
BUDGET UNIT BRIEF – FY 2021

Fiscal Services Division

July 1, 2020



Ground Floor, State Capitol Building

Des Moines, Iowa 50319

515.281.3566

Department of Inspections and Appeals — Health Facilities Division

Background

The Health Facilities Division of the Iowa Department of Inspections and Appeals is the designated State survey and certification agency responsible for inspecting and licensing or certifying various health care entities, health care providers, and health care suppliers operating in the State of Iowa. Entities subject to the Department's oversight and regulation include nursing facilities, skilled nursing facilities, residential care facilities, intermediate care facilities for the intellectually disabled, hospitals, hospices, home health agencies, programs and facilities caring for children, assisted living programs, and elder group homes.

Health Facilities Division personnel also investigate complaints alleging improper care or treatment of patients, residents, and tenants in licensed and certified entities. By law, the Division has the authority to investigate complaints alleging a violation by a health care entity of licensing rules under Iowa Administrative Rules or applicable federal regulations. Complaints alleging improper care in assisted living programs, elder group homes, and adult day care are investigated by the Adult Services Bureau within the Division. Complaints are investigated on site by survey staff. Depending on the nature of the allegation, the complaint investigation process includes observations, resident interviews, and/or records review.

The Division is also responsible for the following:

- Investigating complaints of hospitals violating licensing rules under Iowa Code chapter [135B](#). If the hospital is accredited by the Joint Commission (JC) on Accreditation of Health Care Organizations or the American Osteopathic Association (AOA), the Division must obtain the approval of the Centers for Medicare and Medicaid Services before initiating a complaint investigation, or the investigation of an accredited hospital cannot be initiated.
- Providing a direct care worker (DCW) webpage. The webpage provides certified nursing assistants access to the Iowa Direct Care Worker Registry to apply for registration and to edit and update registration information. It also provides access to the administrative rules with the training requirements for certified nursing assistants, certified medication aides, and nutritional assistants.
- Maintaining a list of certified nursing assistants (CNAs) qualified by education, training, and testing to work in federally certified long-term care facilities. The list is used by health care facilities to recruit workers. Federal regulations require Medicare and Medicaid-certified facilities to check the Direct Care Worker Registry to verify that certified nursing assistants are eligible to work in those facilities.
- Maintaining inspection reports and final findings of complaint investigations for a wide variety of Iowa health care entities. Reports for nursing facilities, residential care facilities, intermediate care

More Information

Department of Inspections and Appeals, Health Facilities Division: dia.iowa.gov/health-facilities
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facilities, and assisted living programs are available online.

Funding

The Health Facilities Division receives an annual General Fund appropriation of approximately \$4.8 million and approximately \$10.2 million in federal funds.

Related Statutes and Administrative Rules

Iowa Code chapters [10A](#) and [135B](#)

Iowa Administrative Code [481—Chapter 50](#)

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