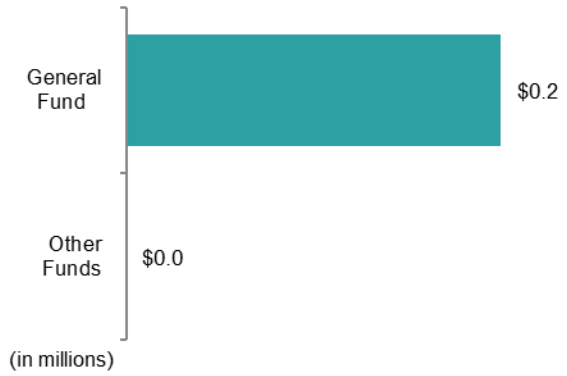
CARES Act Funding — An FY 2020 transfer in the amount of $448,000 was paid from a $1,000,000 Iowa Coronavirus Relief Fund transfer to the Iowa Department of Homeland Security and Emergency Management on September 15, 2020, to fund Governor's staff salaries during the period of March 15, 2020, through June 30, 2020. According to the Governor's Chief of Staff, these salary payments were compensation for overtime accrued due to the Office's COVID-19 response during the pay periods noted above.

GOVERNOR'S OFFICE OF DRUG CONTROL POLICY

Overview and Funding History

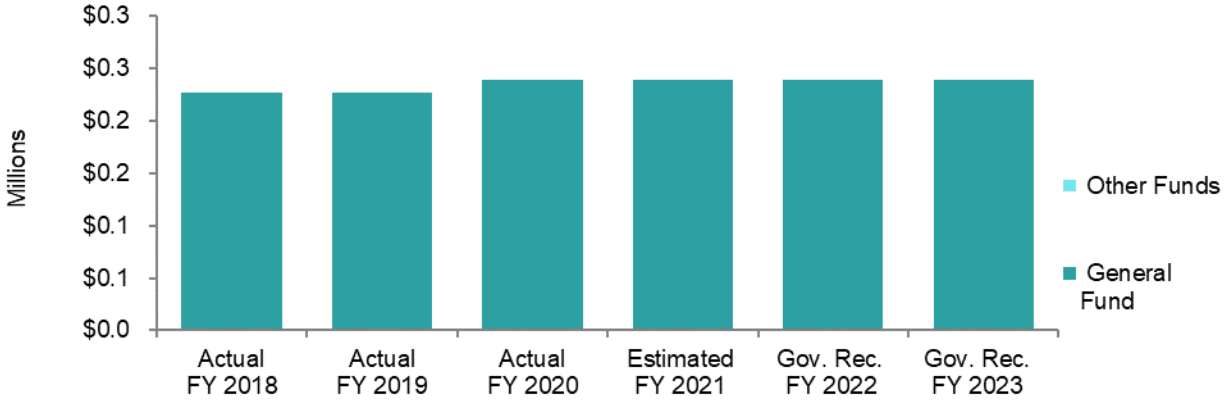
Agency Overview: The Governor's Office of Drug Control Policy (ODCP) coordinates agencies and stakeholders involved with drug enforcement and substance abuse treatment and prevention. The ODCP creates the drug control policy and strategy for the State and identifies, pursues, and administers federal and other grants.

FY 2022 Governor's Recommendations
Total: $239,271



Governor's Recommendations Compared to FY 2021

Funding History



General Fund Recommendations

	Actual FY 2020 (1)	Estimated FY 2021 (2)	Gov Rec FY 2022 (3)	Gov Rec FY 22 vs Est FY 21 (4)
Drug Control Policy, Governor's Office of				
Office of Drug Control Policy				
Operations	$ 239,271	$ 239,271	$ 239,271	$ 0
Total Drug Control Policy, Governor's Office of	$ 239,271	$ 239,271	$ 239,271	$ 0

Discussion Item

CARES Act Funding — The Governor's Office of Drug Control Policy received funding under the federal State and Local Edward Byrne Memorial Justice Assistance Grant Program during federal FY 2019, which made the Office a candidate for emergency funding from the Department of Justice. The ODCP's application was accepted and the Office received $5,700,000 in federal funds to address COVID-19. These funds were made available to local entities (including judicial districts and Native American tribes) in their efforts to respond to COVID-19. Faith-based and nonprofit organizations, in collaboration with government agencies, are also eligible to apply. The funds cannot be used to make up lost revenues and can be used for the following purposes:

- Overtime
- Equipment (including law enforcement and medical personal protective equipment)
- Hiring
- Supplies (gloves, masks, sanitizer)
- Training
- Travel expenses
- Addressing the medical needs of the incarcerated

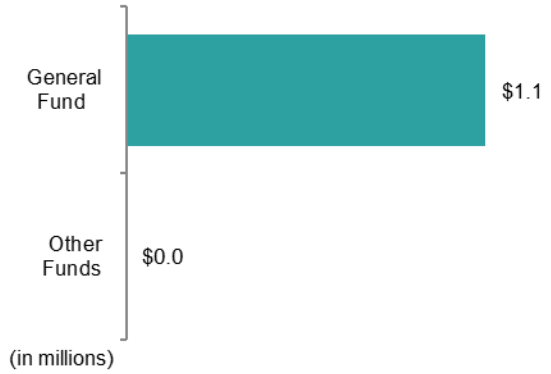
To date, $1,092,054 of this amount has been expended.

DEPARTMENT OF HUMAN RIGHTS

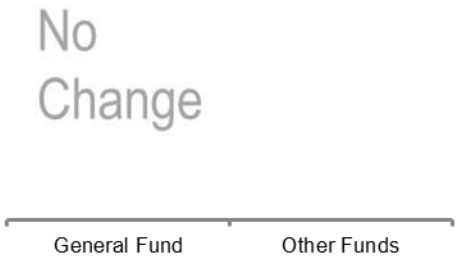
Overview and Funding History

Agency Overview: The Iowa Department of Human Rights (DHR) is comprised of the Central Administration and three major divisions: Community Advocacy and Services, Community Action Agencies, and Criminal and Juvenile Justice Planning. The Community Advocacy and Services Division is comprised of seven offices that promote self-sufficiency for individuals by providing training and educating the public, developing public and private partnerships, and advocating and advancing the interest of the constituencies the offices serve. The seven offices are the Office of Asian and Pacific Islander Affairs, the Office of Deaf Services, the Office of Latino Affairs, the Office of Native American Affairs, the Office of Persons with Disabilities, the Office on the Status of African Americans, and the Office on the Status of Women.

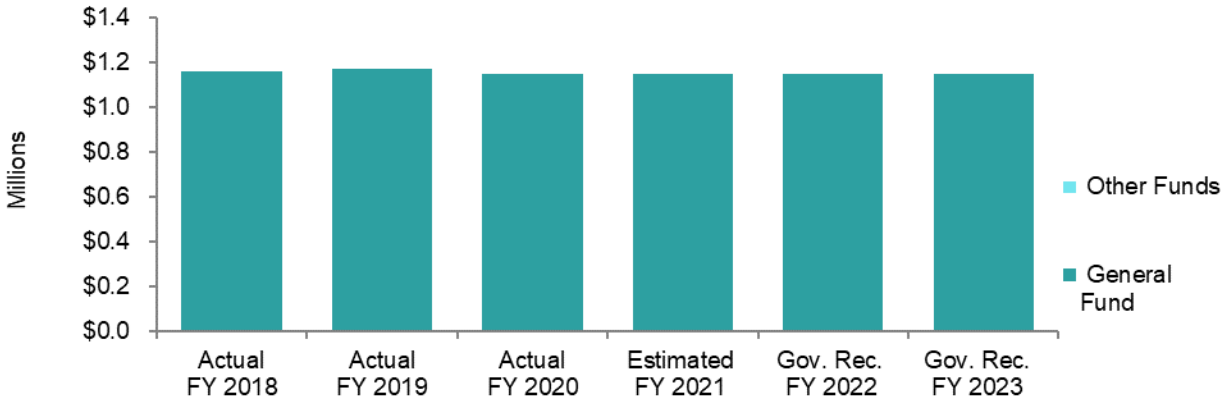
FY 2022 Governor's Recommendations
Total: $1,145,965



Governor's Recommendations Compared to FY 2021



Funding History



General Fund Recommendations

	Actual FY 2020 (1)	Estimated FY 2021 (2)	Gov Rec FY 2022 (3)	Gov Rec FY 22 vs Est FY 21 (4)
Human Rights, Department of				
Human Rights, Dept. of				
Central Administration	$ 189,071	$ 189,071	$ 189,071	$ 0
Community Advocacy and Services	956,894	956,894	956,894	0
Total Human Rights, Department of	$ 1,145,965	$ 1,145,965	$ 1,145,965	$ 0

Discussion Item

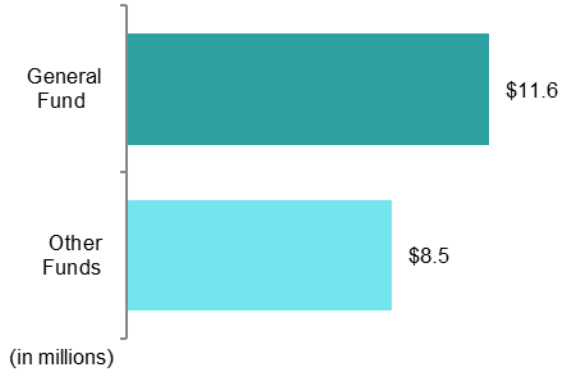
CARES Act Funding — The DHR received $10,821,398 in Community Services Block Grant (CSBG) funds on May 8, 2020. Under the CARES Act, these funds were intended to supplement the regular annual CSBG funding. Funds are contracted to 17 local community action agencies to be used according to local community plans to serve households with incomes below 200.00% of the federal poverty level. Local agencies must use CSBG CARES Act funds to help prevent, prepare for, or respond to COVID-19. Contracts were issued to local agencies on May 14, 2020, and spending could begin immediately. Agency activities have included providing or assisting food banks, food delivery initiatives, clothing, diapers, rent, and utility assistance, and addressing other emergency needs for households with limited income. Through November 30, 2020, just under 40.00% of funds have been expended. The CARES Act allows agencies to expend funds through September 30, 2022.

DEPARTMENT OF INSPECTIONS AND APPEALS

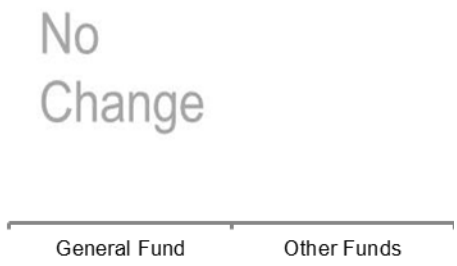
Overview and Funding History

Agency Overview: The Department of Inspections and Appeals (DIA) is a regulatory agency charged with protecting the health, safety, and well-being of Iowans. The DIA consists of four major divisions: the Administration Division, the Administrative Hearings Division, the Health Facilities Division, and the Investigations Division. The Food and Consumer Safety Bureau, Social and Charitable Gambling Unit, and Targeted Small Business (TSB) Certification Program are located in the Administration Division. The DIA also includes five administrative units: the Child Advocacy Board, the Employment Appeal Board, the Hospital Licensing Board, the Iowa Racing and Gaming Commission, and the State Public Defender. The State Public Defender is funded through the Justice System Appropriations Subcommittee and is not discussed in this section.

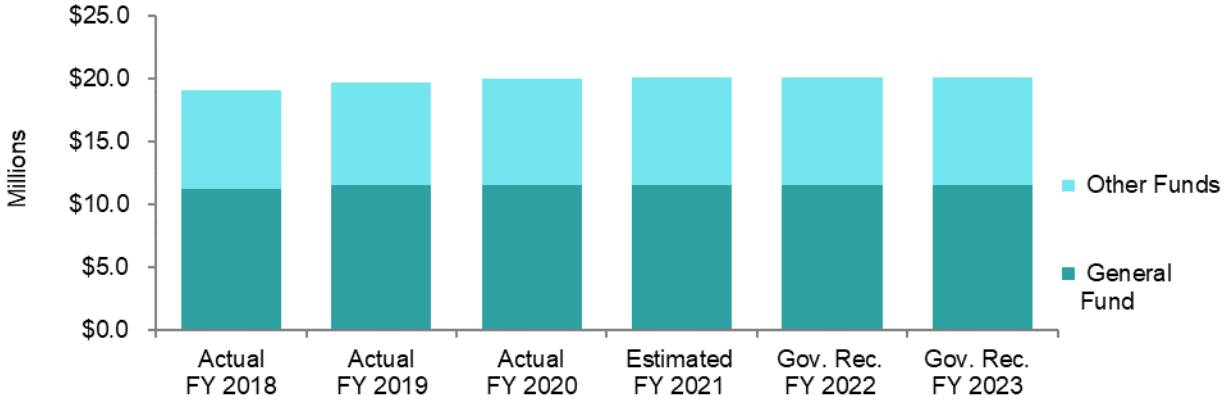
FY 2022 Governor's Recommendations
Total: $20,068,632



Governor's Recommendations Compared to FY 2021



Funding History



General Fund Recommendations

	Actual FY 2020 (1)	Estimated FY 2021 (2)	Gov Rec FY 2022 (3)	Gov Rec FY 22 vs Est FY 21 (4)
Inspections and Appeals, Department of				
Inspections and Appeals, Dept. of				
Administration Division	$ 546,312	$ 546,312	$ 546,312	$ 0
Administrative Hearings Division	625,827	625,827	625,827	0
Investigations Division	2,471,791	2,471,791	2,339,591	-132,200
Health Facilities Division	4,734,682	4,734,682	4,866,882	132,200
Employment Appeal Board	38,912	38,912	38,912	0
Child Advocacy Board	2,582,454	2,582,454	2,582,454	0
Food and Consumer Safety	574,819	574,819	574,819	0
Total Inspections and Appeals, Department of	$ 11,574,797	$ 11,574,797	$ 11,574,797	$ 0

Governor's Recommendations FY 2022 — Significant Changes

Investigations Division **-$132,200**

Transfers $132,200 and 3.00 full-time equivalent (FTE) positions from the Investigations Division to the Health Facilities Division for the Abuse Coordinating Unit.

Health Facilities Division **$132,200**

Transfers $132,200 and 3.00 FTE positions to the Health Facilities Division from the Investigations Division for the Abuse Coordinating Unit.

Other Fund Recommendations

	Actual FY 2020 (1)	Estimated FY 2021 (2)	Gov Rec FY 2022 (3)	Gov Rec FY 22 vs Est FY 21 (4)
Inspections and Appeals, Department of				
Inspections and Appeals, Dept. of				
DIA - RUTF	$ 1,623,897	$ 1,623,897	$ 1,623,897	$ 0
Racing Commission				
Gaming Regulation - GRF	$ 6,796,481	$ 6,869,938	$ 6,869,938	$ 0
Total Inspections and Appeals, Department of	$ 8,420,378	$ 8,493,835	$ 8,493,835	$ 0

Discussion Item

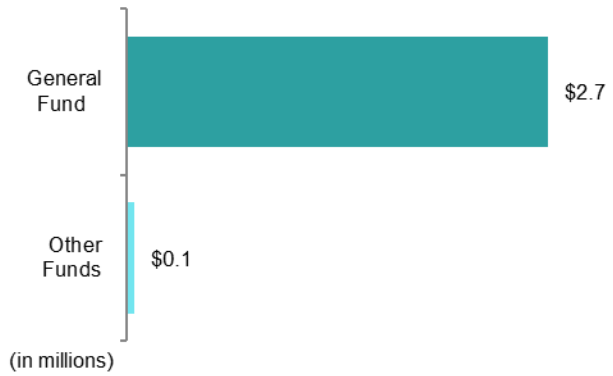
Additional Funding Recommendation *— The Governor is recommending $350,000 from the Technology Reinvestment Fund in FY 2022 to the DIA for the purpose of a Foster Care Registry for the Child Advocacy Board.*

DEPARTMENT OF MANAGEMENT

Overview and Funding History

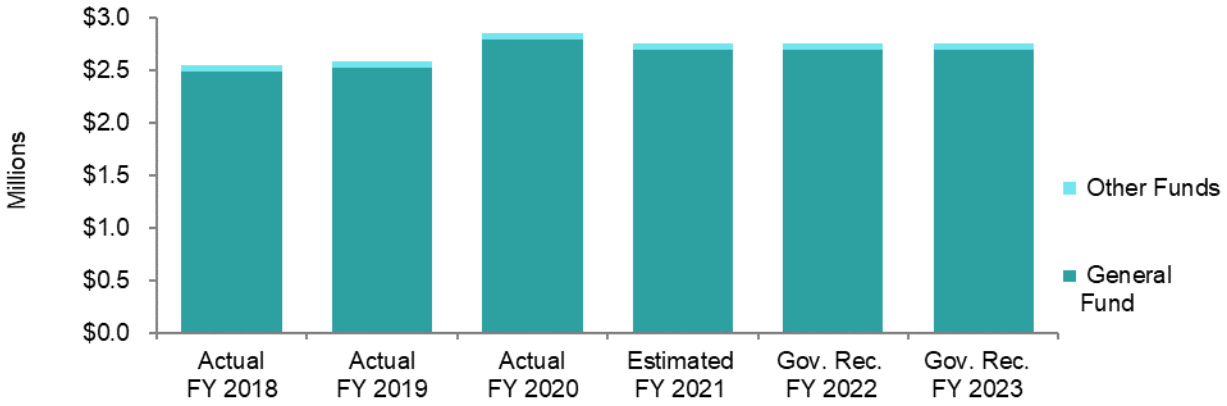
Agency Overview: The Department of Management (DOM) is the planning and budgeting agency within the Executive Branch. The Director of the DOM serves as the Governor's chief financial advisor.

FY 2022 Governor's Recommendations
Total: $2,751,693



Governor's Recommendations Compared to FY 2021

Funding History



General Fund Recommendations

	Actual FY 2020 (1)	Estimated FY 2021 (2)	Gov Rec FY 2022 (3)	Gov Rec FY 22 vs Est FY 21 (4)
Management, Department of				
Management, Dept. of				
Department Operations	$ 2,695,693	$ 2,695,693	$ 2,695,693	$ 0
OCIO Rate Adjustment	99,381	0	0	0
Total Management, Department of	$ 2,795,074	$ 2,695,693	$ 2,695,693	$ 0

Other Fund Recommendations

	Actual FY 2020 (1)	Estimated FY 2021 (2)	Gov Rec FY 2022 (3)	Gov Rec FY 22 vs Est FY 21 (4)
Management, Department of				
Management, Dept. of				
DOM Operations - RUTF	$ 56,000	$ 56,000	$ 56,000	$ 0
Total Management, Department of	$ 56,000	$ 56,000	$ 56,000	$ 0

Discussion Item

Additional Funding Recommendations *— The Governor is recommending an increase of $371,292 in FY 2022 to the DOM for a Socrata software license from the Technology Reinvestment Fund.*

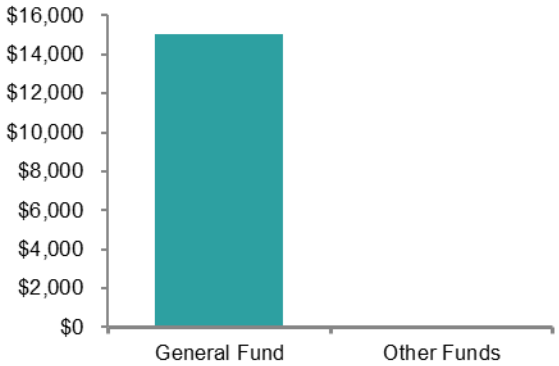
IOWA PUBLIC INFORMATION BOARD

Overview and Funding History

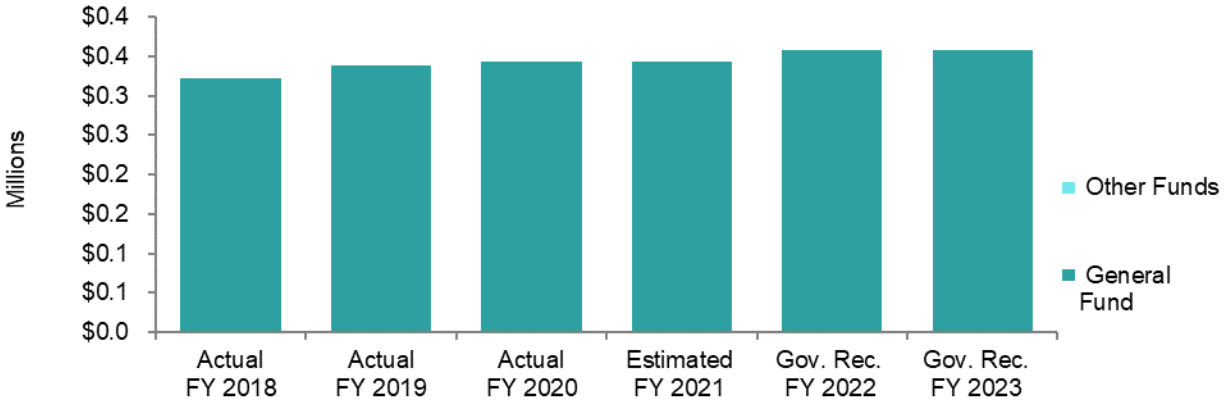
Agency Overview: The Iowa Public Information Board was created by SF 430 (Public Information Board Act) during the 2012 Legislative Session to provide an alternative for complaint proceedings regarding open meetings and public records laws. The Board consists of nine members appointed by the Governor and confirmed by the Senate. Prior to establishment of the Board, complaints relating to the open meetings and public records laws were handled by different agencies in the State. The Office of Ombudsman handled many of these cases. In addition, some cases were handled by the Attorney General's Office, as well as internally by local entities. The Board began meeting in July 2012 to organize, develop administrative rules, and identify staffing needs and budget requirements. The Board received a General Fund appropriation and became operational in July 2013.

FY 2022 Governor's Recommendations
Total: $358,039

Governor's Recommendations Compared to FY 2021



Funding History



General Fund Recommendations

	Actual FY 2020 (1)	Estimated FY 2021 (2)	Gov Rec FY 2022 (3)	Gov Rec FY 22 vs Est FY 21 (4)
Public Information Board				
Public Information Board				
Iowa Public Information Board	$ 343,019	$ 343,019	$ 358,039	$ 15,020
Total Public Information Board	$ 343,019	$ 343,019	$ 358,039	$ 15,020

Governor's Recommendations FY 2022 — Significant Changes

Iowa Public Information Board **$15,020**

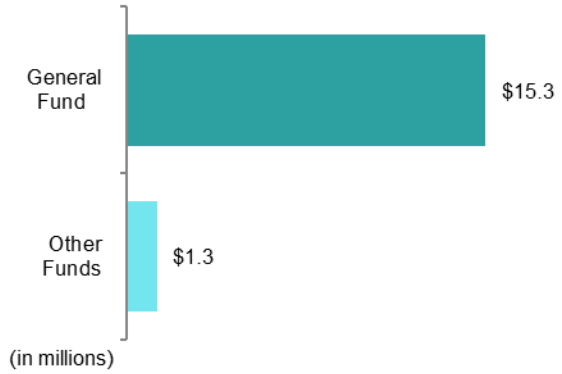
An increase for office-related expenses.

DEPARTMENT OF REVENUE

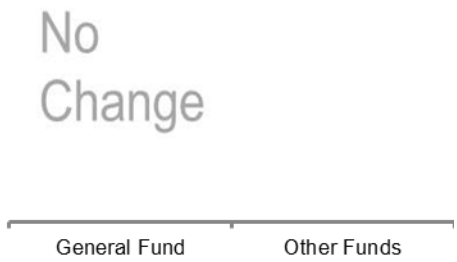
Overview and Funding History

Agency Overview: The Department of Revenue (IDR) is comprised of four divisions, including: Tax Management, Property Tax, Business Services, and Research and Analysis. The Department also houses the Property Assessment Appeal Board. The Department of Revenue collects all taxes in Iowa that are required by law. The Department also provides taxpayers with information that supports tax filing and payments.

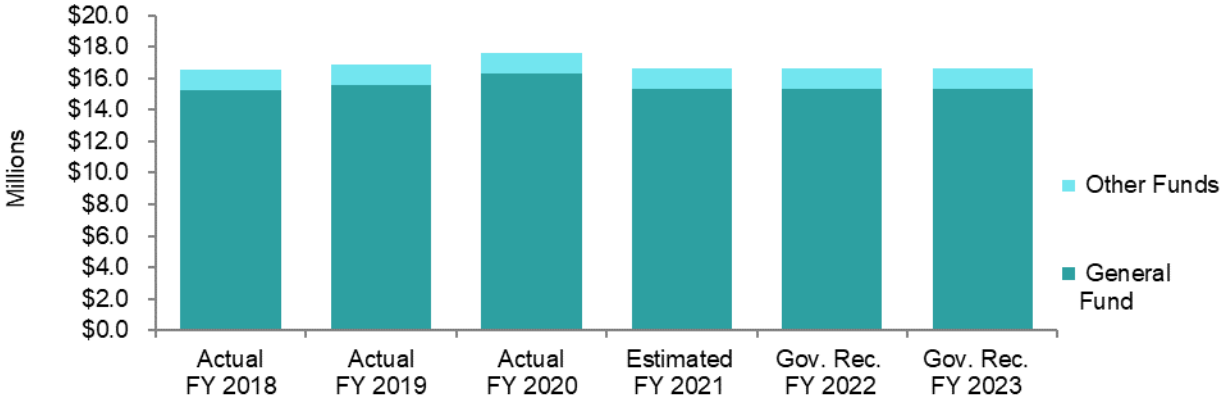
FY 2022 Governor's Recommendations
Total: $16,597,644



Governor's Recommendations Compared to FY 2021



Funding History



General Fund Recommendations

	Actual FY 2020 (1)	Estimated FY 2021 (2)	Gov Rec FY 2022 (3)	Gov Rec FY 22 vs Est FY 21 (4)
Revenue, Department of				
Revenue, Dept. of				
Operations	$ 15,149,692	$ 15,149,692	$ 15,149,692	$ 0
Technology Upgrades	1,070,460	0	0	0
Tobacco Reporting Requirements	17,525	17,525	17,525	0
Printing Cigarette Stamps	80,106	124,652	124,652	0
Total Revenue, Department of	$ 16,317,783	$ 15,291,869	$ 15,291,869	$ 0

Other Fund Recommendations

	Actual FY 2020 (1)	Estimated FY 2021 (2)	Gov Rec FY 2022 (3)	Gov Rec FY 22 vs Est FY 21 (4)
Revenue, Department of				
Revenue, Dept. of				
Motor Fuel Tax Admin - MVFT	$ 1,305,775	$ 1,305,775	$ 1,305,775	$ 0
Total Revenue, Department of	$ 1,305,775	$ 1,305,775	$ 1,305,775	$ 0

Discussion Item

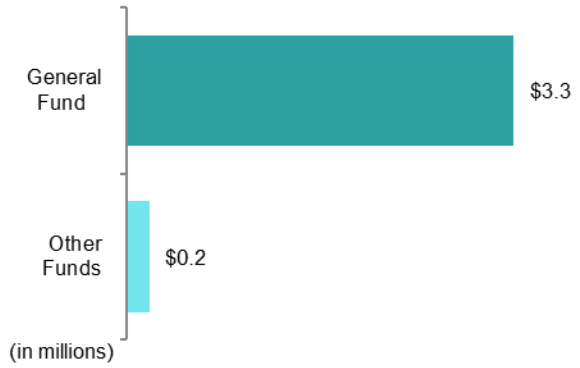
Additional Funding Recommendations — *The Governor is recommending a $4,070,460 in FY 2022 from the Technology Reinvestment Fund for the Department of Revenue's Tax System Modernization project. The Governor is also recommending an additional $4,070,460 in FY 2023 for the same purpose.* During the 2019 Legislative Session, $1,070,460 was appropriated in HF 759 (FY 2020 Administration and Regulation Appropriations Act) to the Department for the project. The Subcommittee may wish to receive information on the project's timeline for FY 2022 and FY 2023 and how the project may impact future tax filing seasons.

SECRETARY OF STATE

Overview and Funding History

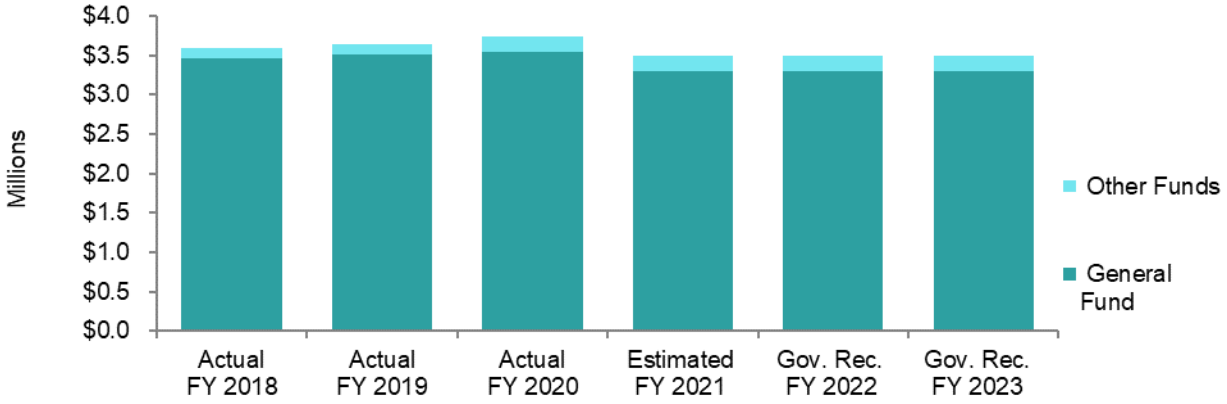
Agency Overview: The Office of the Secretary of State was created in 1857 in Article IV, Section 22 of the Iowa Constitution. The duties of the Office are spelled out in Iowa Code chapter 9. The Office also coordinates and supervises elections and maintains and operates the Voter Registration Program.

FY 2022 Governor's Recommendations
Total: $3,490,916



Governor's Recommendations Compared to FY 2021

Funding History



General Fund Recommendations

	Actual FY 2020 (1)	Estimated FY 2021 (2)	Gov Rec FY 2022 (3)	Gov Rec FY 22 vs Est FY 21 (4)
Secretary of State, Office of the				
Secretary of State				
Administration and Elections	$ 2,124,870	$ 1,874,870	$ 1,874,870	$ 0
Business Services	1,420,646	1,420,646	1,420,646	0
Total Secretary of State, Office of the	$ 3,545,516	$ 3,295,516	$ 3,295,516	$ 0

Other Fund Recommendations

	Actual FY 2020 (1)	Estimated FY 2021 (2)	Gov Rec FY 2022 (3)	Gov Rec FY 22 vs Est FY 21 (4)
Secretary of State, Office of the				
Secretary of State				
Address Confidentiality Program - ACRF	$ 195,400	$ 195,400	$ 195,400	$ 0
Total Secretary of State, Office of the	$ 195,400	$ 195,400	$ 195,400	$ 0

Discussion Items

COVID-19 Federal Funding — In 2020, the Secretary of State received $4,843,615 in 2018 federal Help America Vote Act Election Security Grants to improve the administration of elections and to enhance technology and make certain election security improvements in response to the COVID-19 pandemic and its impact on primaries and the general election.

Additional Funding Recommendations — *The Governor is recommending $1,400,000 from the Technology Reinvestment Fund in FY 2022 to the Secretary of State for the purpose of updating the Office's voter registration system. The Governor is recommending $1,400,000 in FY 2023 for the same purpose.* The total cost of the project is $7,350,000. This funding was enacted in the 2018 Infrastructure Appropriations Act and will require no legislative action.

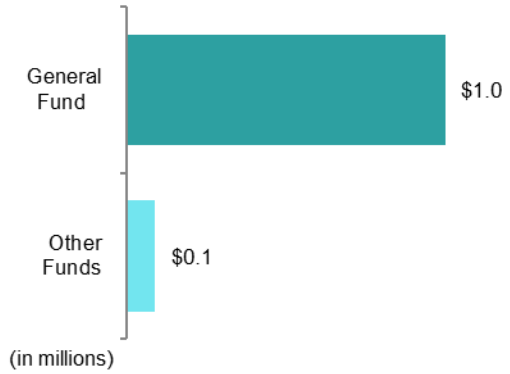
Agency Update — In FY 2020, Iowa had record-breaking turnouts for the 2020 primary election and had more than 53,000 Iowans utilize the online voter registration system to update their information or register to vote. The Secretary of State processed the second highest amount of new business entities in State history during FY 2020.

TREASURER OF STATE

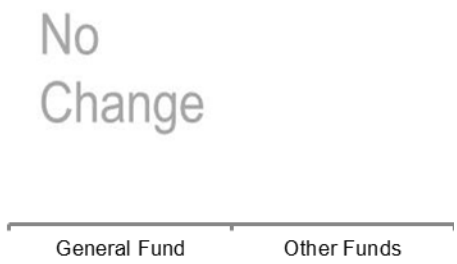
Overview and Funding History

Agency Overview: The position of Treasurer of State was created in 1857 by Article IV, Section 22 of the Iowa Constitution. The Treasurer is elected by a statewide popular vote and serves a four-year term. The duties and responsibilities of the Office are specified in Iowa Code chapter 12. The Treasurer of State provides financial services to the State of Iowa by maintaining records of the receipts and disbursements in the State treasury. The Treasurer is responsible for reporting the bonding activities of all political subdivisions and agencies and makes recommendations to the General Assembly and the Governor on modifications to the bonding authority.

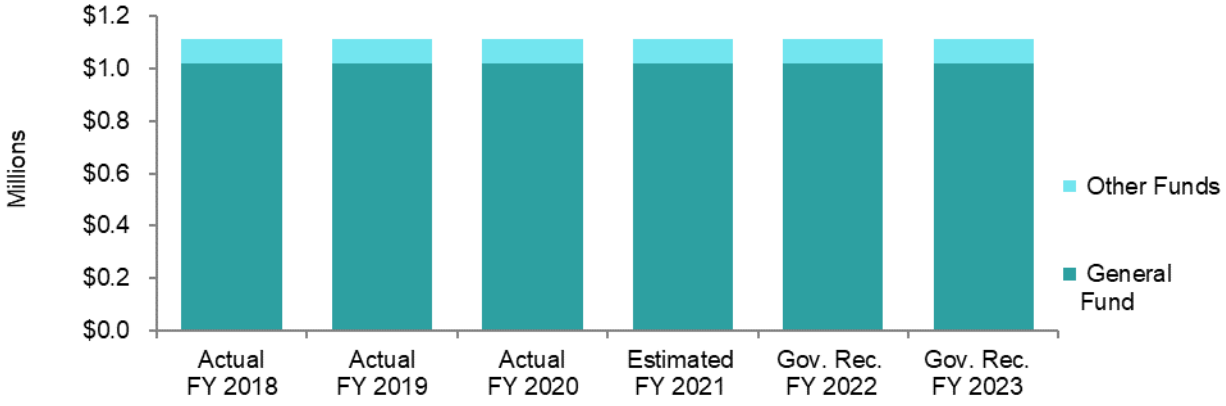
FY 2022 Governor's Recommendations
Total: $1,110,590



Governor's Recommendations Compared to FY 2021



Funding History



General Fund Recommendations

	Actual FY 2020 (1)	Estimated FY 2021 (2)	Gov Rec FY 2022 (3)	Gov Rec FY 22 vs Est FY 21 (4)
Treasurer of State, Office of				
Treasurer of State				
Treasurer - General Office	$ 1,017,442	$ 1,017,442	$ 1,017,442	$ 0
Total Treasurer of State, Office of	$ 1,017,442	$ 1,017,442	$ 1,017,442	$ 0

Other Fund Recommendations

	Actual FY 2020 (1)	Estimated FY 2021 (2)	Gov Rec FY 2022 (3)	Gov Rec FY 22 vs Est FY 21 (4)
Treasurer of State, Office of				
Treasurer of State				
I/3 Expenses - RUTF	$ 93,148	$ 93,148	$ 93,148	$ 0
Total Treasurer of State, Office of	$ 93,148	$ 93,148	$ 93,148	$ 0

Discussion Item

Agency Update — The Great Iowa Treasure Hunt Program returned $20,000,000 in FY 2020. The Program allows Iowans to claim lost or abandoned financial assets such as checking and saving accounts, securities, life insurance payouts, and safe deposit boxes. When such property is in the custody of the State, an individual can contact the Treasurer's Office to establish ownership.

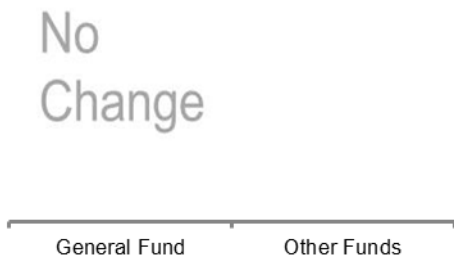
IOWA PUBLIC EMPLOYEES' RETIREMENT SYSTEM

Overview and Funding History

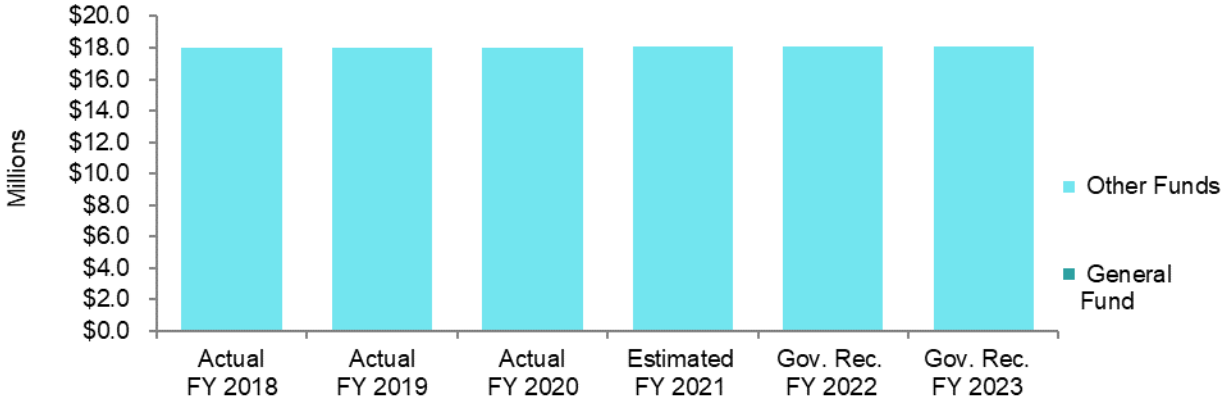
Agency Overview: The Iowa Public Employees' Retirement System (IPERS) administers the retirement benefits for many of Iowa's public employees. Iowa's public employers use IPERS benefits to attract and retain qualified public personnel in public service. The benefits help public employees care for themselves during retirement.

FY 2022 Governor's Recommendations
Total: $18,113,022

Governor's Recommendations Compared to FY 2021



Funding History



Other Fund Recommendations

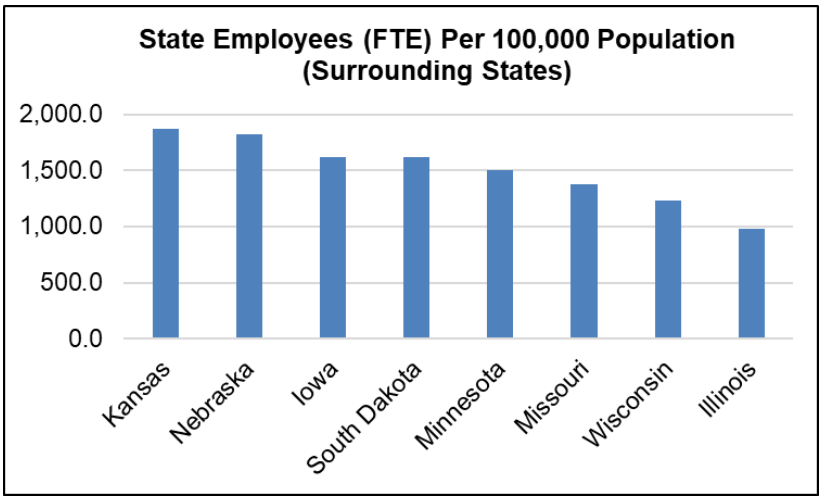
	Actual FY 2020 (1)	Estimated FY 2021 (2)	Gov Rec FY 2022 (3)	Gov Rec FY 22 vs Est FY 21 (4)
Iowa Public Employees' Retirement System				
IPERS Administration				
Administration - IPERS	$ 17,988,567	$ 18,113,022	$ 18,113,022	$ 0
Total Iowa Public Employees' Retirement System	$ 17,988,567	$ 18,113,022	$ 18,113,022	$ 0

Discussion Item

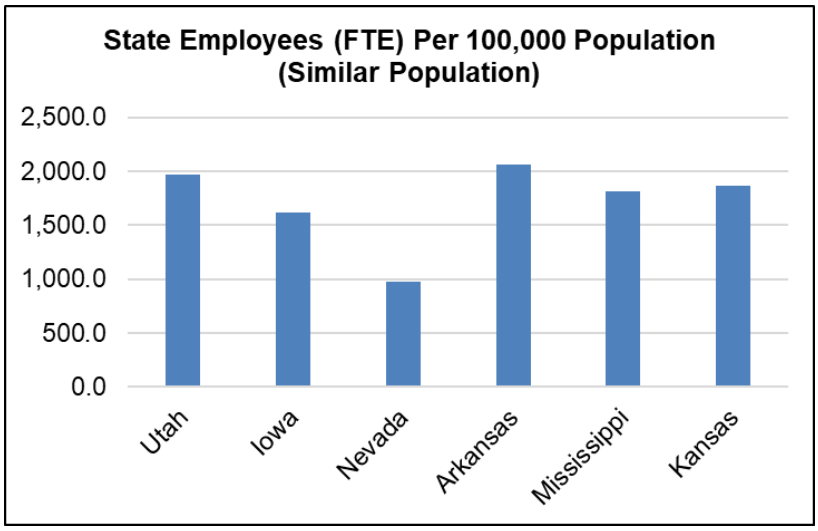
Salary Adjustment — In FY 2021, the Iowa Public Employees' Retirement System received a salary adjustment of $124,455, in addition to the FY 2021 appropriation of $17,988,567. *The Governor is recommending this salary adjustment for FY 2022 and FY 2023.*

Comparison to Other States — Full-Time Equivalent (FTE) State Government Employees

The Book of the States reports the number of FTE state government employees for all states. These charts show the number of FTE state employees per 100,000 state residents based on the U.S. Census Bureau's 2019 Annual Survey of Public Employment & Payroll (ASPEP) and the U.S. Census Bureau, Population Division. Compared to Iowa and its surrounding states, Kansas has the most state employees relative to the population served, and Illinois has the fewest. Iowa ranks third.



The second chart compares Iowa to states of similar populations. In this chart, the states are ordered by population from left to right, with Utah being the most populous and Kansas being the least. While Iowa ranks second on the chart when ordered by population, it ranks fifth in state employees per 100,000 residents. Arkansas, fourth most populous on the chart, has the most state employees per 100,000 residents, and Nevada, the third most populous state on the chart, has the fewest state employees per 100,000 residents.



LSA Publications

The Legislative Services Agency (LSA) has published the following ***Issue Reviews*** that relate to the Administration and Regulation Appropriations Subcommittee:
Office of the Chief Information Officer Broadband Grants
Iowa Department of Commerce Revolving Fund
Costs of Voting by Mail

Reports Required to Be Filed with General Assembly

Reports and other documents required to be filed with the General Assembly are organized by submitting department and by date and are published on this webpage.

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Maria Wagenhofer (515.281.5270) maria.wagenhofer@legis.iowa.gov

Appendix A – Tracking

Analysis of the Governor's Budget Recommendations

This Appendix contains tracking for the following:

- General Fund
- Other Funds
- Full-Time Equivalent (FTE) Positions

The Legislative Services Agency publishes ***Budget Unit Briefs*** that discuss the purpose of particular appropriations and programs. If a publication is available, it has been hyperlinked in the title of the appropriation.

Administration and Regulation

General Fund

	Actual FY 2020 (1)	Estimated FY 2021 (2)	Gov Rec FY 2022 (3)	Gov Rec FY 22 vs Est FY 21 (4)	Gov Rec Yr2 FY 2023 (5)	Gov Rec FY 23 vs Gov Rec FY 22 (6)
Administrative Services, Department of						
Administrative Services						
Operations	$ 3,603,404	$ 3,603,404	$ 3,603,404	$ 0	$ 3,603,404	$ 0
Utilities	3,524,611	3,882,948	4,104,239	221,291	4,104,239	0
Terrace Hill Operations	418,200	418,200	461,674	43,474	461,674	0
Real Property Listing	50,000	0	0	0	0	0
Total Administrative Services, Department of	$ 7,596,215	$ 7,904,552	$ 8,169,317	$ 264,765	$ 8,169,317	$ 0
Auditor of State						
Auditor of State						
Auditor of State - General Office	$ 986,193	$ 986,193	$ 986,193	$ 0	$ 986,193	$ 0
Total Auditor of State	$ 986,193	$ 986,193	$ 986,193	$ 0	$ 986,193	$ 0
Ethics and Campaign Disclosure Board, Iowa						
Campaign Finance Disclosure						
Ethics & Campaign Disclosure Board	$ 668,863	$ 668,863	$ 743,505	$ 74,642	$ 743,505	$ 0
Total Ethics and Campaign Disclosure Board, Iowa	$ 668,863	$ 668,863	$ 743,505	$ 74,642	$ 743,505	$ 0
Chief Information Officer, Office of the						
Chief Information Officer, Office of the						
Three-Year Broadband Grants	$ 0	$ 0	$ 150,000,000	$ 150,000,000	$ 150,000,000	$ 0
Broadband Grants	5,000,000	5,000,000	5,000,000	0	5,000,000	0
Total Chief Information Officer, Office of the	$ 5,000,000	$ 5,000,000	$ 155,000,000	$ 150,000,000	$ 155,000,000	$ 0
Commerce, Department of						
Alcoholic Beverages Division						
Alcoholic Beverages Operations	$ 1,075,454	$ 1,075,454	$ 1,075,454	$ 0	$ 1,075,454	$ 0
Professional Licensing and Reg.						
Professional Licensing Bureau	$ 360,856	$ 360,856	$ 360,856	$ 0	$ 360,856	$ 0
Total Commerce, Department of	$ 1,436,310	$ 1,436,310	$ 1,436,310	$ 0	$ 1,436,310	$ 0

Administration and Regulation

General Fund

	Actual FY 2020 (1)	Estimated FY 2021 (2)	Gov Rec FY 2022 (3)	Gov Rec FY 22 vs Est FY 21 (4)	Gov Rec Yr2 FY 2023 (5)	Gov Rec FY 23 vs Gov Rec FY 22 (6)
Governor/Lt. Governor's Office						
Governor's Office						
Governor's/Lt. Governor's Office	$ 2,315,344	$ 2,315,344	$ 2,315,344	$ 0	$ 2,315,344	$ 0
Terrace Hill Quarters	142,702	142,702	142,702	0	142,702	0
Total Governor/Lt. Governor's Office	$ 2,458,046	$ 2,458,046	$ 2,458,046	$ 0	$ 2,458,046	$ 0
Drug Control Policy, Governor's Office of						
Office of Drug Control Policy						
Operations	$ 239,271	$ 239,271	$ 239,271	$ 0	$ 239,271	$ 0
Total Drug Control Policy, Governor's Office of	$ 239,271	$ 239,271	$ 239,271	$ 0	$ 239,271	$ 0
Human Rights, Department of						
Human Rights, Dept. of						
Central Administration	$ 189,071	$ 189,071	$ 189,071	$ 0	$ 189,071	$ 0
Community Advocacy and Services	956,894	956,894	956,894	0	956,894	0
Total Human Rights, Department of	$ 1,145,965	$ 1,145,965	$ 1,145,965	$ 0	$ 1,145,965	$ 0
Inspections and Appeals, Department of						
Inspections and Appeals, Dept. of						
Administration Division	$ 546,312	$ 546,312	$ 546,312	$ 0	$ 546,312	$ 0
Administrative Hearings Division	625,827	625,827	625,827	0	625,827	0
Investigations Division	2,471,791	2,471,791	2,339,591	-132,200	2,339,591	0
Health Facilities Division	4,734,682	4,734,682	4,866,882	132,200	4,866,882	0
Employment Appeal Board	38,912	38,912	38,912	0	38,912	0
Child Advocacy Board	2,582,454	2,582,454	2,582,454	0	2,582,454	0
Food and Consumer Safety	574,819	574,819	574,819	0	574,819	0
Total Inspections and Appeals, Department of	$ 11,574,797	$ 11,574,797	$ 11,574,797	$ 0	$ 11,574,797	$ 0
Management, Department of						
Management, Dept. of						
Department Operations	$ 2,695,693	$ 2,695,693	$ 2,695,693	$ 0	$ 2,695,693	$ 0
OCIO Rate Adjustment	99,381	0	0	0	0	0
Total Management, Department of	$ 2,795,074	$ 2,695,693	$ 2,695,693	$ 0	$ 2,695,693	$ 0

Administration and Regulation

General Fund

	Actual FY 2020 (1)	Estimated FY 2021 (2)	Gov Rec FY 2022 (3)	Gov Rec FY 22 vs Est FY 21 (4)	Gov Rec Yr2 FY 2023 (5)	Gov Rec FY 23 vs Gov Rec FY 22 (6)
Public Information Board						
Public Information Board						
Iowa Public Information Board	$ 343,019	$ 343,019	$ 358,039	$ 15,020	$ 358,039	$ 0
Total Public Information Board	$ 343,019	$ 343,019	$ 358,039	$ 15,020	$ 358,039	$ 0
Revenue, Department of						
Revenue, Dept. of						
Operations	$ 15,149,692	$ 15,149,692	$ 15,149,692	$ 0	$ 15,149,692	$ 0
Technology Upgrades	1,070,460	0	0	0	0	0
Tobacco Reporting Requirements	17,525	17,525	17,525	0	17,525	0
Printing Cigarette Stamps	80,106	124,652	124,652	0	124,652	0
Total Revenue, Department of	$ 16,317,783	$ 15,291,869	$ 15,291,869	$ 0	$ 15,291,869	$ 0
Secretary of State, Office of the						
Secretary of State						
Administration and Elections	$ 2,124,870	$ 1,874,870	$ 1,874,870	$ 0	$ 1,874,870	$ 0
Business Services	1,420,646	1,420,646	1,420,646	0	1,420,646	0
Total Secretary of State, Office of the	$ 3,545,516	$ 3,295,516	$ 3,295,516	$ 0	$ 3,295,516	$ 0
Treasurer of State, Office of						
Treasurer of State						
Treasurer - General Office	$ 1,017,442	$ 1,017,442	$ 1,017,442	$ 0	$ 1,017,442	$ 0
Total Treasurer of State, Office of	$ 1,017,442	$ 1,017,442	$ 1,017,442	$ 0	$ 1,017,442	$ 0
Total Administration and Regulation	$ 55,124,494	$ 54,057,536	$ 204,411,963	$ 150,354,427	$ 204,411,963	$ 0

Administration and Regulation

Other Funds

	Actual FY 2020 (1)	Estimated FY 2021 (2)	Gov Rec FY 2022 (3)	Gov Rec FY 22 vs Est FY 21 (4)	Gov Rec Yr2 FY 2023 (5)	Gov Rec FY 23 vs Gov Rec FY 22 (6)
Commerce, Department of						
Banking Division						
Banking Division - CMRF	$ 11,978,695	$ 12,468,015	$ 12,468,015	$ 0	$ 12,468,015	$ 0
Credit Union Division						
Credit Union Division - CMRF	$ 2,407,929	$ 2,460,005	$ 2,260,005	$ -200,000	$ 2,260,005	$ 0
Insurance Division						
Insurance Division - CMRF	$ 5,817,851	$ 6,097,094	$ 6,367,094	$ 270,000	$ 6,367,094	$ 0
Utilities Division						
Utilities Division - CMRF	$ 8,945,728	$ 8,945,728	$ 8,945,727	$ -1	$ 8,945,727	$ 0
Professional Licensing and Reg.						
Field Auditor - Housing Trust Fund	$ 62,317	$ 62,317	$ 62,317	$ 0	$ 62,317	$ 0
Total Commerce, Department of	$ 29,212,520	$ 30,033,159	$ 30,103,158	$ 69,999	$ 30,103,158	$ 0
Inspections and Appeals, Department of						
Inspections and Appeals, Dept. of						
DIA - RUTF	$ 1,623,897	$ 1,623,897	$ 1,623,897	$ 0	$ 1,623,897	$ 0
Racing Commission						
Gaming Regulation - GRF	$ 6,796,481	$ 6,869,938	$ 6,869,938	$ 0	$ 6,869,938	$ 0
Total Inspections and Appeals, Department of	$ 8,420,378	$ 8,493,835	$ 8,493,835	$ 0	$ 8,493,835	$ 0
Management, Department of						
Management, Dept. of						
DOM Operations - RUTF	$ 56,000	$ 56,000	$ 56,000	$ 0	$ 56,000	$ 0
Total Management, Department of	$ 56,000	$ 56,000	$ 56,000	$ 0	$ 56,000	$ 0
Revenue, Department of						
Revenue, Dept. of						
Motor Fuel Tax Admin - MVFT	$ 1,305,775	$ 1,305,775	$ 1,305,775	$ 0	$ 1,305,775	$ 0
Total Revenue, Department of	$ 1,305,775	$ 1,305,775	$ 1,305,775	$ 0	$ 1,305,775	$ 0
Secretary of State, Office of the						
Secretary of State						
Address Confidentiality Program - ACRF	$ 195,400	$ 195,400	$ 195,400	$ 0	$ 195,400	$ 0
Total Secretary of State, Office of the	$ 195,400	$ 195,400	$ 195,400	$ 0	$ 195,400	$ 0

Administration and Regulation

Other Funds

	Actual FY 2020 (1)	Estimated FY 2021 (2)	Gov Rec FY 2022 (3)	Gov Rec FY 22 vs Est FY 21 (4)	Gov Rec Yr2 FY 2023 (5)	Gov Rec FY 23 vs Gov Rec FY 22 (6)
Treasurer of State, Office of						
Treasurer of State						
I/3 Expenses - RUTF	$ 93,148	$ 93,148	$ 93,148	$ 0	$ 93,148	$ 0
Total Treasurer of State, Office of	$ 93,148	$ 93,148	$ 93,148	$ 0	$ 93,148	$ 0
Iowa Public Employees' Retirement System						
IPERS Administration						
Administration - IPERS	$ 17,988,567	$ 18,113,022	$ 18,113,022	$ 0	$ 18,113,022	$ 0
Total Iowa Public Employees' Retirement System	$ 17,988,567	$ 18,113,022	$ 18,113,022	$ 0	$ 18,113,022	$ 0
Total Administration and Regulation	$ 57,271,788	$ 58,290,339	$ 58,360,338	$ 69,999	$ 58,360,338	$ 0

Explanation of FTE Position Data

Analysis of the Governor's Budget Recommendations

The following is an explanation of the full-time equivalent (FTE) position information provided on the following tables. The columns of FTE position data represent different points in time that the numbers were compiled. For additional information on the State's FTE positions, see the ***Issue Review*** entitled *FY 2017 FTE Positions and Personnel Costs*.

Actual FY 2020: This data represents the actual FTE position utilization calculated at the close of the fiscal year. The FTE position usage is calculated by taking the actual hours worked during the fiscal year and dividing the number by 2,080 hours. For example, if a department has budgeted a full-time position (equating to 1.00 FTE) and this position is vacant for six months of the fiscal year, at the close of the fiscal year the calculation of the actual FTE position would be 0.50 (1,040 ÷ 2,080 = 0.50). The calculation of the actual FTE position factors out the portion of the position that was vacant during the fiscal year.

Estimated FY 2021: This data represents the estimated FTE positions that were budgeted by the departments at the beginning of FY 2021 and incorporates any revisions made to the budget by the departments through (approximately) December 2020. Changes to the estimates can occur for a variety of reasons. For example, if departments are not provided funding for salary adjustments to cover the costs of funding collective bargaining contracts, the departments will often reduce the number of FTE positions in order to cover costs.

Gov Rec FY 2022: This is the Governor's recommendation for FY 2022.

Gov Rec FY 2022 vs Est FY 2021: This represents the difference between the Governor's recommended FTE positions for FY 2022 and the most recent estimates for FY 2021.

Gov Rec FY 2023: This is the Governor's recommendation for FY 2023.

Gov Rec FY 2023 vs Gov Rec FY 2022: This represents the difference between the Governor's recommended FTE positions for FY 2023 and FY 2022.

Administration and Regulation

FTE Positions

	Actual FY 2020 (1)	Estimated FY 2021 (2)	Gov Rec FY 2022 (3)	Gov Rec FY 22 vs Est FY 21 (4)	Gov Rec Yr2 FY 2023 (5)	Gov Rec FY 23 vs Gov Rec FY 22 (6)
Commerce, Department of						
Alcoholic Beverages Division						
Alcoholic Beverages Operations	16.04	18.10	18.10	0.00	18.10	0.00
Banking Division						
Banking Division - CMRF	77.99	79.00	80.00	1.00	80.00	0.00
Credit Union Division						
Credit Union Division - CMRF	14.44	15.00	15.00	0.00	15.00	0.00
Insurance Division						
Insurance Division - CMRF	98.59	120.10	120.10	0.00	120.10	0.00
Utilities Division						
Utilities Division - CMRF	62.99	70.00	70.00	0.00	70.00	0.00
Professional Licensing and Reg.						
Professional Licensing Bureau	9.71	10.00	11.00	1.00	11.00	0.00
Total Commerce, Department of	279.75	312.20	314.20	2.00	314.20	0.00
Administrative Services, Department of						
Administrative Services						
Operations	47.38	66.77	48.57	-18.20	48.57	0.00
Utilities	1.01	1.00	1.00	0.00	1.00	0.00
Terrace Hill Operations	4.30	4.37	4.37	0.00	4.37	0.00
Total Administrative Services, Department of	52.68	72.14	53.94	-18.20	53.94	0.00
Auditor of State						
Auditor of State						
Auditor of State - General Office	107.04	98.00	98.00	0.00	98.00	0.00
Total Auditor of State	107.04	98.00	98.00	0.00	98.00	0.00
Ethics and Campaign Disclosure Board, Iowa						
Campaign Finance Disclosure						
Ethics & Campaign Disclosure Board	6.12	7.00	7.00	0.00	7.00	0.00
Total Ethics and Campaign Disclosure Board, Iowa	6.12	7.00	7.00	0.00	7.00	0.00

Administration and Regulation

FTE Positions

	Actual FY 2020 (1)	Estimated FY 2021 (2)	Gov Rec FY 2022 (3)	Gov Rec FY 22 vs Est FY 21 (4)	Gov Rec Yr2 FY 2023 (5)	Gov Rec FY 23 vs Gov Rec FY 22 (6)
Governor/Lt. Governor's Office						
Governor's Office						
Governor's/Lt. Governor's Office	23.82	25.00	25.00	0.00	25.00	0.00
Terrace Hill Quarters	1.83	1.93	1.93	0.00	1.93	0.00
Total Governor/Lt. Governor's Office	25.65	26.93	26.93	0.00	26.93	0.00
Drug Control Policy, Governor's Office of						
Office of Drug Control Policy						
Operations	3.36	4.00	4.00	0.00	4.00	0.00
Total Drug Control Policy, Governor's Office of	3.36	4.00	4.00	0.00	4.00	0.00
Human Rights, Department of						
Human Rights, Dept. of						
Central Administration	4.97	5.64	5.64	0.00	5.64	0.00
Community Advocacy and Services	7.38	7.40	7.40	0.00	7.40	0.00
Total Human Rights, Department of	12.35	13.04	13.04	0.00	13.04	0.00
Inspections and Appeals, Department of						
Inspections and Appeals, Dept. of						
Administration Division	10.43	10.65	10.65	0.00	10.65	0.00
Administrative Hearings Division	20.03	23.00	23.00	0.00	23.00	0.00
Investigations Division	44.10	53.00	50.00	-3.00	50.00	0.00
Health Facilities Division	102.05	112.10	115.00	2.90	115.00	0.00
Employment Appeal Board	10.26	11.00	11.00	0.00	11.00	0.00
Child Advocacy Board	30.65	29.86	29.86	0.00	29.86	0.00
Food and Consumer Safety	31.04	33.75	33.75	0.00	33.75	0.00
Inspections and Appeals, Dept. of	248.56	273.36	273.26	-0.10	273.26	0.00
Racing Commission						
Gaming Regulation - GRF	50.21	53.70	53.70	0.00	53.70	0.00
Total Inspections and Appeals, Department of	298.77	327.06	326.96	-0.10	326.96	0.00

Administration and Regulation

FTE Positions

	Actual FY 2020 (1)	Estimated FY 2021 (2)	Gov Rec FY 2022 (3)	Gov Rec FY 22 vs Est FY 21 (4)	Gov Rec Yr2 FY 2023 (5)	Gov Rec FY 23 vs Gov Rec FY 22 (6)
Management, Department of						
Management, Dept. of						
Department Operations	19.97	20.00	20.00	0.00	20.00	0.00
Total Management, Department of	19.97	20.00	20.00	0.00	20.00	0.00
Iowa Public Employees' Retirement System						
IPERS Administration						
Administration - IPERS	80.10	88.13	88.13	0.00	88.13	0.00
Total Iowa Public Employees' Retirement System	80.10	88.13	88.13	0.00	88.13	0.00
Public Information Board						
Public Information Board						
Iowa Public Information Board	2.59	3.00	3.00	0.00	3.00	0.00
Total Public Information Board	2.59	3.00	3.00	0.00	3.00	0.00
Revenue, Department of						
Revenue, Dept. of						
Operations	147.76	142.99	142.99	0.00	142.99	0.00
Total Revenue, Department of	147.76	142.99	142.99	0.00	142.99	0.00
Secretary of State, Office of the						
Secretary of State						
Administration and Elections	13.53	16.50	16.50	0.00	16.50	0.00
Business Services	13.20	16.00	16.00	0.00	16.00	0.00
Total Secretary of State, Office of the	26.74	32.50	32.50	0.00	32.50	0.00
Treasurer of State, Office of						
Treasurer of State						
Treasurer - General Office	25.27	26.00	26.00	0.00	26.00	0.00
Total Treasurer of State, Office of	25.27	26.00	26.00	0.00	26.00	0.00
Total Administration and Regulation	1,088.16	1,172.99	1,156.69	-16.30	1,156.69	0.00

Appendix B – Appropriations Activity

Analysis of the Governor's Recommendations

The following information provides a summary of the FY 2020 General Fund and non-General Fund appropriations for the departments under the purview of the Appropriations Subcommittee. Appropriations are adjusted for several factors throughout the fiscal year, including supplemental appropriations, deappropriations, and adjustments to standing appropriations to account for actual expenditures. Other activity associated with appropriated funds includes: balances brought forward, transfers, and reversions. The tables show each of the departments' appropriations and the changes that occurred throughout the fiscal year.

- Original Appropriation: This is the amount appropriated from the General Fund in individual appropriations bills during the 2019 Legislative Session.
- Adjustment to Standings: These adjustments represent changes that are made to budgeted standing unlimited appropriations for the purpose of balancing the year-end amount. There are numerous standing unlimited appropriations established in the Iowa Code. The exact amount for each of these appropriations is not known until the close of the fiscal year. As the General Assembly develops the annual budget, an estimated amount is included for budgeting purposes. This estimated appropriation is then adjusted to reflect actual expenditures.
- Session Law Adjustments (General Fund Only): During the 2019 Legislative Session there were two appropriation bills enacted that provided increases to certain State agencies and allowed the agencies to allocate the increase across their line-item appropriations: HF 758 (Education Appropriations Act) appropriated $12.0 million to the Board of Regents for allocation to the three State universities, and SF 615 (Justice System Appropriations Act) appropriated $3.3 million to the Department of Corrections (DOC) for allocation across the DOC institutions and Community-Based Corrections (CBC) and $1.6 million to the Department of Public Safety for allocation to its divisions.
- Supplemental Appropriations/Deappropriations: These changes represent the supplemental appropriations and deappropriations enacted during the 2019 Legislative Session.
- OCIO Adjustment: During the 2019 Legislative Session, HF 759 (FY 2020 Administration and Regulation Appropriations Act) appropriated $1.3 million to the Department of Management (DOM) for an adjustment to department appropriations in FY 2020 for technology-related expenditures charged by the Office of the Chief Information Officer (OCIO) to State agencies. The adjustment was based upon a rolling 12-month average of the OCIO charges for departments.
- Salary Adjustment (Other Funds Only): Several non-General Fund appropriations were authorized to receive appropriation adjustments to fund salary increases for FY 2020.
- Total Appropriation: This is the sum of all of the above numbers and represents the final appropriation amount after the above changes were applied.

- Balance Brought Forward: These are the appropriated funds allowed to carry forward from FY 2019 to FY 2020. These funds provided additional spendable dollars for FY 2020.
- Transfers In and Out (General Fund Only): These adjustments represent transferred appropriation spending authority between enacted appropriations. These transfers are usually implemented by the Governor through the authority provided in Iowa Code section 8.39.
- Balance Carryforward: These are appropriated funds that are allowed to carry forward from FY 2020 to FY 2021. These funds provide additional spendable dollars for FY 2021.
- Reversions: These are the unspent appropriated funds that revert back to the fund from which they were appropriated.
- Total Adjustments: This is the sum of the Balance Brought Forward, Transfers, Balance Carryforward, and Reversions.
- Total Expended: This number represents the appropriation after all of the above adjustments have been made. The result is the total appropriated funds that were expended in FY 2020.

FY 2020 GENERAL FUND APPROPRIATIONS

Administration and Regulation Appropriations Subcommittee

	Original Approp	Adj to Standings	Session Law Adj	Supp & Deapprop	OCIO Adjustment	Total Approp	Balance Brought Forward	Transfer In	Transfer Out	Balance Carry Forward	Reversion	Total Adjustments	Grand Total
Administration and Regulation													
Administrative Services, Department of													
Administrative Services, Dept.	$ 3,616,936	$ 0	$ 0	$ 0	$ -13,532	$ 3,603,404	$ 2,937	$ 0	$ -18,793	$ -10,054	$ -10,054	$ -35,963	$ 3,567,441
Real Property Listing	50,000	0	0	0	0	50,000	0	0	0	0	-45,883	-45,883	4,118
Terrace Hill Operations	418,200	0	0	0	0	418,200	0	805	0	0	0	805	419,005
Utilities	3,524,611	0	0	0	0	3,524,611	21,352	17,988	0	-2,518	0	36,821	3,561,432
Administrative Services, Department of Total	**7,609,747**	**0**	**0**	**0**	**-13,532**	**7,596,215**	**24,289**	**18,793**	**-18,793**	**-12,572**	**-55,937**	**-44,220**	**7,551,995**
Auditor of State													
Auditor of State - General Office	986,193	0	0	0	0	986,193	0	0	0	0	-1,733	-1,733	984,460
Auditor of State Total	**986,193**	**0**	**0**	**0**	**0**	**986,193**	**0**	**0**	**0**	**0**	**-1,733**	**-1,733**	**984,460**
Chief Information Officer, Office of the													
Broadband Grants	5,000,000	0	0	0	0	5,000,000	0	0	0	-5,000,000	0	-5,000,000	0
Chief Information Officer, Office of the Total	**5,000,000**	**0**	**0**	**0**	**0**	**5,000,000**	**0**	**0**	**0**	**-5,000,000**	**0**	**-5,000,000**	**0**
Commerce, Department of													
Alcoholic Beverages Operations	1,019,556	0	0	0	55,898	1,075,454	0	0	0	0	0	0	1,075,454
Professional Licensing Bureau	370,263	0	0	0	-9,407	360,856	0	0	0	0	0	0	360,856
Commerce, Department of Total	**1,389,819**	**0**	**0**	**0**	**46,491**	**1,436,310**	**0**	**0**	**0**	**0**	**0**	**0**	**1,436,310**
Governor/Lt. Governor's Office													
Governor/Lt. Governor's Office	2,303,954	0	0	0	11,390	2,315,344	17,797	0	0	0	0	17,797	2,333,141
Terrace Hill Quarters	140,070	0	0	0	2,632	142,702	0	0	0	-1,576	-1,576	-3,152	139,550
Governor/Lt. Governor's Office Total	**2,444,024**	**0**	**0**	**0**	**14,022**	**2,458,046**	**17,797**	**0**	**0**	**-1,576**	**-1,576**	**14,646**	**2,472,692**
Governor's Office of Drug Control Policy													
Drug Policy Coordinator	238,147	0	0	0	1,124	239,271	0	0	0	0	0	0	239,271
Governor's Office of Drug Control Policy Total	**238,147**	**0**	**0**	**0**	**1,124**	**239,271**	**0**	**0**	**0**	**0**	**0**	**0**	**239,271**
Human Rights, Department of													
Community Advocacy and Services	956,894	0	0	0	0	956,894	23,761	0	0	-22,182	-22,182	-20,602	936,292
Human Rights Administration	210,075	0	0	0	-21,004	189,071	11,835	0	0	-3,201	-3,201	5,434	194,505
Human Rights, Department of Total	**1,166,969**	**0**	**0**	**0**	**-21,004**	**1,145,965**	**35,596**	**0**	**0**	**-25,382**	**-25,382**	**-15,169**	**1,130,796**
Inspections & Appeals, Department of													
Administration Division	511,580	0	0	0	34,732	546,312	119,764	0	0	-86,027	-86,027	-52,290	494,022
Administrative Hearings Div.	625,827	0	0	0	0	625,827	172,956	0	0	-98,598	-98,598	-24,241	601,586
Child Advocacy Board	2,570,605	0	0	0	11,849	2,582,454	35,428	0	0	-7,597	-7,597	20,233	2,602,687
Employment Appeal Board	38,912	0	0	0	0	38,912	500	0	0	-500	-500	-500	38,412
Food and Consumer Safety	574,819	0	0	0	0	574,819	0	0	0	0	0	0	574,819
Health Facilities Division	4,734,682	0	0	0	0	4,734,682	94,984	0	0	-170,953	-170,953	-246,923	4,487,759
Investigations Division	2,471,791	0	0	0	0	2,471,791	318,592	0	0	-266,714	-266,714	-214,835	2,256,956
Inspections & Appeals, Department of Total	**11,528,216**	**0**	**0**	**0**	**46,581**	**11,574,797**	**742,224**	**0**	**0**	**-630,390**	**-630,390**	**-518,556**	**11,056,241**
Iowa Ethics & Campaign Disclosure Board													
Iowa Ethics & Campaign Disclosure Board	666,001	0	0	0	2,862	668,863	22,795	0	0	-10,090	-10,090	2,614	671,477
Iowa Ethics & Campaign Disclosure Board Total	**666,001**	**0**	**0**	**0**	**2,862**	**668,863**	**22,795**	**0**	**0**	**-10,090**	**-10,090**	**2,614**	**671,477**
Management, Department of													
Department of Management Operations	2,652,389	0	0	0	43,304	2,695,693	0	0	0	0	0	0	2,695,693
OCIO Rate Adjustment	1,300,751	0	0	0	-1,201,370	99,381	0	0	0	0	-99,381	-99,381	0
Management, Department of Total	**3,953,140**	**0**	**0**	**0**	**-1,158,066**	**2,795,074**	**0**	**0**	**0**	**0**	**-99,381**	**-99,381**	**2,695,693**
Public Information Board													
Iowa Public Information Board	339,343	0	0	0	3,676	343,019	8,204	0	0	-8,393	-10,985	-11,174	331,845
Public Information Board Total	**339,343**	**0**	**0**	**0**	**3,676**	**343,019**	**8,204**	**0**	**0**	**-8,393**	**-10,985**	**-11,174**	**331,845**

FY 2020 GENERAL FUND APPROPRIATIONS

Administration and Regulation Appropriations Subcommittee

	Original Approp	Adj to Standings	Session Law Adj	Supp & Deapprop	OCIO Adjustment	Total Approp	Balance Brought Forward	Transfer In	Transfer Out	Balance Carry Forward	Reversion	Total Adjustments	Grand Total
Revenue, Department of													
Printing Cigarette Stamps	124,652	-44,546	0	0	0	80,106	0	0	0	0	0	0	80,106
Revenue, Department of	15,474,482	0	0	0	-324,790	15,149,692	982,430	0	0	-23,638	-23,638	935,154	16,084,846
Technology Upgrades	1,070,460	0	0	0	0	1,070,460	0	0	0	0	0	0	1,070,460
Tobacco Reporting Requirements	17,525	0	0	0	0	17,525	0	0	0	0	0	0	17,525
Revenue, Department of Total	**16,687,119**	**-44,546**	**0**	**0**	**-324,790**	**16,317,783**	**982,430**	**0**	**0**	**-23,638**	**-23,638**	**935,154**	**17,252,937**
Secretary of State													
Elections/Voter Reg	2,109,755	0	0	0	15,115	2,124,870	0	0	0	0	-101	-101	2,124,769
Secretary of State-Business Services	1,405,530	0	0	0	15,116	1,420,646	707	0	0	-423	-423	-138	1,420,508
Secretary of State Total	**3,515,285**	**0**	**0**	**0**	**30,231**	**3,545,516**	**707**	**0**	**0**	**-423**	**-523**	**-239**	**3,545,277**
Treasurer of State													
Treasurer - General Office	1,017,442	0	0	0	0	1,017,442	10,528	0	0	-12,520	-23,049	-25,041	992,401
Treasurer of State Total	**1,017,442**	**0**	**0**	**0**	**0**	**1,017,442**	**10,528**	**0**	**0**	**-12,520**	**-23,049**	**-25,041**	**992,401**
Administration and Regulation Total	**$ 56,541,445**	**$ -44,546**	**$ 0**	**$ 0**	**$ -1,372,405**	**$ 55,124,494**	**$ 1,844,570**	**$ 18,793**	**$ -18,793**	**$ -5,724,984**	**$ -882,684**	**$ -4,763,099**	**$ 50,361,395**

FY 2020 OTHER FUNDS APPROPRIATIONS

Administration and Regulation Appropriations Subcommittee

	Original Approp	Adj to Standings	Supp & Deapprop	Salary Adjustment	OCIO Adjustment	Total Approp	Balance Brought Forward	Balance Carry Forward	Reversion	Total Adjustments	Total Expended
Administration and Regulation											
Commerce, Department of											
Commerce Revolving Fund											
Banking Division	$ 11,145,778	$ 0	$ 0	$ 808,197	$ 24,720	$ 11,978,695	$ 0	$ 0	$ -662,861	$ -662,861	$ 11,315,834
Credit Union Division	2,204,256	0	0	142,488	61,185	2,407,929	0	0	-86,754	-86,754	2,321,175
Insurance Division	5,705,889	0	0	68,699	43,263	5,817,851	0	0	-6,601,262	-6,601,262	-783,411
Utilities Division	8,732,098	0	0	213,630	0	8,945,728	39,493	0	-125,911	-86,418	8,859,310
State Housing Trust Fund											
Housing Improvement Fund Field Auditor	62,317	0	0	0	0	62,317	0	0	0	0	62,317
Commerce, Department of Total	**27,850,338**	**0**	**0**	**1,233,014**	**129,168**	**29,212,520**	**39,493**	**0**	**-7,476,787**	**-7,437,295**	**21,775,225**
Inspections & Appeals, Department of											
Racing and Gaming Revolving Fund											
Racing and Gaming Regulation	6,767,010	0	0	8,830	20,641	6,796,481	0	0	-648,835	-648,835	6,147,646
Road Use Tax Fund											
DIA - Use Tax	1,623,897	0	0	0	0	1,623,897	0	0	0	0	1,623,897
Inspections & Appeals, Department of Total	**8,390,907**	**0**	**0**	**8,830**	**20,641**	**8,420,378**	**0**	**0**	**-648,835**	**-648,835**	**7,771,543**
IPERS Administration											
IPERS Fund											
IPERS Administration	17,988,567	0	0	0	0	17,988,567	0	0	-2,977,636	-2,977,636	15,010,931
IPERS Administration Total	**17,988,567**	**0**	**0**	**0**	**0**	**17,988,567**	**0**	**0**	**-2,977,636**	**-2,977,636**	**15,010,931**
Management, Department of											
Road Use Tax Fund											
DOM Road Use Tax Fund Appropriation	56,000	0	0	0	0	56,000	0	0	0	0	56,000
Management, Department of Total	**56,000**	**0**	**0**	**0**	**0**	**56,000**	**0**	**0**	**0**	**0**	**56,000**
Revenue, Department of											
MVFT-Unapportioned											
Motor Veh Fuel Tx-Admin Approp	1,305,775	0	0	0	0	1,305,775	0	0	-763,553	-763,553	542,222
Revenue, Department of Total	**1,305,775**	**0**	**0**	**0**	**0**	**1,305,775**	**0**	**0**	**-763,553**	**-763,553**	**542,222**
Secretary of State											
Address Confidentiality Revolving Fund											
Address Confidentiality Program	195,400	0	0	0	0	195,400	0	0	-87,107	-87,107	108,293
Secretary of State Total	**195,400**	**0**	**0**	**0**	**0**	**195,400**	**0**	**0**	**-87,107**	**-87,107**	**108,293**
Treasurer of State											
Road Use Tax Fund											
Funds for I3 Expenses - Road Use Tax	93,148	0	0	0	0	93,148	0	0	0	0	93,148
Treasurer of State Total	**93,148**	**0**	**0**	**0**	**0**	**93,148**	**0**	**0**	**0**	**0**	**93,148**
Administration and Regulation Total	**$ 55,880,135**	**$ 0**	**$ 0**	**$ 1,241,844**	**$ 149,809**	**$ 57,271,788**	**$ 39,493**	**$ 0**	**$ -11,953,919**	**$ -11,914,427**	**$ 45,357,361**

Schedule 1 Example

Schedule 1 shows the "decision packages" used by the Executive Branch to arrive at a department's annual budget request (dollars and FTE positions) and the Governor's recommendations for a budget unit.

STATE OF IOWA
Fiscal Year 2021 Annual Budget
SPECIAL DEPARTMENT: (420) Public Health, Department of
Budget Unit: (588K010001) Addictive Disorders
Schedule 1

Rank	Description	Funding Source	Fiscal Year 2022 Department Request	Fiscal Year 2022 Governor's Recommendations
Base	Reducing the use of tobacco, alcohol, and other drugs and treating individuals affected by addictive behaviors, including gambling.	Appropriation	25,109,380	25,109,380
		FTE	12.00	12.00
0001	Governor's recommended salary adjustment for FY2022	Salary Adju	0	19,633

Total Budget Unit Funding	Fiscal Year 2021 Estimated	Fiscal Year 2022 Department Request	Fiscal Year 2022 Governor's Recommendations
Appropriation	$ 25,110,000	$ 25,109,379	$ 25,109,379
Salary Adjustment	0	0	19,633
Total Appropriations	$ 25,110,000	$ 25,109,379	$ 25,129,012
Total FTE	12.00	12.00	12.00

Department name & budget unit number

Fiscal year

Base appropriation and FTE positions plus "decision packages"

Total appropriation and FTE positions

Budget schedules are available at: www.legis.iowa.gov/publications/fiscal/budgetSchedules.

Schedule 6 Example

Schedule 6 provides a detailed budget for all appropriated accounts or funds under the control of a department. Resources include the appropriation, the salary adjustment (if applicable), across-the-board reductions, supplemental appropriations, intrastate receipts from other agencies, receipts from local governments, and other receipts such as fees. Expenditures include all expenses related to the operating budget, such as salary, travel, contracts, etc. Expenditures also include reversions or balance brought forward.

STATE OF IOWA
Fiscal Year 2021 Annual Budget
SPECIAL DEPARTMENT: (420) Public Health, Department of
Budget Unit: (588K010001) Addictive Disorders
Schedule 6

	Fiscal Year 2020 Actual	Fiscal Year 2021 Estimated	Fiscal Year 2022 Department Request	Fiscal Year 2022 Governor's Recomm
Resources				
Appropriations				
Appropriation	$ 24,804,344	$ 25,110,000	$ 25,109,379	$ 25,109,379
Salary Adjustment	0	0	0	19,633
OCIO Rate Adjustment	0	-621	0	0
	24,804,344	25,109,379	25,109,379	25,129,012
Receipts				
Intra State Receipts	920,979	931,000	931,000	931,000
Gov Fund Type Transfers - Other A	44,542	2,000	2,000	2,000
	965,521	933,000	933,000	933,000
Total Resources	$ 25,769,865	$ 26,042,379	$ 26,042,379	$ 26,062,012
FTE	7.97	12.00	12.00	12.00
Disposition of Resources				
Personal Services-Salaries	$ 931,041	$ 1,290,111	$ 1,295,118	$ 1,314,751
Personal Travel In State	33,501	19,100	19,100	19,100
State Vehicle Operation	854	880	880	880
Depreciation	613	524	524	524
Personal Travel Out of State	12,400	13,000	13,000	13,000
Office Supplies	12,408	36,500	36,500	36,500
Professional & Scientific Supplies	0	200	200	200
Other Supplies	348	200	200	200
Printing & Binding	27,661	19,000	19,000	19,000
Drugs & Biologicals	4	1,000	1,000	1,000
Postage	5,244	4,500	4,500	4,500
Communications	18,904	17,300	17,300	17,300

Department name & budget unit number

Fiscal year

Appropriations

Budget unit receipts

FTE positions

Budget unit expenditures

Budget schedules are available at: www.legis.iowa.gov/publications/fiscal/budgetSchedules.

Grants Enterprise Management Report

The Grants Enterprise Management System (GEM$) was established by the Iowa Legislature in 2003 to simplify the grant identification and application process for State customers and to provide a unified grants management approach within State government.

The Office of Grants Enterprise Management under the DOM is required to submit a report to the Fiscal Services Division by January 31 of each year, with a listing of all grants received during the previous calendar year with a value over $1,000 and the funding entity and purpose for each grant.

The tables below are the report received by the DOM.

2021 Noncompetitive Grant Report
Report Required by Iowa Code Section 8.9
Iowa Department of Management
Source: GEM$ Database as Entered by Agencies

State Agency	Title of Grant	Amount Awarded	Match Amount	MOE Required?	Funding Start Date	Funding End Date	FTE Positions Associated with Grant
ODCP	2018 Residential Substance Abuse Treatment Formula Grant (RSAT)	$ 247,561	$ 82,520	No	10/1/2017	9/30/2021	0
DHR	Community Services Block Grant Disaster Relief (FFY20)	159,796	0	No	10/1/2019	9/30/2021	0
DHR	Low-Income Home Energy Assistance CARES Act (FFY20)	4,221,430	0	No	3/27/2020	9/30/2021	0
DHR	Community Services Block Grant CARES Act (FFY20)	10,821,398	0	No	10/1/2019	9/30/2021	0
DHR	Weatherization Assistance Program - Iowa (FFY20)	6,147,974	0	No	4/1/2020	3/31/2021	5.56
ODCP	2018 SORNA Penalty	104,236	0	No	8/1/2018	9/30/2020	0
ODCP	2020 Coronavirus Emergency Supplemental Funding	5,754,321	0	No	1/20/2020	1/31/2020	0
ODCP	2018 John R Justice Formula Grant	36,738	0	No	10/1/2018	9/30/2020	0.00
ODCP	2018 Project Safe Neighborhoods - Northern District	141,365	0	No	10/1/2018	9/30/2021	0.00
ODCP	2018 Project Safe Neighborhoods - Southern District	194,641	0	No	10/1/2018	9/30/2021	0.00
ODCP	2020 John R Justice	36,447	0	No	10/1/2020	9/30/2022	0
ODCP	2019 PSN Southern Dist	116,986	0	No	10/1/2020	9/30/2023	0
ODCP	2020 PSN Northern Dist	92,171	0	No	10/1/2020	9/30/2023	0
ODCP	2019 SORNA	104,784	0	No	10/1/2019	9/30/2022	0.00
ODCP	2020 Coverdell	264,698	0	No	10/1/2020	12/31/2021	0
ODCP	2020 Byrne JAG	1,687,735	0	No	10/1/2020	9/30/2023	3

2021 Competitive Grant Report
Report Required by Iowa Code Section 8.9
Iowa Department of Management
Source: GEM$ Database as Entered by Agencies

State Agency	Title of Application	Amount Awarded	Actual Match	MOE Required?	Funding Start Date	Funding End Date	FTE Positions Associated with Grant
ODCP	2020 Wrongful Conviction	$ 299,234	No	No	10/1/2020	9/30/2022	1
ODCP	2020 Drug-Free Communities - Year	125,000	Yes	No	11/1/2020	10/31/2021	0
ODCP	7 Competitive	150,000	Yes	No	11/1/2019	10/30/2020	0
ODCP	Iowa Pathways to Addiction Recovery	1,737,192	No	No	10/1/2019	9/30/2022	0
ODCP	2019 COAP	1,737,192	No	No	10/1/2019	9/30/2022	0

Appendix E – Fee Project

Analysis of the Governor's Budget Recommendations

The Fee Project acts as reference guide to fees charged by departments and agencies. The information reflects FY 2019 and FY 2020 and, when possible, includes the number of persons who paid each fee and the amount of revenue generated by each fee. The information is reported by State agencies as of December 2020. The Fee Project workbooks for each subcommittee are available on each respective subcommittee webpage at the links listed below. Hard copies of these reports are available from the Fiscal Services Division upon request.

Administration and Regulation — www.legis.iowa.gov/docs/publications/FEES/1208653.xlsx

Agriculture and Natural Resources — www.legis.iowa.gov/docs/publications/FEES/1208654.xlsx

Economic Development — www.legis.iowa.gov/docs/publications/FEES/1208517.xlsx

Education — www.legis.iowa.gov/docs/publications/FEES/1208655.xlsx

Human Services — www.legis.iowa.gov/docs/publications/FEES/1208652.xlsx

Justice System — www.legis.iowa.gov/docs/publications/FEES/1208656.xlsx

Transportation — www.legis.iowa.gov/docs/publications/FEES/1208518.xlsx

Appendix F – Issue Review

Analysis of the Governor's Budget Recommendations

The Legislative Services Agency (LSA), Fiscal Services Division (FSD), publishes detailed reviews of selected issues concerning State government every year. The following ***Issue Review*** is on a topic under the purview of the Administration and Regulation Appropriations Subcommittee.

ISSUE REVIEW

Fiscal Services Division

January 8, 2021

Ground Floor, State Capitol Building Des Moines, Iowa 50319 515.281.3566

Costs of Voting by Mail

ISSUE

In the spring of 2020, to minimize the spread of COVID-19, the Iowa Secretary of State sent absentee ballot request applications to all registered voters to facilitate voting by mail (also known as vote-at-home). This ***Issue Review*** investigates the costs of voting by mail by examining the fiscal impacts of voting by mail in Colorado and Hawaii. Both states have transitioned to voting by mail relatively recently and, as a whole, give the most accurate and complete comparisons with previous costs. This document will focus on costs per voter in states with various methods and requirements for voting by mail, as well as costs involved with transitioning to a complete voting by mail model.

This ***Issue Review*** does not evaluate the prevalence of voter fraud in or reliability of voting by mail models except to the extent that election security costs will be included in the cost calculations where available. (See the ***LSA Legal Guide*** Elections: Vote By Mail for a historical background on absentee vote by mail in Iowa.)

AFFECTED AGENCIES

Secretary of State

County Auditors

CODE AUTHORITY

Iowa Code chapter 47

BACKGROUND

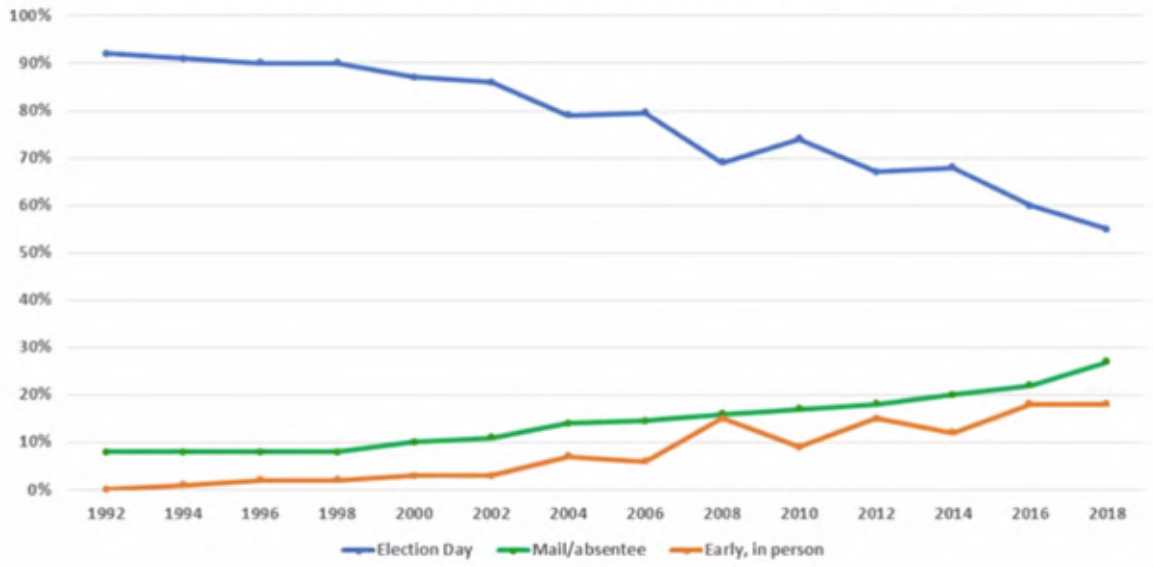
While the Iowa Secretary of State's sending absentee ballot request applications to all registered voters was attributed to the COVID-19 pandemic and was specifically intended to reduce the spread of the virus, the concept of voting by mail is not a new one.[1] In 1998, the people of Oregon voted in favor of Ballot Measure No. 60, which implemented full voting by mail in 2000, making Oregon the first state to mail ballots to all registered voters for all elections. The state of Washington enacted a similar system in 2012 through HB 5124 (An Act Modifying Elections by Mail Provisions). There are currently five states, Colorado, Hawaii, Oregon, Utah, and Washington, that have held elections using their existing permanent automatic voting by mail systems, by which all registered voters in the state receive a mail-in ballot without being required to apply to their respective election offices. California approved Assembly Bill No. 680 (An Act to Amend Sections 3019.7, 3020, and 15101 of, and to Add Sections 3000.5 and 3016.7 to, the Elections Code, Relating to Elections, and Declaring the Urgency Thereof, to

[1] sos.iowa.gov/news/2020_03_31.html (Iowa Secretary of State Media Release March 31, 2020)

Take Effect Immediately), making the 2020 general election the first election in the state to use voting by mail. Two additional states, Nebraska and North Dakota, allow individual counties to supply mail-in ballots to voters within the county. All states and counties with automatic ballot mailing also provide voters the option to vote in person. In addition to the five states that mail ballots to all registered voters, three states, Arizona, Montana, and New Jersey, maintain a list of voters who have requested to vote by mail on a permanent basis and do not require voters to submit ballot request applications for each election.

As displayed in the **Figure 1** below, in 1992, over 90.0% of votes were cast in person on Election Day, and early voting was nearly nonexistent. By 2018, the percentage of voters casting their vote in person on Election Day had decreased to approximately 55.0%, the percentage of voters voting by mail had increased to approximately 25.0%, and early in-person voting accounted for nearly 20.0% of the total vote.[2]

Figure 1: Percentage of U.S. Electorate Versus Choice of Voting Method



Sources: 1992-2016: MIT Election Lab. 2018: Pew Research Center

In Iowa in 1996, approximately 16.0% of general election votes were cast by absentee methods, and this number increased to 41.0% in 2018 after peaking at a high of approximately 43.0% in 2012. These numbers include ballots cast by mail as well as early votes cast in person.

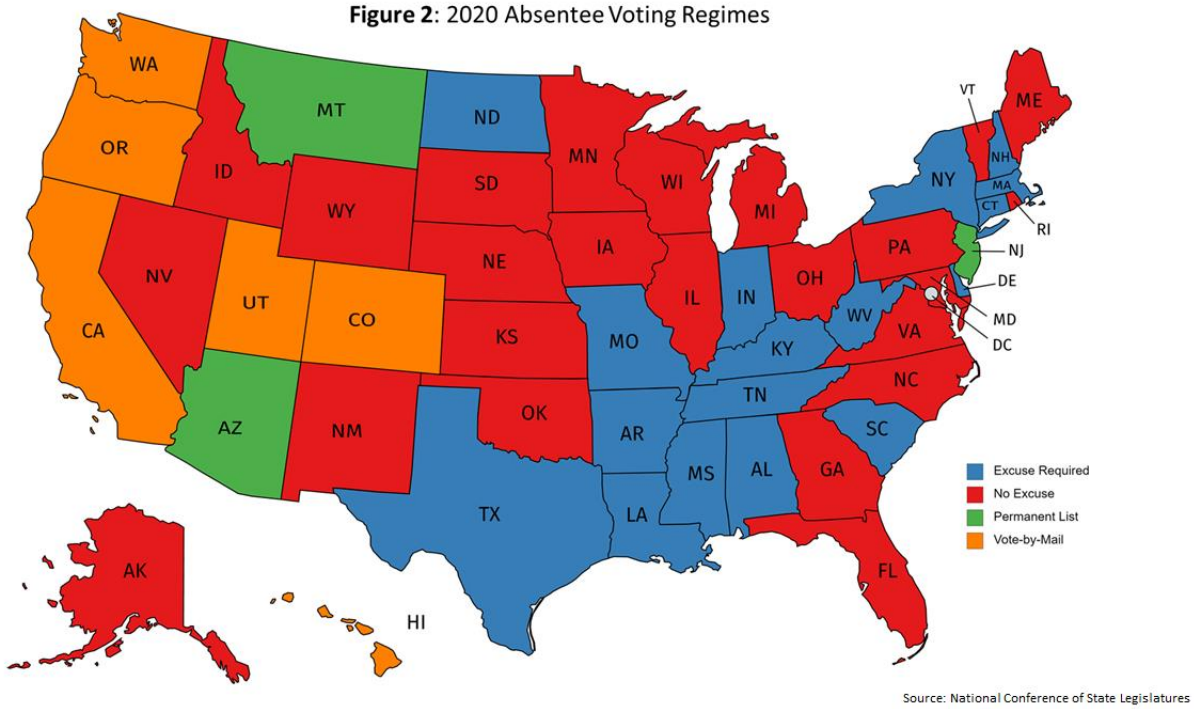
CURRENT SITUATION

Twenty-six states, including Iowa, allow any qualified voter to request and receive an absentee ballot without requiring an excuse as to why the voter cannot vote in person on Election Day. The remaining 16 states allow voters to request and receive an absentee ballot but require an excuse, and some states have restrictions on what the offered excuse may be.

[2] National Conference of State Legislatures (NCSL) Webinar. "What to Consider When You're Expecting More Absentee Voting."

The map below shows the current status of absentee voting throughout the United States.[3] This map does not account for temporary provisions put in place by emergency response declarations due to the COVID-19 pandemic.

Figure 2: 2020 Absentee Voting Regimes



Source: National Conference of State Legislatures

Following the Iowa Secretary of State's sending absentee request applications to all registered voters, the General Assembly passed and the Governor signed 2020 Iowa Acts, chapter 1098 (Conduct of Elections, Including Emergency Powers, Procedures Relating to Electors, and the Use of a County Seal on Materials Related to Elections), which eliminated the ability of the Secretary of State to unilaterally send absentee request applications to voters without the advance approval of the Legislative Council. At the July 2020 meeting, the Iowa Legislative Council approved the Secretary of State's request to mail absentee ballot applications to all registered voters for the 2020 general election.[4]

In the 2020 primary election, in which all registered voters received ballot request applications, 522,207 votes were cast and counted, resulting in a turnout of approximately 37.0%. This is an increase from the previous presidential primary election in 2016, when 288,749 votes were cast and counted, resulting in a voter turnout of 15.4%. The previous record for raw turnout was in 1994, when 449,490 votes were cast and counted.

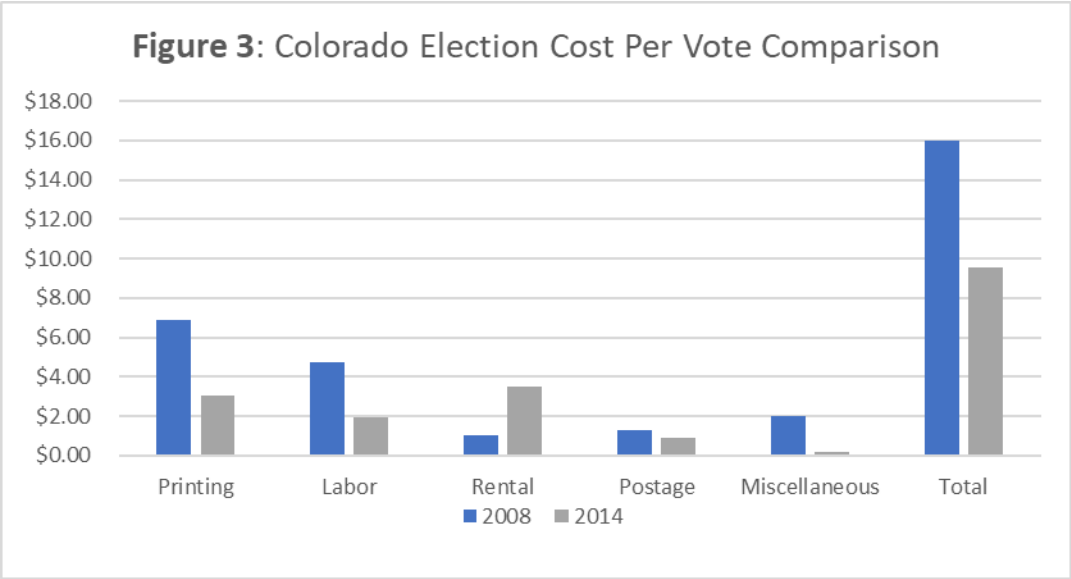
BUDGET IMPACTS

All states and counties that have implemented full voting by mail models have reported appreciable cost savings. As previously stated, this ***Issue Review*** focuses on Colorado and Hawaii for accurate and recent fiscal impacts, as Oregon transitioned in 1998 and Washington and Utah transitioned to full voting by mail by allowing counties to decide to hold elections by mail over the course of several years. This gradual transition spread the costs of transitioning to

[3] National Conference of State Legislatures Webinar. "Moving to All-Mail Elections."
[4] Iowa Legislative Council

voting by mail over time, which makes cost comparisons of voting by mail to in-person voting less clear.

Colorado is one of the five states that mails ballots to all registered voters. Colorado reported an average cost savings of $6.40 (40.0%) per vote by transitioning to a full voting by mail model. Prior to the transition, Colorado's elections cost approximately $16 per vote. Printing, labor, postage, and miscellaneous costs were reduced by an average of approximately 59.0%, from $14.92 per vote to $6.08 per vote. Facility rental costs increased by approximately 235.0%, from $1.04 per vote to $3.48 per vote, due to the transition from precinct polling places, which are open only on Election Day, to voter service centers, which are open up to 15 days preceding Election Day. In 2014, the cost decreased to approximately $9.56 per vote. Total statewide savings for counties in the 2013 and 2014 elections was estimated at approximately $4.9 million over the two-year period. Implementation of the voting by mail model was estimated to cost approximately $1.6 million over two years, for a net savings of $3.3 million for counties.



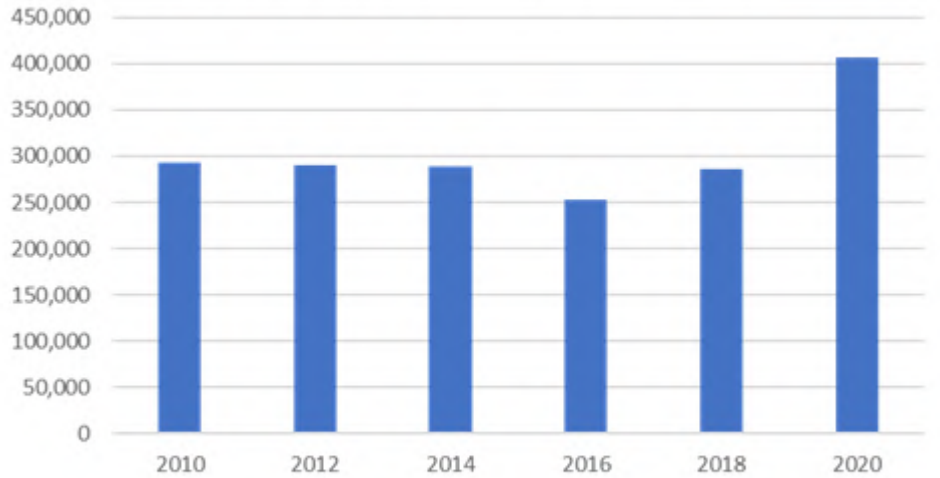
For the 2008 elections, Colorado had 1,800 polling locations requiring 16,000 poll workers dispersed over 64 counties. In 2014, 300 voting centers were established to replace the 1,800 polling locations to continue giving voters the option to vote in person. These voting centers required 4,000 poll workers statewide. Many voting centers were required to be open at least 15 days prior to the election as well as on Election Day, and all were required to be equipped in such a way as to allow voters to register to vote, update their registration, and cast their ballot. Voters were able to cast their ballots at any voter service center in their county of residence. Two-thirds of voters reported that they returned their completed ballots in person rather than by mail, and approximately 80.0% reported that it took them no more than 10 minutes of travel time to reach a designated ballot drop point. Colorado saw a 3.0% increase in voter turnout between the 2010 and 2014 elections despite the decrease in physical voting locations.

Hawaii anticipates more modest cost savings from implementing a full voting by mail model, as absentee voting/voting by mail became more common than voting in person in 2012. By 2018, voting by mail had grown to account for approximately 58.0% of the total ballots cast. The Hawaii Office of Elections estimated future savings of approximately $750,000, primarily from the cost of Election Day officials, which would represent an approximate 12.0% savings of the

$6.5 million in expenses required for the 2018 elections. It is unclear if this estimate includes adjustments for increases in postage and mailing supplies. In a presentation given to the National Conference of State Legislatures (NCSL) by a member of the Hawaii House of Representatives, the Representative estimated a net savings of $57,000, or approximately 1.0%, with total cost savings of approximately $958,000 from reductions in costs for election officials, equipment and supplies, and shipping costs, but approximately $901,000 in additional costs for postage, mailing services and supplies, and staffing.

The cost of implementation was also less for Hawaii as compared to other government entities, with an estimated transition cost of $1.1 million. As 2020 was the first year Hawaii used the full voting by mail model, the only comparable information available on voter turnout can be found by looking at the primary elections. As shown in **Figure 4** below, the 2020 primary saw an increase in turnout of 51.2% compared to the previous primary in 2018, and an increase of 61.1% compared to the 2016 primary.[5]

Figure 4: Hawaii Primary Voter Turnout



Source: National Conference of State Legislatures

Iowa has 2,056,085 registered voters as of October 1, 2020. Turnout in Iowa was 61.6% in the 2018 general election and 72.8% in the 2016 general election. A survey of Iowa counties showed an average cost per vote of $4.92 in 2016 for an extrapolated total election cost of approximately $7.8 million. This extrapolated data is based on 59 of 99 counties, and the level of cost detail varies widely from one county to the next. Unfortunately, the lack of detailed information makes a thorough analysis of potential cost savings impossible. However, estimating based on the available data, voting by mail in Iowa could result in a cost reduction of between $78,000 (if the cost savings echo the more modest estimates of Hawaii, where voting by mail was heavily used prior to the full transition) to $3.1 million (if the cost savings echoes those of Colorado, where the transition was more abrupt).

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[5] National Conference of State Legislatures, "Lessons Learned from the 2020 Primaries."